

# Algorithms Review

- An algorithm is a ~~sequence~~ ~~process~~ description that provides clear and unambiguous instructions for solving a problem.
- Many times students write down how an algorithm operates on a few special cases, or how the algorithm starts and are vague or handwavy about how it works in the general case.
- Please, please, please do not do this.
- If you don't understand how it works in the general case, you aren't finished designing your algorithm.

- The two most important features\* of a (deterministic) algorithm are its ~~function~~ worst-case run-time and worst-case space <sup>(or memory)</sup> usage.

\*(For theoreticians, anyway)

- We measure how the use of these resources grows as a function of the size of the input

- We use  $\mathcal{I}$  to denote the set of instances or inputs to a problem.

Ex: For the sorting problem,

$$\mathcal{I} = \{ () \} \cup \{ (a_1) \mid a_1 \in \mathbb{R} \} \cup \{ (a_1, a_2) \mid a_1, a_2 \in \mathbb{R} \} \cup \dots \cup \{ (a_1, a_2, \dots, a_n) \mid \forall j \ a_j \in \mathbb{R} \} \cup \dots$$

- For problems on graphs,

$$\mathcal{I} = \{ G \mid G \text{ is a graph} \}$$

• For an instance  $I \in \mathcal{I}$ , we use  $|I|$  to denote the size of  $I$  -- that is, the number of bits needed to store  $I$  using a reasonable representation, or some other reasonable notion of size.

Ex: For the sorting problem, we say that the size  $|I|$  of an instance  $I \in \mathcal{I}$  is the number of elts. we are asked to sort.

$$|(4, -2, 3, 4, 1, 0)| = 6$$

• Let  $A$  be an algorithm which solves a problem with instances  $\mathcal{I}$ .

• The run-time of  $A$  is the function

$$T(n) = \max_{\substack{I \in \mathcal{I} \\ |I|=n}} \left\{ \begin{array}{l} \text{time used when } A \text{ runs} \\ \text{on input } I \end{array} \right\}$$

• The space-usage of  $A$  is the function

$$S(n) = \max_{\substack{I \in \mathcal{I} \\ |I|=n}} \left\{ \begin{array}{l} \text{space used when } A \\ \text{runs on input } I \end{array} \right\}$$

• The ~~max~~ use of max in these ~~functions~~ definitions causes us to analyze the worst-case behavior of the algorithm.

• What exactly do we mean by an algorithm, or the time/space used by an algorithm on an input  $I \in \mathcal{I}$ ? What are the precise, formal definitions?

• Bad news: the answer depends upon the formal definition/<sup>model</sup> ~~of specification~~ of a computer.

Eg: Turing Machine, RAM ~~machine~~<sup>model</sup>, Lambda calculus

5  
• Good news: ~~to any~~ we can translate any algorithm ~~for~~ between ~~model types~~ the various models and only change the time/space usage by a polynomial amount.

• More good news: most often, <sup>(\*)</sup> we'll use the RAM model, which is closer to our intuition than other models; for example, we can add/multiply/subtract/divide numbers in constant  $\Theta(1)$  time.

• Even more good news: we won't worry about the details of the formal defn of the RAM model: it works the way you think it does.

(\*) In complexity theory, the Turing Machine is the standard model.

## Examples

6

- Sorting, via BubbleSort:

BubbleSort ( $A[1..n]$ ):

do

    altered  $\leftarrow$  false

    for  $i = 1$  to  $n-1$

        if ( $A[i] > A[i+1]$ )

            swap( $A[i], A[i+1]$ )

            altered  $\leftarrow$  true

    until (not altered)

- To analyze the algorithm, we must first argue it is correct: that is, no matter what array BubbleSort() is given, it terminates with the contents of  $A[1..n]$  in sorted order.
- If the algorithm terminates, the <sup>last</sup> "for" loop execution <sup>occurs</sup> without the altered flag being set to true, so that  $A[1] \leq A[2] \leq \dots \leq A[n]$ .

- Therefore to show that the algorithm is correct, it suffices to show it terminates on all inputs.
- So, we complete the proof of correctness and run-time analysis at the same time.
- How long does `BubbleSort()` take to run?
- On some inputs (e.g.  $A = (1, 2, 3, \dots, n)$ ) ~~both~~ the algorithm is fast and takes  $\Theta(n)$  time, executing the for loop only once.
- Other inputs (e.g.  $A = (n, n-1, n-2, \dots, 1)$ ) take much longer.
- To analyze the run-time, we must look at the worst-case: the array of size  $n$  which causes the alg. to run for the longest possible time.

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- Exercise: if  $A = (n, n-1, \dots, 1)$  then  $\text{BubbleSort}()$  executes its "for" loop  $n$  times.

Hence the run-time is at least  $n \cdot \Theta(n)$  or  $\Omega(n^2)$ .

(To get a lower bound on the run-time, we must prove at least 1 input takes so long.)

- What about an upper-bound?

- Exercise: Let  $A[1..n]$  be an array. Show that for each  $1 \leq k \leq n$ , ~~either the~~ after the  $k$ th execution of the for loop, the largest  $k$  elements of  $A$  are in their correct, final positions  $A[n-k+1], A[n-k+2], \dots, A[n]$ .

Hint: Induction!

- In particular, the exercise above shows that no matter what  $A[1..n]$  is,  $A[1..n]$  will be



in sorted order after  $n$  executions of the for loop; hence, the algorithm executes the for loop at most  $n+1$  times and the run-time is at most  $(n+1) \cdot \Theta(n)$ , or  $O(n^2)$ .

(To get an upper bound on the run-time, we must ~~look~~ prove an upper bound on how long the alg. takes on every array of size  $n$ .)

- Because we have shown the run-time is  $\Omega(n^2)$  and  $O(n^2)$ , we conclude the run-time of BubbleSort is  $\Theta(n^2)$ .
- The (worst-case) space usage is just  $\Theta(1)$  because the alg. uses constant space for the variables `altered` and `i`, and a constant amount of space to support the call and execution of the `swap()` helper routine.

Remark: There are much better sorting algorithms which can have worst-case  $\Theta(n \log n)$  run-times (eg. Merge-Sort); in fact we can prove that every algorithm which sorts<sup>(\*)</sup> has run-time  $\Omega(n \log n)$ .

(\*) using comparisons