

Lineability and additivity cardinals for real-valued functions: old results and new developments

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Outline

- 1 Lineability in terms of cardinal coefficients \mathcal{L}
- 2 Additivity number A vs lineability coefficients \mathcal{L}
- 3 Darboux-like functions
- 4 Different levels of surjectivity: the newest results
- 5 Some interesting open problems

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General **lineability** problem, studied in **the last decade**

Given a vector space W and $M \subset W$ let

$$\mathcal{V}(M) = \{V \subset M \cup \{0\} : V \text{ is a subspace of } W\}$$

How big $\dim(V)$ can be, when $V \in \mathcal{V}(M)$?

Inconvenience: $\lambda(M) \stackrel{\text{df}}{=} \max\{\dim(V) : V \in \mathcal{V}(M)\}$ may not exist.

Problem better expressed via **lineability number**

$$\mathcal{L}(M) = \min\{\kappa : \neg \exists V \in \mathcal{V}(M) (\kappa = \dim(V))\} \stackrel{\text{if } \lambda(M) \text{ exists}}{=} \lambda(M)^+$$

Clearly $0 < \mathcal{L}(M) \leq \dim(M)^+$ for any $M \subset W$.

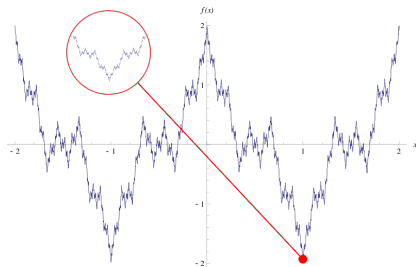
M is **μ -lineable** when $\mu < \mathcal{L}(M)$.

The systematic investigation of lineability started around 2004.

Warming up examples: # 1

For $W = C([0, 1])$ and ND – the Weierstrass' monsters:

$$ND = \{f \in W : f \text{ is nowhere differentiable}\}$$



Jiménez-Rodríguez, Muñoz-Fernández, Seoane-Sepúlveda
2013: $\mathcal{L}(ND)$ has the maximal possible value of $\dim(W)^+$:

$$\mathcal{L}(ND) = \mathfrak{c}^+$$

Warming up examples: # 2

For $W = \mathbb{R}^{\mathbb{R}}$ and **sSZ** – **surjective Sierpiński-Zygmund**
 (i.e., surjective with $f \upharpoonright X$ discontinuous for every $X \in [\mathbb{R}]^{\mathfrak{c}}$)

K. Płotka 2015, implicitly: under GCH

$$\text{sSZ is } 2^{\mathfrak{c}}\text{-lineable: } \mathcal{L}(\text{sSZ}) = (2^{\mathfrak{c}})^+$$

(Balcerzak, KC, Natkaniec 1997) it is consistent with ZFC that

$$\text{sSZ} = \emptyset: \quad \mathcal{L}(\text{sSZ}) = 1$$

(KC, Pawlikowski 2004) under *Covering Property Axiom CPA*

$$\text{sSZ} = \emptyset: \quad \mathcal{L}(\text{sSZ}) = 1$$

More \mathcal{L} numbers: when W has topology τ

For $M \subset W$ let

$$\mathcal{V}_\tau(M) = \{V \subset M \cup \{0\} : V \text{ is a } \tau\text{-closed subspace of } W\}$$

$$\mathcal{L}_\tau(M) = \min\{\kappa : \neg \exists V \in \mathcal{V}_\tau(M) (\kappa = \dim(V))\}$$

M is μ -spacable when $\mu < \mathcal{L}_\tau(M)$.

For $W = \mathbb{R}^X$ with $X = \mathbb{R}^n$: τ_u and τ_p are topologies of uniform and pointwise convergence; $\mathcal{L}_u = \mathcal{L}_{\tau_u}$ and $\mathcal{L}_p = \mathcal{L}_{\tau_p}$

Clearly

$$\mathcal{L}_p(M) \leq \mathcal{L}_u(M) \leq \mathcal{L}(M)$$

Define also

$$\text{m}\mathcal{L}(M) = \min\{\dim(V) : V \text{ is a maximal linear subspace of } M \cup \{0\}\}$$

Clearly $\text{m}\mathcal{L}(M) < \mathcal{L}(M)$

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Additivity number $A(M)$, studied extensively in 1990s

For a vector space W over field K (usually $K = \mathbb{R}$) and $M \subset W$:

$$A(M) = \min(\{|F| : F \subset W \text{ \& } (\forall w \in W)(w + F \not\subset M)\} \cup \{|W|^+\})$$

$$\text{st}(M) = \{w \in W : (K \setminus \{0\})w \subset M\}$$

Proposition

If $\emptyset \neq M \subsetneq W$, then

① $2 \leq A(M) \leq |W|$ and $m\mathcal{L}(M) < \mathcal{L}(M) \leq \dim(W)^+$

② if $\text{st}(M) = M$ and $A(M) > |K|$, then

$$A(M) \leq m\mathcal{L}(M) < \mathcal{L}(M) \leq \dim(W)^+$$

Full comparison of A , $m\mathcal{L}$, and \mathcal{L}

Theorem (K.C, Gámez-Merino, Pellegrino, Seoane-Sepúlveda)

For a vector space W over K with $\dim(W) \geq \omega$

- if $\emptyset \neq \text{st}(M) = M \subsetneq W$ (commonly satisfied), then

$$A(M) \leq m\mathcal{L}(M) < \mathcal{L}(M) \leq \dim(W)^+$$

- Conversely, for any cardinals α , μ , and λ with

$$|K| < \alpha \leq \mu < \lambda \leq \dim(W)^+$$

there exists $M \subsetneq W$ with $0 \in M = \text{st}(M)$ such that

$$A(M) = \alpha, m\mathcal{L}(M) = \mu, \text{ and } \mathcal{L}(M) = \lambda$$

Little else is known about $m\mathcal{L}$.

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Darboux-like maps $f: X \rightarrow \mathbb{R}$, $X \subset \mathbb{R}^n$: definitions

These maps have some properties of continuous functions

$D(X)$: f is *Darboux* (has the Intermediate Value Property) if $f[K]$ is connected for every connected $K \subseteq X$

$\text{Conn}(X)$: f is a *connectivity* map if $f \upharpoonright Z$ is connected in $Z \times \mathbb{R}$ for any connected $Z \subseteq X$

$AC(X)$: f is *almost continuous* if for each open $U \subseteq X \times \mathbb{R}$ with $f \subset U$ there is a $g \in \mathcal{C}(X)$ with $g \subset U$

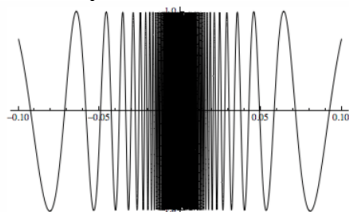
$\text{Ext}(X)$: f is *extendable* provided there is an $F \in \text{Conn}(X \times [0, 1])$ such that $f(x) = F(x, 0)$ for every $x \in X$

$PC(X)$: f is *peripherally continuous* if for every $x \in X$, open $U \ni x$, and open $V \ni f(x)$, there is open $W \subset U$ with $x \in W$ and $f[\text{bd}(W)] \subset V$

Example of discontinuous Darboux $f: \mathbb{R} \rightarrow \mathbb{R}$

For any $c \in [-1, 1]$,

$$f(x) = \begin{cases} \sin(x^{-1}) & \text{for } x \neq 0 \\ c & \text{for } x = 0. \end{cases}$$



Actually, this f belongs to all Darboux-like classes of functions since it is Baire class one, \mathcal{B}_1 , and (on \mathbb{R})

Brown, Humke, Laczkovich, 1988:

$$\text{Ext} \cap \mathcal{B}_1 = \text{AC} \cap \mathcal{B}_1 = \text{Conn} \cap \mathcal{B}_1 = \text{D} \cap \mathcal{B}_1 = \text{Ext} \cap \mathcal{B}_1 = \text{PC} \cap \mathcal{B}_1$$

Darboux-like maps $f: \mathbb{R}^n \rightarrow \mathbb{R}$, $n > 1$: inclusions

(More important case of $n = 1$ we discuss latter.)

Theorem (Many authors, see KC 1997)

$$\begin{array}{ccccc}
 & & \text{Conn}(\mathbb{R}^n) & & \\
 & & \parallel & & \\
 \text{C}(\mathbb{R}^n) & \rightarrow & \text{Ext}(\mathbb{R}^n) & \rightarrow & \text{AC}(\mathbb{R}^n) \\
 & & \parallel & & \nearrow \\
 & & \text{PC}(\mathbb{R}^n) & & \text{AC}(\mathbb{R}^n) \cap \text{D}(\mathbb{R}^n) \\
 & & & & \searrow \\
 & & & & \text{D}(\mathbb{R}^n)
 \end{array}$$

Figure: Arrows indicate strict inclusions

A and \mathcal{L} values for Darboux-like maps on \mathbb{R}^n , $n > 1$

$$\begin{array}{ccccc}
 & \text{Conn}(\mathbb{R}^n) & & & \text{AC}(\mathbb{R}^n) \\
 & \parallel & & & \nearrow \\
 \mathbf{C}(\mathbb{R}^n) & \rightarrow \text{Ext}(\mathbb{R}^n) & \rightarrow & \text{AC}(\mathbb{R}^n) \cap \mathbf{D}(\mathbb{R}^n) & \\
 & \parallel & & & \searrow \\
 & \text{PC}(\mathbb{R}^n) & & & \mathbf{D}(\mathbb{R}^n)
 \end{array}$$

Theorem

- $A(\text{Conn}(\mathbb{R}^n)) = A(\text{Ext}(\mathbb{R}^n)) = A(\text{PC}(\mathbb{R}^n)) = A(\mathbf{D}(\mathbb{R}^n)) = 1$
- $\mathfrak{c}^+ \leq A(\text{AC}(\mathbb{R}^n)) \leq 2^{\mathfrak{c}}$ is all that can be proved in ZFC

Theorem (K.C, Gámez-Merino, Pellegrino, Seoane-Sepúlveda)

- $\mathcal{L}_u(\mathcal{F}) = \mathcal{L}_p(\mathcal{F}) = \mathcal{L}(\mathcal{F}) = \mathfrak{c}^+$ for $\mathcal{F} \in \{\mathbf{C}(\mathbb{R}^n), \text{PC}(\mathbb{R}^n)\}$
- $\mathcal{L}_p(\mathcal{F}) = \mathcal{L}(\mathcal{F}) = (2^{\mathfrak{c}})^+$ for $\mathcal{F} \in \{\text{AC}(\mathbb{R}^n), \mathbf{D}(\mathbb{R}^n)\}$

Problem: Find precise value of $\mathcal{L}(\text{AC}(\mathbb{R}^n) \cap \mathbf{D}(\mathbb{R}^n))$

More Darboux-like functions $f: \mathbb{R} \rightarrow \mathbb{R}$

CIVP f has *Cantor intermediate value property* if for every $x < y$ and perfect K between $f(x)$ and $f(y)$ there is a perfect set $C \subset (x, y)$ with $f[C] \subset K$

SCIVP f has *strong CIVP* if for every $x < y$ and perfect K between $f(x)$ and $f(y)$ there is a perfect set $C \subset (x, y)$ such that $f[C] \subset K$ and $f \upharpoonright C$ is continuous

WCIVP f has *weak CIVP* if for every $x, y \in \mathbb{R}$ with $f(x) < f(y)$ there exists a perfect set C between x and y such that $f[C] \subset (f(x), f(y))$

PR f has *perfect road* if for every $x \in \mathbb{R}$ there is a perfect set $P \subset \mathbb{R}$ having x as a bilateral limit point for which $f \upharpoonright P$ is continuous at x .

Darboux-like maps $f: \mathbb{R} \rightarrow \mathbb{R}$: inclusions

Theorem (Many authors, see KC 1997)

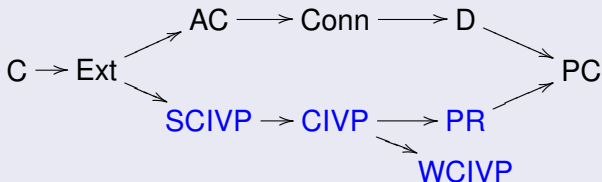
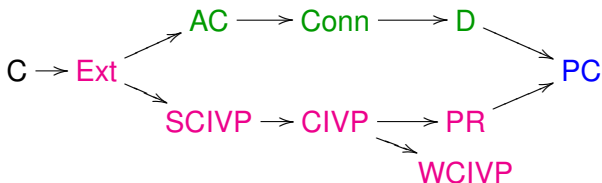


Figure: Arrows indicate strict inclusions

A and \mathcal{L} values for Darboux-like maps $f: \mathbb{R} \rightarrow \mathbb{R}$



Theorem (K.C, Gámez-Merino, Pellegrino, Seoane-Sepúlveda)

- $\mathcal{L}_p(\mathcal{F}) = (2^c)^+$ for all Darboux-like classes \mathcal{F} except C .

Theorem

KC & Reclaw 1995: $A(PC) = 2^c$ and

$A(\mathcal{F}) = c^+$ for $\mathcal{F} \in \{\text{Ext}, \text{SCIVP}, \text{CIVP}, \text{WCIVP}, \text{PR}\}$

KC & A. Miller 1994: $c^+ \leq A(\text{AC}) = A(\text{Conn}) = A(\text{D}) \leq 2^c$

is all that can be proved in ZFC

A vs \mathcal{L} : connection deeper than just $A(M)^+ \leq \mathcal{L}(M)$

$A(\text{Ext})^+ = \mathfrak{c}^{++}$ needs not be equal $\mathcal{L}(\text{Ext}) = (2^{\mathfrak{c}})^+$.

Still, **proof of $\mathcal{L}(\text{Ext}) = (2^{\mathfrak{c}})^+$ is based on proof of $A(\text{Ext}) = \mathfrak{c}^+$:**

Proposition (Basis for proving $A(\text{Ext}) > \mathfrak{c}$)

There is a family $\mathcal{F} \in \mathbb{R}^{\mathbb{R}}$ of cardinality \mathfrak{c} and a family $\{M_f : f \in \mathcal{F}\}$ of pairwise disjoint subsets of \mathbb{R} such that

- if $g \upharpoonright M_f = f \upharpoonright M_f$, for some $f \in \mathcal{F}$, then $g \in \text{Ext}$.

Proof of $\mathcal{L}(\text{Ext}) > 2^{\mathfrak{c}}$: Can assume $f(x) = 0$ for $f \in \mathcal{F}$ & $x \notin M_f$.

Then $V = \{\sum_{f \in \mathcal{F}} h(f) \cdot f : h \in \mathbb{R}^{\mathcal{F}}\}$ proves $2^{\mathfrak{c}}$ -lineability of Ext .

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Classes of surjective maps $f: \mathbb{R} \rightarrow \mathbb{R}$: definitions

\mathcal{S} : f is *surjective* if $f[\mathbb{R}] = \mathbb{R}$;

\mathcal{ES} : f is *everywhere surjective* if $f[(a, b)] = \mathbb{R}$ for every $a < b$;

\mathcal{SES} : f is *strongly everywhere surjective* if $|(f^{-1}(y) \cap (a, b))| = \mathfrak{c}$ for every $a < b$ and $y \in \mathbb{R}$;

$F_{<\mathfrak{c}}$: $f \in F_{<\mathfrak{c}}$ if $|(f^{-1}(y))| < \mathfrak{c}$ for every $y \in \mathbb{R}$;

\mathcal{SZ} : f is *Sierpiński-Zygmund* if $f \upharpoonright X \notin C(X)$ for every $X \in [\mathbb{R}]^{\mathfrak{c}}$;

Basic interrelations:

- $\mathcal{SES} \subsetneq \mathcal{ES} \subsetneq \mathcal{S}$, $\mathcal{ES} \subsetneq \mathcal{D}$, $\mathcal{SZ} \subsetneq F_{<\mathfrak{c}}$;
- $\mathcal{SES} \cap \mathcal{SZ} = \emptyset$, $\mathcal{ES} \cap \mathcal{SZ} \subset \mathcal{ES} \setminus \mathcal{SES}$;
- It is independent of ZFC that $\mathcal{ES} \cap \mathcal{SZ} = \mathcal{S} \cap \mathcal{SZ} = \emptyset$;
- $\mathcal{ES} \cap F_{<\mathfrak{c}} \subsetneq \mathcal{ES} \setminus \mathcal{SES}$.

The class $ES \setminus SES$: the hard object to study

Problem (Gamez, Munoz, Sanchez, Seoane 2010)

$Is \mathcal{L}(ES \setminus SES) = (2^c)^+ ?$ (Still open in ZFC!)

Proposition (Class SES is well understood)

- [GMSS 2010]: $\mathcal{L}(SES) = (2^c)^+$. [E.g., our earlier example $\{\sum_{f \in \mathcal{F}} h(f) \cdot f : h \in \mathbb{R}^{\mathcal{F}}\} \subset \text{Ext}$, of size 2^c , justifies it.]
- [KC & Miller 1994]: $c^+ \leq A(SES) = A(D) = A(AC) \leq 2^c$
is all that can be proved in ZFC

Results from [Bartoszewicz, Bienias, Głab, Natkaniec, 2016?] and (implicitly) [Płotka 2015] imply that

$\mathcal{L}(ES \setminus SES) = (2^c)^+$ is consistent with ZFC.

Our new results show considerably more!

$A(ES \setminus SES)$ and more on $\mathcal{L}(ES \setminus SES)$

Theorem (Ciesielski & Gamez & Seoane 2016)

$ES \setminus SES$ is \mathfrak{c}^+ -lineable, that is, $\mathcal{L}(ES \setminus SES) > \mathfrak{c}^+$

So, $\mathcal{L}(ES \setminus SES) = (2^{\mathfrak{c}})^+$ follows from $2^{\mathfrak{c}} = \mathfrak{c}^+$

Theorem (Ciesielski & Gamez & Seoane 2016)

If \mathfrak{c} is regular, then $A(ES \setminus SES) \leq \mathfrak{c}$. In particular,

$A(ES \setminus SES)^+ < \mathcal{L}(ES \setminus SES)$ in “almost all” models of ZFC.

Proof of $A(\text{ES} \setminus \text{SES}) \leq \mathfrak{c}$, assuming \mathfrak{c} is regular

Put $\mathbb{R} = \{r_\xi : \xi < \mathfrak{c}\}$ and $A_\xi = \{r_\zeta : \zeta < \xi\}$.

Then $F = \{r\chi_{A_\xi} + y : r, y \in \mathbb{R} \ \& \ \xi < \mathfrak{c}\}$ justifies the result.

To see this, an fix $g \in \mathbb{R}^{\mathbb{R}}$. Need to show $g + F \not\subset \text{ES} \setminus \text{SES}$.

Indeed, $g = g + \chi_{A_0} \in g + F$. If $g \in \text{SES}$, we are done.

So, assume not. Fix a, b, y with $A = g^{-1}(y) \cap (a, b) \in [\mathbb{R}]^{<\mathfrak{c}}$.

Pick $\xi < \mathfrak{c}$ with $A \subset A_\xi$ and $0 \neq r \in \mathbb{R} \setminus (g - y)[A_\xi]$.

Then $g - y - r\chi_{A_\xi} \in g + F$.

But $(a, b) \cap (g - y - r\chi_{A_\xi})^{-1}(0) = \emptyset$, that is, $g - y - r\chi_{A_\xi} \notin \text{ES}$.

Proof of $\mathcal{L}(\text{ES} \setminus \text{SES}) > \mathfrak{c}^+$ is based on two results:

Fact (easy remark)

$\mathcal{L}(\text{ES} \setminus \text{SES}) > \mathfrak{c}^\kappa$ for every $\kappa < \mathfrak{c}$.

Proof: Use $\{\sum_{f \in \mathcal{F}} h(f) \cdot f : h \in \mathbb{R}^{\mathcal{F}}\}$, for natural \mathcal{F} , $|\mathcal{F}| = \kappa$.

Lemma (seems easy and natural; it is natural, but ...)

If \mathfrak{c} is regular, then $\mathcal{L}(\text{ES} \cap F_{<\mathfrak{c}}) > \mathfrak{c}^+$.

Proof of $\mathcal{L}(\text{ES} \setminus \text{SES}) > \mathfrak{c}^+$:

- If \mathfrak{c} is regular, then $\mathcal{L}(\text{ES} \setminus \text{SES}) \geq \mathcal{L}(\text{ES} \cap F_{<\mathfrak{c}}) > \mathfrak{c}^+$
- If \mathfrak{c} is singular, then $\mathcal{L}(\text{ES} \setminus \text{SES}) > \mathfrak{c}^{\text{cof}(\mathfrak{c})} \geq \mathfrak{c}^+$

Proof of $\mathcal{L}(ES \cap F_{<c}) > c^+$, assuming c is regular

Enough to show that if $\mathcal{G} \subset (ES \cap F_{<c}) \cup \{0\}$ is linear with $|\mathcal{G}| \leq c$, then \mathcal{G} can be further extended.

By induction we find $f \in \mathbb{R}^{\mathbb{R}}$ with $f - \mathcal{G} \subset ES \cap F_{<c}$. (So, $f \notin \mathcal{G}$.)

Then $\mathbb{R}(f - \mathcal{G}) \subset (ES \cap F_{<c}) \cup \{0\}$ is a desired extension of \mathcal{G} .

Finding f , an easy inductive argument? ... True. **But wait!**

Doesn't this **contradict** $A(ES \cap F_{<c}) \leq \mathcal{L}(ES \setminus SES) \leq c$?

It seems: there is $G \in [\mathbb{R}^{\mathbb{R}}]^c$ with $f - G \notin ES \cap F_{<c}$ for every $f \in \mathbb{R}^{\mathbb{R}}$

Luckily, **our \mathcal{G} is special**: is contained in $(ES \cap F_{<c}) \cup \{0\}$.

So, maybe construction of f is not that straightforward, after all?

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Some chosen open problems on $\mathcal{L}(F)$ and $A(F)$

1 Can $\mathcal{L}(ES \setminus SES) = (2^c)^+$ be proved in ZFC?

2 Can we prove $A(ES \setminus SES) \leq c$ in ZFC?

What else can be said about $A(ES \setminus SES)$ or $A(ES \cap F_{<c})$?

3 Are numbers $A(D \cap SZ)$, $A(ES \cap SZ)$, and $A(\mathcal{S} \cap SZ)$ provably (in ZFC) equal?

What about $\mathcal{L}(D \cap SZ)$, $\mathcal{L}(ES \cap SZ)$, and $\mathcal{L}(\mathcal{S} \cap SZ)$?

4 Under what conditions $A(M) = m\mathcal{L}(M)$?

That is all!

Thank you for your attention!

Some References

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- Survey: K.C. Ciesielski, *Set Theoretic Real Analysis*, *J. Appl. Anal.* 3(2) (1997), 143-190.
- K.C. Ciesielski, J.L. Gamez-Merino, and J.B. Seoane-Sepulveda, Darboux and Sierpiński-Zygmund functions and related lineability questions, draft.
- For the *existence* (non-constructive) of a \mathfrak{c} -dimensional linear subspace of Weierstrass' monsters see also: Fonf, Gurariy, and Kadets (1999) or Rodriguez-Piazza (1999).