## Generalized distances in image segmentation

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Part 1: based mainly on two papers with R. Strand, P.K. Saha, and F. Malmberg Part 2: based on a joint work with J.K. Udupa, A.X. Falcão, and P.A.V. Miranda

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## Outline of Part I: distances in image segmentation

(9) The problem of image segmentation, by examples
(2) Mathematical setting of image segmentation
(3) Segmentation via energy minimization and distances

4 Computation of distance functions
(5) True topological proof of correctness of algorithm $A_{M B D}^{a p p r}$

6 Polynomial time algorithm for exact MBD
(7) Experiments: comparison of different algorithms for MBD and other distances

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## Example 1 of object segmentation/delineation

Delineation $=$ segmentation of one object and the background


2D image of peppers
Small changes of parameters can cause big differences:


Delineation version 2


Delineation version 3

## Example 2: a CT image of patient's cervical spine



A slice of an original 3D image


Surface rendition of segmented three vertebrae, together


Color surface rendition of the segmented three vertebra

## Example 3: An MR angiography image of the body region from belly to knee.



Rendition of an original 3D, contrast enhanced, image


A surface rendition of the entire vascular tree


Color surface rendition of segmented arterial (red) and veinous (blue) trees

## Seeds: to help identifying "object of interest"



Which part of this image is "the object?"

Commonly, "an operator" (human or automaton) indicates:

- object via one set, $S$, of seeds
- background via another set, $T$, of seeds


## General problem of segmentation of images

Find a procedure/algorithm which, given a digital image (of some kind, e.g., 2D or 3D; terrain, medical, or faces; etc) produces its segmentation. The procedure should satisfy

User expectation:

- the resulted segmentations are close to what a user/expert could expect, with as little human interaction as possible (e.g., restricted to indication of the objects with seed sets);

Computational requirement:

- there is an efficient algorithm that can perform the computational part(s) of the procedure.

Goal of this talk: discuss some segmentation algorithms.

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## Digital image as a function

- A nD digital image can be identified with a function $f$ from image scene $C$ (finite, usually rectangular, subset of $\mathbb{R}^{n}$ ) into $\mathbb{R}^{k}$

$$
f: C \rightarrow \mathbb{R}^{k}
$$

- The elements $c$ of $C$ are pixels (in 2D), voxels (in 3D), or, in general, spels (for space elements).
- The value $f(c)$ represents image intensity at $c$, a $k$-dimensional vector each component of which indicates a measure of some aspect of the signal, like color.
- Later, we will talk on continuous (idealized) images, defined on open regions $\Omega$ in $\mathbb{R}^{n}$.


## Image

## Typically, scene is of rectangular character, as



- It comes with a topological/graph structure:
- as a graph $G=\langle C, E\rangle$, edges connecting "nearby" spels;
- topologically, such these edges form adjacency relation.


## Typical edges (2D scenes) and cost functions

edges for 4 adjacency
edges for 8 adjacency

Adjacency relation need not be symmetric; it can be considered as a closure operator (inducing pre-topology).

Information of image is often coded via edge cost/weight function $w(c, d)$ for each edge $\langle c, d\rangle$ (i.e., $c$ adjacent to $d$ ).
E.g. proto-distance cost $w(c, d)=\|f(c)-f(d)\|$.

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## Segmentation via energy minimization

Given an image $f: C \rightarrow \mathbb{R}^{k}$ and sets $\mathcal{S}=\left\{S_{1}, \ldots, S_{n}\right\}$ of seeds: allowable segmentations $\mathbb{P}(\mathcal{S})$ constitute of the families $\mathcal{P}=\left\{P_{1}, \ldots, P_{n}\right\}$ of sets with $S_{i} \subset P_{i} \subset C$; usually (not always) sets $P_{i}$ need to be pairwise disjoint; in this talk: $\mathcal{P}$ must cover $C$.

If for any such $\mathcal{P}$ we associate its $\operatorname{cost} \varepsilon(\mathcal{P}) \geq 0$
a "good" segmentation is one minimizing an energy $\varepsilon$, i.e.,

$$
\arg \min _{\mathcal{P} \in \mathbb{P}(\mathcal{S})} \varepsilon(\mathcal{P})
$$

## Distance-based energy \& Voronoi-like segmentation

Let $d: C \times C \rightarrow[0, \infty)$ be a generalized distance (i.e., symmetric and satisfying the triangle inequality) associated with an image $f: C \rightarrow \mathbb{R}^{k}$.

For $\mathcal{P}=\left\{P_{1}, \ldots, P_{n}\right\}$ from $\mathbb{P}(\mathcal{S}), \mathcal{S}=\left\{S_{1}, \ldots, S_{n}\right\}$, let

$$
\begin{gathered}
\varepsilon(x, \mathcal{S})=\max \left\{d\left(x, S_{i}\right): x \in P_{i}\right\} \text { for any } x \in C, \text { and put } \\
\varepsilon_{d}(\mathcal{P})=\sum_{x \in C} \varepsilon(x, \mathcal{S}) .
\end{gathered}
$$

A Voronoi diagram (for $d$ and $\mathcal{S}$ ) is a $\mathcal{P}_{\mathcal{S}}=\left\{P_{1}, \ldots, P_{n}\right\} \in \mathbb{P}(\mathcal{S})$, where
$P_{i}=\left\{x \in C: d\left(x, S_{i}\right) \leq d\left(x, S_{j}\right)\right.$ for any $\left.j \neq i\right\}$.


Example of $\mathcal{P}_{\mathcal{S}}$

## Theorem

$\mathcal{P} \in \mathbb{P}(\mathcal{S})$ minimizes $\varepsilon_{d}$ iff it refines $\mathcal{P}_{\mathcal{S}}$.

## Note on asymmetry

In some cases, the definition: $\mathcal{P}_{\mathcal{S}}=\left\{P_{1}, \ldots, P_{n}\right\} \in \mathbb{P}(\mathcal{S})$, makes sense also with

$$
P_{i}=\left\{x \in C: d_{i}\left(x, S_{i}\right) \leq d_{j}\left(x, S_{j}\right) \text { for any } j \neq i\right\}
$$

where each $d_{i}$ is a different generalized distance (possibly, even not symmetric).

This works for the Fuzzy Connectedness distance (discussed below) as shown in a 2003 paper of Carvalho, Herman, Kong.

Subject of forthcoming paper of KC, G. Herman, and Y. Kong.
However, for $P_{i}$ 's to be connected, definition is more involved (related to IRFC, unlike $\mathcal{P}_{\mathcal{S}}$, which is basically RFC).

## From path strength to generalized distance

$\Pi$ - all paths $p=\left\langle c_{0}, \ldots, c_{k}\right\rangle$ in $G=\langle C, E\rangle$, i.e., $\left\{c_{i}, c_{i+1}\right\} \in E$.
$\Pi_{c, d}$ - all paths from $c \in C$ to $d \in C$.
For a fixed path strength map $\lambda: \Pi \rightarrow[0, \infty)$
a "distance" is $d_{\lambda}(c, d)=\min \left\{\lambda(\pi): \pi \in \Pi_{c, d}\right\}$.
Example. If $w: E \rightarrow[0, \infty)$ is an edge weight map on $G$,
with $w(\{c, d\})$ being a (geodesic) distance from $c$ to $d$, then $d_{\Sigma}$ is the geodesic metric, where
$\Sigma(\langle\pi(0), \pi(1), \ldots, \pi(k)\rangle)=\sum_{i=1}^{k} w(\{\pi(i-1), \pi(i)\})$.

## Generalized distance: what is needed from $\lambda$ ?

$d: C^{2} \rightarrow[0, \infty)$ is a generalized distance mappings if
it is symmetric and satisfies the triangle inequality.
(We allow possibility that $d(c, c)>0$ for some $c \in C$.)

## Theorem

Assume that for every path $\pi=\langle\pi(0), \pi(1), \ldots, \pi(k)\rangle$
(i) $\lambda(\pi)=\lambda(\langle\pi(k), \pi(k-1), \ldots, \pi(0)\rangle)$, and
(ii) $\lambda(\pi) \leq \lambda(\langle\pi(0), \ldots, \pi(i)\rangle)+\lambda(\langle\pi(i), \ldots, \pi(k)\rangle)$ for every $0 \leq i \leq k$.
Then $d_{\lambda}$ is a generalized distance.

All maps $d_{\lambda}$ we consider (below) are generalized distances.

## Generalized distances used in imaging

- Geodesic Distance, $d_{\Sigma}$, including the "Euclidean" Distance
- Fuzzy Connectedness, FC: if $\mu$ is FC connectivity strength for affinity $\kappa: E \rightarrow[0, M]$ and weight $w(e)=M-\kappa(e)$, then $d_{\lambda}(c, d)=M-\mu(c, d)$, where $\lambda\left(\left\langle c_{i}\right\rangle\right)=\max _{i} w\left(\left\{c_{i-1}, c_{i}\right\}\right)$
- Watershed: it is $d_{\beta_{w}^{+}}$, where $\beta_{w}^{+}\left(\left\langle c_{i}\right\rangle\right)=\max _{i} w\left(c_{i}\right)$
- New Minimum Barrier Distance, $d_{\beta_{w}}$ to be defined below
- Fuzzy Distance, FD: it is $d_{\hat{\Sigma}}$, where for $w: C \rightarrow[0, \infty)$

$$
\hat{w}(c, d)=\frac{w(c)+w(d)}{2} \text { and } \hat{\Sigma}\left(\left\langle c_{i}\right\rangle\right)=\sum_{i} \hat{w}\left(\left\{c_{i-1}, c_{i}\right\}\right)
$$

For distance $d$ and seed sets $S, T \subset C$ (two objects case) put:

$$
P(S, T)=\{c \in C: d(c, S)<d(c, T)\} .
$$

Then $\mathcal{P}(S, T)=\{P(S, T), C \backslash P(S, T)\}$ minimizes $\varepsilon_{d}$.
We compare $\mathcal{P}(S, T)$ for $d_{\Sigma}$, FC, MBD, FD.

Image

## Definition of the Minimum Barrier Distance, MBD

Let $w: C \rightarrow[0, \infty)$ be vertex weight map, e.g., $w(c)=\|f(c)\|$.
For a path $p=\left\langle c_{i}\right\rangle \in \Pi$ let $\beta_{w}(p)=\beta_{w}^{+}(p)-\beta_{w}^{-}(p)$, where
$\beta_{w}^{+}(p)=\max _{i} w\left(c_{i}\right)$ and $\beta_{w}^{-}(p)=\min _{i} w\left(c_{i}\right)$.
$\beta_{w}$ is the barrier cost.
The Minimum Barrier Distance, MBD
between $x$ and $y$ in $C$ is $d_{\beta_{w}}(x, y)$, i.e., $d_{\beta_{w}}(x, y)=\min \left\{\beta_{w}(p): p \in \Pi_{x, y}\right\}$.


Image

## MBD vs geodesic distance

$d_{\beta_{w}}(x, y)=\min \left\{c_{b}(p): p\right.$ is a path in $G$ from $x$ to $\left.y\right\}$
$d_{\beta_{w}}(x, y)$ is, in a way,
a vertical component of
the geodesic distance $d \Sigma$
between $x$ and $y$.

$d_{\beta_{w}}$ is a pseudo-metric: it is symmetric,
satisfies the triangle inequality, and $d_{\beta_{w}}(x, x)=0$.
(However, $d_{\beta_{w}}(x, y)$ can be equal 0 for $x \neq y$.)

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## Standard Dijkstra algorithm, DA, for cost function $\lambda$

## Algorithm 1 Dijkstra (Jarník, Prim) algorithm $D A(\lambda, R)$

Input: Path cost function $\lambda$ on $G=\langle C, E\rangle$, non-empty $R \subset C$.
Output: For every $c \in C$, a $\lambda$-"shortest" path $\pi_{c}$ from $r \in R$ to $c$. Auxiliary: Queue $Q$ : if $c$ precedes $d$ in $Q$, then $\lambda\left(\pi_{c}\right) \leq \lambda\left(\pi_{d}\right)$. 1: Init: $p_{r}=\langle r\rangle$ for $r \in R, p_{c}=\emptyset$ for $c \notin R$, push all $r \in R$ to $Q$;
2: while $Q$ is not empty do
3: $\quad$ Pop d from $Q$;
4: $\quad$ for every $c \in C$ connected by an edge to $d$ do
5: $\quad$ if $\lambda\left(\pi_{d} \wedge C\right)<\lambda\left(\pi_{c}\right)$ then
6: $\quad$ Put $\pi_{c}=\pi_{d}{ }^{\wedge} c$, place $c$ into a proprer place in $Q$; end if
8: $\quad$ end for
9: end while
Runs in $O(n \ln n)$, where $n$ is the image size.


## Can Dijkstra Algorithm, DA, find (exact) MBD?

DA returns correctly distances: Geodesic, FC, FD, Watershed, as their paths strengths are smooth in sense of Falcão et al.

DA does not work properly for MBD:


Example: MBD value $d_{\beta_{w}}(s, c)=.8-.5$ for the indicated $w$.
$D A\left(\beta_{w},\{s\}\right)$ returns suboptimal $\pi_{c}$, with $\beta_{w}\left(\pi_{c}\right)=.8-.4$.

## Fast algorithms approximating MBD

Algorithm 2 Double-Dijkstra $A_{M B D}^{\text {appr }}(\{s\})$
Input: A vertex weight map $w$ on a graph $G=\langle C, E\rangle$, an $s \in C$. Output: A map $\varphi(\cdot,\{s\}))$. begin
1: Run $\left.D A\left(\beta_{w}^{+},\{s\}\right)\right)$; record $\left.d_{\beta_{w}^{+}}(c,\{s\})\right)=\beta_{w}^{+}\left(\pi_{c}\right)$ for $c \in C$;
2: Run $\left.D A\left(\beta_{v}^{+},\{s\}\right)\right)$, where $v=M-w$ and $M=\max _{c \in C} w(c)$, and record $\left.d_{\beta_{w}^{-}}(c,\{s\})\right)=M-\beta_{v}^{+}\left(\pi_{c}\right)$ for every $c \in C$;
3: Return $\left.\left.\varphi(\cdot,\{s\}))=d_{\beta_{w}^{+}}(c,\{s\})\right)-d_{\beta_{w}^{-}}(c,\{s\})\right)$ for $c \in C$; end

The output of $A_{M B D}^{\text {appr }}(\{s\})$ approximates MBD $\left.d_{\beta_{w}}(\cdot,\{s\})\right)$ :

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## $\left.\varphi(\cdot,\{s\})) \approx \operatorname{True} \operatorname{MBD} d_{\beta_{w}}(\cdot,\{s\})\right)$

$G=\langle C, E, w\rangle$ - graph of a rectangular $k$-D image $f, w=\|f\|$,
$\varepsilon=\max \left\{|w(x)-w(y)|: x, y \in C\right.$ are $\left(2^{k}-1\right)$-adjacent $\}$.
Theorem (

Proof is based on deep result on continuous equivalent of MBD:
For $f$ being continuous on a simple connected domain,
Main Lemma: continuous- $\varphi(c, d)=$ continuous- $d_{\beta_{w}}(c, d)$.
Proof of Thm:
(1) Extend $f$ to continuous $\hat{f}$ via $k$-linear interpolation.
(2) Find continuous path $p \in \Pi_{x, y}$ with $\beta_{w}(p) \approx \varphi(x, y)$.
(3) Digitize $p$.

## continuous- $\varphi(c, d)=$ continuous $-d_{\beta_{w}}(c, d)$ : definitions

Input: Continuous function $f: D \rightarrow \mathbb{R}$, considered as an image, where $D=\prod_{i=1}^{k}\left[a_{i}, b_{i}\right]\left(a_{i}, b_{i} \in \mathbb{R}\right)$.

For a (continuous) path $p:[0,1] \rightarrow D$ its barrier cost is

$$
c_{b}(p)=\max _{t} w(p(t))-\min _{t} w(p(t)), \quad \text { here } w=f
$$

(Note that max and min are attained, as $w \circ p$ is continuous.)
The continuous- $d_{\beta_{w}}$, barrier dist. $\rho$, between $x, y \in D$ is given by:

$$
\rho(x, y)=\inf \left\{c_{b}(p): p \text { from } x \text { to } y\right\}
$$



## Difficulties: Topologists sine curve example

In $\rho(x, y)$, operation inf cannot be replaced with min:

For $\varphi(x, y)=\min _{p \in \Pi_{x, y}} c_{\max }(p)-\max _{p \in \Pi_{x, y}} c_{\text {min }}(p)$

$$
c_{\max }\left(p_{2}\right)-c_{\min }\left(p_{1}\right)=0=\varphi(x, y)=\rho(x, y)<c_{b}(p)
$$

$$
\text { for any } p \in \Pi_{X, \bar{y}} .
$$

$$
\begin{aligned}
& g(t)=\sin (1 / t) \text { for } t \neq 0, g(0)=0 \\
& \rho(x, y)=\inf \left\{c_{b}(p): p \in \Pi_{x, y}\right\} \\
& \text { Put } c_{\text {min }}(p)=\min _{t} w(p(t)) \\
& \text { and } c_{\text {max }}(p)=\max _{t} w(p(t)) \\
& c_{\min }\left(p_{1}\right)=0<c_{\max }\left(p_{1}\right) \\
& c_{\text {max }}\left(p_{2}\right)=0>c_{\text {min }}\left(p_{2}\right)
\end{aligned}
$$

## Proof of: continuous- $\varphi(c, d)=$ continuous $-d_{\beta_{w}}(c, d)$

## Using Alexander's lemma we prove:

## Lemma

If $F_{0}, F_{1} \subset[0,1]^{2}$ are closed disjoint s.t. $F_{0} \backslash(0,1)^{2} \subset(0,1) \times\{1\}$ and
$F_{1} \backslash(0,1)^{2} \subset(0,1) \times\{0\}$, then, there is
$\bar{\pi}:[0,1] \rightarrow[0,1]^{2} \backslash\left(F_{0} \cup F_{1}\right)$,
 continuous from $\langle 0, .5\rangle$ to $\langle 1, .5\rangle$.

## Theorem (Non-trivial result on simple connected domains)

If there are $p_{1}, p_{2} \in \Pi_{x, y}$ with $a<c_{\min }\left(p_{1}\right)$ and $c_{\max }\left(p_{2}\right)<b$, then there is a single $p \in \Pi_{x, y}$ with the range in $(a, b)$.

## Corollary (continuous case)

$\varphi(x, y)=\rho(x, y)$ for a w on a simple connected domain $D$.

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## $A_{M B D}^{\text {apor }}(S)$ and $D A\left(\beta_{w}, S\right)$ : pros and cons

- Both fast, in order between $O(n)$ and $O(n \ln n), n=|C|$.
- $A_{M B D}^{\text {appr }}(S)$ underestimates MBD, with known error rate $\varepsilon$; needs to run "simple" DA $|S|$-many times, slowing for large $S$.
- $D A\left(\beta_{w}, S\right)$ overestimates MBD with unknown error bound; complexity is (essentially) independent of the size of $S$;


## Conjecture

The error of $D A\left(\beta_{w}, S\right)$ does not exceed $2 \varepsilon$, maybe even $\varepsilon$.

So far, no theoretical proof for this.

## Simple algorithm for exact MBD

Algorithm $3 A_{M B D}^{\text {simple }}(S)$
Input: A vertex weight w on $G=\langle C, E\rangle$, non-empty $S \subset C$.
Output: The paths $p_{c}$ from $S$ to $c$ with $\beta_{w}\left(p_{c}\right)=d_{\beta_{w}}(c, S)$. begin
1: Init: $U=\max \{w(s): s \in S\}$ and $p_{c}=\emptyset$ for every $c \in C$;
2: Push all numbers from $\{w(c) \leq U: c \in C\}$ to a queue $Q$;
3: while $Q$ is not empty do
4: $\quad$ Pop a from $Q$, run $D A\left(\beta_{v}^{+}, S\right)$ with $v=w_{a}$, return $\pi_{c}$ 's; $\left(w_{a}(c)=w(c)\right.$ if $w(c) \geq a, w_{a}(c)=\infty$ otherwise)
5: for every $c \in C$ do
6: $\quad$ if $\beta_{v}\left(\pi_{c}\right)<\beta_{w}\left(p_{c}\right)$ then
7: $\quad$ Put $p_{c}=\pi_{c}$;
8: $\quad$ end if
9: end for
10: end while end

## Faster algorithm for exact MBD

## Algorithm $4 A_{M B D}(S)$

Auxiliary: $\beta_{w}^{-}$-optimal $\pi_{c}$ from $S$ to $c$; a queue $Q$ : if $c \preceq d$ then $\beta_{w}^{+}\left(\pi_{c}\right)<\beta_{w}^{+}\left(\pi_{d}\right)$ or $\beta_{w}^{+}\left(\pi_{c}\right)=\beta_{w}^{+}\left(\pi_{d}\right)$ and $\beta_{w}^{-}\left(\pi_{c}\right)>\beta_{w}^{-}\left(\pi_{d}\right)$. begin
1: Init: $p_{s}=\pi_{s}=\langle s\rangle$ for $s \in S$ and $p_{c}=\pi_{c}=\emptyset$ for $c \in C \backslash S$;
2: Push all $s \in S$ to $Q$;
3: while $Q$ is not empty do
4: $\quad$ Pop c from $Q$;
5: $\quad$ for every $d \in C$ connected by an edge to $c$ do
6: $\quad$ if $\beta_{w}^{-}\left(\pi_{c}{ }^{\wedge} d\right)>\beta_{w}^{-}\left(\pi_{d}\right)$ then
7: $\quad$ Set $\pi_{d} \leftarrow \pi_{c}{ }^{\wedge} d$ and place $d$ into $Q$;
8: $\quad$ if $\beta_{w}\left(\pi_{d}\right)<\beta_{w}\left(p_{d}\right)$ then
9: Set $p_{d} \leftarrow \pi_{d}$;
10: end if
11: end if
12: End everything;

## Correctness of the algorithms for exact MBD

## Theorem

Let $n$ be the size of the graph and $m$ be the size of a fix set $Z$, containing $W=\{w(c): c \in C\}$. The algorithm computational complexity is either
(BH) $O(m n \ln n)$, if we use binary heap as $Q$, or
(LS) $O(m(n+m))$, if we use as $Q$ a list structure.
After $A_{\text {MBD }}(S)$ terminates, we indeed have $\beta_{w}\left(p_{c}\right)=d_{w}(c, S)$ for all $c \in C$. The same is true for $A_{M B D}^{\text {simple }}(S)$.

Proof for $A_{M B D}(S)$ is quite intricate; for $A_{M B D}^{\text {simple }}(S)$ is quite easy.
However, $A_{M B D}(S)$ executes the main while loop considerably fewer times than $A_{M B D}^{\text {simple }}(S)$ does.

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## Step 1: Comparison of different algorithms for MBD

- the exact MBD algorithm $A_{M B D}(S)$;
- the interval algorithm $\operatorname{DA}\left(\beta_{w}, S\right)$ overestimating MBD;
- $A_{M B D}^{\text {appr }}(S)$ executed ones for each seed point; it underestimates MBD, with an error $\leq 2 \varepsilon$;
- $A_{M B D}^{\star a p p r}(S)$ executed only ones even for multiple seeds.

Experiments were conducted on a computer: HP Proliant ML350 G6 with 2 Intel X5650 6-core processors ( 2.67 Hz ) and 104GB memory.

The used 2D images, from the grabcut dataset, came with the true segmentations. Their sizes range from 113032 pixels (for $284 \times 398$ image) to 307200 (for $640 \times 480$ image).

## 2D images from the grabcut dataset



Figure: Images from the grabcut dataset used in the experiments.

## Results

For each $s=1, \ldots, 25$, the following was repeated 100 times:
(1) extract a random image from the database;
(2) generate randomly the set $S$ of $s$ seed points in the image;
(3) run each algorithm on this image with the chosen set $S$.

Graphs display averages.



## More results and conclusions



Figure: The mean number pixels with incorrect value of MBD

We declared as "winners," used in the segmentation experiments:
$A_{M B D}(S)$ as it is exact and reasonably fast;
$D A\left(\beta_{w}, S\right)$ as it is the fastest and has the smallest error from approximations.

## Step 2: algorithms used in the segmentation valuation

For gray-scale digital images $f: C \rightarrow[0, \infty)$ :

- The exact MBD computed with $A_{M B D}(S)$, where $w(c)=f(c)$.
- An approximate MBD computed with $\operatorname{DA}\left(\beta_{w}, S\right)$, where $w(c)=f(c)$.
- The geodesic distance computed with $\operatorname{DA}(\Sigma, S)$, where, for adjacent $c, d \in C, w(c, d)=|f(c)-f(d)|$.
- The fuzzy distance computed with $\operatorname{DA}(\hat{\Sigma}, S)$, where $w(c)=f(c)$.
- The fuzzy connectedness computed with $D A(w, S)$, where, for adjacent $c, d \in C, w(c, d)=M-\kappa(c, d)=|f(c)-f(d)|$.

We start with the 2D grabcut images.

## Speed w.r.t. image size



Figure: Mean execution time on small images obtained by cutting out grabcut images. A single seed point is used for each image.

The actual execution time of $A_{\text {MBD }}(S)$ depends on the image size in a linear manner, rather than in the (worst case scenario proven) quadratic manner.

## Seeds chosen by erosion, no noise or blur




Figure: The value for each algorithm for the seeds chosen for indicated erosion radius represent average over the 17 images.

All algorithms performed well, with just a slight better accuracy for MBD algorithms.

## Seeds chosen by the users, no noise or blur



Figure: Example of seed points, users 1-4, respectively.


Figure: Boxplots of Dice coefficient, seeds from users 1-4.

## Seeds chosen by the users, smoothing added




Figure: The performance of the five algorithms as a function of smoothing the images.
MBD algorithms handled smoothing a lot better than FC and FD
Smoothing improves execution time for exact MBD algorithm

## Seeds chosen by the users, noise added




Figure: The performance of the five algorithms as a function of adding noise to the images.
MBD algorithms handled noise better than other algorithms for not very noisy images

## Blur added to the images with fixed level of noise




Figure: The performance of the five algorithms as a function of smoothing, applied to the images with added fixed level of noise.

## Noise added to the smoothed images




Figure: The performance of the five algorithms as a function of adding noise, applied to the smoothed images.

## 3D experiments: the image


(a)

(b)

(c)

Figure: The 3D T1-weighted MRI image of the brain, smoothed by Gaussian blur with sigma value 0.5. (a) three perpendicular slices; (b) reference segmentation of the same slices; (c) surface rendering of the reference segmentation.

## 3D experiments: the results




Figure: The performance of the five algorithms on the image for the asymmetrically chosen seeds at the indicated erosion radius.

MBD algorithms compare favorably with the other algorithms

## Conclusions

Minimum Barrier Distance:

- Can be efficiently computed: (a) exactly; (b) approximately.
- The segmentations associated with MBD compare favorably with those associates with: geodesic distance (GD), fuzzy distance (FD), and relative fuzzy connectedness (RFC).
- The segmentations associated with MBD are more robust to smoothing and to noise than GD, FD, and RFC.


# Part 2: Delineating objects in images via minimization of $\ell_{p}$ energies; spanning forests via Dijkstra's and Kruskal's algorithms 

## Outline of Part 2: Delineating objects via $\ell_{p}$ energies

8 $\ell_{p}$ distances and related energies
(9) Comparison of GC and FC image segmentations
(10) Spanning forests, Dijkstra algorithm, IRFC and PW objects
(11) Relation between MSF vs OPF: proof

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GC vs FC

## Heuristic and the definition of boundary

Heuristic: The objects boundary areas should be identifiable in the image, as the areas of sharp image intensity change.

What constitutes boundary bd $(P)$ of $P$ ?


Need graph (or topological) structure $G=\langle V, E\rangle$ on $C$ :

- Pixels $c \in C$ are its vertices, $V=C$;
- Edges $\{c, d\} \in E$ are "nearby" vertices (e.g. as in figure).
$\operatorname{bd}(P)$ is the set of all edges $\{c, d\} \in E$ with $c \in P$ and $d \notin P$

GC vs FC

## Weighted graphs and $\ell_{p}$ cost functions, $1 \leq p \leq \infty$

Assume that with every edge $e=$ $\{c, d\} \in E$ of an image $f$ we have associated its weight/cost $w(e) \geq 0$, which is low, for big $\|f(c)-f(d)\|$.

Typically, $w(e)=e^{-\|f(c)-f(d)\| / \sigma^{2}}$, see fig.


Desired object
If $F_{P}: E \rightarrow[0, \infty), F_{P}(e)=w(e)$ for $e \in \operatorname{bd}(P)$ and $F_{P}(e)=0$ for $e \notin \operatorname{bd}(P)$, then $\ell_{p}$ cost is defined as

$$
\varepsilon_{p}(P) \stackrel{\text { def }}{=}\left\|F_{P}\right\|_{p}= \begin{cases}\left(\sum_{e \in \operatorname{bd}(P)} w(e)^{p}\right)^{1 / p} & \text { if } p<\infty \\ \max _{e \in \operatorname{bd}(P)} w(e) & \text { if } p=\infty\end{cases}
$$

## FC and GC algorithms as minimizers of $\varepsilon_{p}$

$$
\varepsilon_{p}(P) \stackrel{\text { def }}{=}\left\|F_{P}\right\|_{p}= \begin{cases}\left(\sum_{e \in \operatorname{bd}(P)} w(e)^{p}\right)^{1 / p} & \text { if } p<\infty \\ \max _{e \in \operatorname{bd}(P)} w(e) & \text { if } p=\infty\end{cases}
$$

$p=1: \varepsilon_{1}(P)=\sum_{e \in \operatorname{bd}(P)} w(e) ;$ algorithm admits asymmetric cost
Optimization solved by classic min-cut/max-flow algorithm.
Graph Cut, GC, delineation algorithm optimizes $\varepsilon_{1}$.
$p=\infty: \varepsilon_{\infty}(P)=\max _{e \in \operatorname{bd}(P)} w(e) ;$
Optimization solved by (versions of) Dijkstra algorithm.
$\varepsilon_{\infty}$ optimized objects are returned by the algorithms: Relative Fuzzy Connectedness, RFC, Iterative RFC, IRFC, and Power Watershed, PW [C. Couprie et al, 2011].
$p=2$ : related to Random Walker, RW, algorithm [Grady, 2006], see next slides.

## Fuzzy sets

A map $x: C \rightarrow[0,1]$ (i.e., $x \in[0,1]^{C}$ ) can be considered as a fuzzy set, with $x(c)$ giving the degree of membership of $c$ in it.

A hard set $P \subset C$ is identified with a fuzzy set (binary image) $\chi_{P} \in\{0,1\}^{C} \subset[0,1]^{C}, \chi_{P}(c)=1$ iff $c \in P$.

For $x \in[0,1]^{C}$ let $\hat{\varepsilon}_{p}(x)=\left\|F_{x}\right\|_{p}$, where $F_{x}: E \rightarrow[0, \infty)$,
$F_{x}(\{c, d\})=|x(c)-x(d)| w(\{c, d\})$ for $\{c, d\} \in E$.
Then $\varepsilon_{p}(P)=\hat{\varepsilon}_{p}\left(\chi_{P}\right)$. We can minimize $\hat{\varepsilon}_{p}$ on
$\hat{\mathcal{P}}(S, T)=\{x: x(c)=1$ for $c \in S \& x(c)=0$ for $c \in T\}$ instead of $\varepsilon_{p}$ on $\mathcal{P}(S, T)=\hat{\mathcal{P}}(S, T) \cap\{0,1\}^{C}$.

## Random Walker, RW, algorithm

- RW finds (the unique) $\hat{\varepsilon}_{2}$ minimizer on $\hat{\mathcal{P}}(S, T)$.
- Defines its output as $P=\{c: x(c) \geq .5\}$.

Problems with RW:
(1) Output need not be connected (even when $S$ and $T$ are).
(2) $P$ need not minimize $\varepsilon_{2}$ on $\mathcal{P}(S, T)$.

Neither of this happens for $\varepsilon_{1}$ (i.e. GC) or $\varepsilon_{\infty}$ (i.e. RFC or PW):
Thm: For $p \in\{1, \infty\}$, any minimizer of $\hat{\varepsilon}_{p}$ on $\hat{\mathcal{P}}(S, T)$ actually belongs to $\mathcal{P}(S, T)$.

## (Non)-uniquness of the minimizers for $\varepsilon_{1}$ and $\varepsilon_{\infty}$

Let $\mathcal{P}_{p}(S, T)=\left\{P \in \mathcal{P}(S, T): P\right.$ minimizes $\varepsilon_{p}$ on $\left.\mathcal{P}(S, T)\right\}$.
Both $\mathcal{P}_{1}(S, T)$ and $\mathcal{P}_{\infty}(S, T)$ may have more than one element.
However, the outputs of the standard versions of the algorithms:

- GC, from $\mathcal{P}_{1}(S, T)$,
- RFC, from $\mathcal{P}_{\infty}(S, T)$, and
- IRFC, from $\mathcal{P}_{\infty}(S, T)$
are unique in the sense of the next theorem.


## GC \& FC segmentations - comparison theorem 1

## Theorem (Argument minimality)

For $p \in\{1, \infty\}, \mathcal{P}_{\varepsilon}(S, T)$ contains the $\subset$-smallest object.

- GC algorithm returns the smallest set in $\mathcal{P}_{1}(S, T)$.
- RFC algorithm returns the smallest set in $\mathcal{P}_{\infty}(S, T)$.
- IRFC algorithm returns the smallest set in a refinement $\mathcal{P}_{\infty}^{*}(S, T)$ of $\mathcal{P}_{\infty}(S, T)$.
Moreover, if $n$ is the size of the image (scene), then
- GC runs in time of order $O\left(n^{3}\right)$ (the best known algorithm) or $O\left(n^{2.5}\right)$ (the fastest currently known algorithm)
- Both RFC and IRFC run in time of order $O(n)$ (for standard medical images - the intensity range size not too big) or $O(n \ln n)$ (the worst case scenario)


## GC \& FC - asymptotic equivalence

## Theorem (Asymptotic equivalence of GC and FC)

Let $\mathcal{P}_{p}^{m}(S, T)$ be the family $\mathcal{P}_{p}(S, T)$ for the edge weight function $w$ replaced by its $m$-th power $w^{m}$. Then

- $\mathcal{P}_{\infty}^{m}(S, T)=\mathcal{P}_{\infty}(S, T)$ and similarly for IRFC algorithm.

So, the outputs of RFC and IRFC are unchanged by $m$.

- $\mathcal{P}_{1}^{m}(S, T) \subseteq \mathcal{P}_{\infty}(S, T)$ for $m$ large enough.

In particular, if $\mathcal{P}_{\infty}(S, T)$ has only one element, then the output of GC coincides with the outputs of RFC and IRFC for $m$ large enough.

## Outline of Part 2: Delineating objects via $\ell_{p}$ energies

(8)$\ell_{p}$ distances and related energies
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## Advantages of FC over GC - theoretical angle

Speed: FC algorithms run a lot faster than GC algorithms: $O(n)$ (or $O(n \ln n)$ ) versus $O\left(n^{3}\right)$ (or $O\left(n^{2.5}\right)$ ).
Robustness: RFC \& IRFC are unaffected by small seed changes.
GC is sensitive for even small seed changes.
Shrinking: GC chooses objects with small size boundary
(often with edges with high weights);
No such problem for RFC \& IRFC
Multiple objects: FC framework handles easily the segmentation of multiple objects, same running time and robustness.
GC in such setting leads to NP-hard problem,
so (for precise delineation) it runs in exponential time
Iterative approach: RFC has an iterative approach refinement;
No such refinement methods exist for GC at present.

## Advantages of GC over FC

Boundary smoothness: GC chooses small boudary, so it naturally smooths it; in many (but not all) medically important delineations, this is a desirable feature.

Basic FC framework has no boundary smoothing; if desirable, smoothing requires post processing

Combining image homogeneity info with known object intensity: GC naturally combines information on image homogeneity (binary relation on voxels) with information on expected object intensity (unary relation on voxels);

Combining such informations is difficult to achieve in the FC framework.

## Setup of experiments:

- In each experiment we used 20 MR BrainWeb phantom images (simulated T1 acquisition); graphs show averages.
- Sets of seeds were generated, from known true binary segmentations, by applying erosion operation: the bigger erosion radius, the smaller the seed sets.
- The weight map $w(c, d)$, same for FC and GC, was defined from the image intensity function $f$ as
$w(c, d)=-|G(f(c))-G(f(d))|$,
where $G$ is an appropriate Gaussian.


## Setup of experiments:

Data parameters: the simulated T1 acquisition were as follows: spoiled FLASH sequence with $\mathrm{TR}=22 \mathrm{~ms}$ and $\mathrm{TE}=9.2 \mathrm{~ms}$, flip angle $=30^{\circ}$, voxel size
$=1 \times 1 \times 1 \mathrm{~mm}^{3}$, noise $=3 \%$, and background non-uniformity $=20 \%$.
Computer: Experiments were run on PC with an AMD Athlon 64 X2 Dual-Core Processor TK-57, 1.9 GHz, $2 \times 256 \mathrm{~KB}$ L2 cache, and 2 GB DDR2 of RAM.

## Robustness \& shrinking for FC \& GC: White Matter


(a) RFC

(d) RFC

(b) IRFC

(e) IRFC

(c) GC

(f) GC

Figure: (a)\&(d) and (b)\&(e): same outputs for different seeds; (c)\&(f) GC: dramatic change of output; seeds choice same as in the FC case

GC vs FC

## Time \& accuracy of FC \& GC: segmentation of WM



## FC vs GC: Conclusions

- FC and GC quite similar, yet FC has many advantages over GC:
- FC runs considerably faster than GC
- FC is robust (seed), while GC has shrinkage problem
- FC, unlike GC, easily handles multiple-object segmentation
- unless the application requires, in an essential way, the simultaneous use of
- homogeneity (binary) info on image intensity;
- expected object intensity (unary) info on image intensity;
it makes sense to use FC (more precisely IRFC) segmentation algorithm, rather than GC algorithm


## Outline of Part 2: Delineating objects via $\ell_{p}$ energies

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## Forests: the powerhouse behind Dijkstra algorithm

Fix weighted graph $G=\langle C, E, w\rangle$ and $\emptyset \neq W \subset C$.
Definition (Spanning Forest w.r.t. W)
A forest for $G$ is any subgraph $\mathbb{F}=\left\langle C, E^{\prime}\right\rangle$ of $G$ free of cycles. $\mathbb{F}=\left\langle C, E^{\prime}\right\rangle$ is spanning with respect to $W$ when any connected component of $\mathbb{F}$ contains precisely one element of $W$.

Example of a spanning
forest w.r.t. $W=\left\{s_{1}, s_{2}, t\right\}$
Each component
marked by different color

## Forest-generated (IRFC and PW) objects

$G=\langle C, E, w\rangle$ - weighted graph, $\quad \emptyset \neq W \subset C, \quad S \subset W$

## Definition (Forest-generated object)

For a spanning forest $\mathbb{F}$ w.r.t. $W$ and $S \subset W$,
$P(S, \mathbb{F})$ is a union of all components of $\mathbb{F}$ intersecting $S$.
Note that $P(S, \mathbb{F}) \in \mathcal{P}(S, T)$ for $T=W \backslash S$.

Example (green vertices) of

$$
P(S, \mathbb{F}) \text { with } S=\left\{s_{1}, s_{2}\right\} .
$$

Outputs of the algorithms we will discuss, $\mathrm{GC}_{\text {sum }}$ and PW,
are in the $P(S, \mathbb{F})$ format.

## Optimal Path Forest, OPF

## Definition (Optimal Path Forest, OPF)

For a path $p=\left\langle c_{1}, \ldots, c_{k}\right\rangle$ in $G$ let $\mu(p)=\min _{i<k} w\left(\left\{c_{k}, c_{k+1}\right\}\right)$, the weakest link of $p$.
A forest $\mathbb{F}$ w.r.t. $W$ is path-optimal provided for every $c \in C$, the unique path $p_{c}$ in $\mathbb{F}$ from $W$ to $c$ is $\mu$-optimal in $G$, i.e., $\mu\left(p_{c}\right) \geq \mu(p)$ for any path $p$ in $G$ from $W$ to $c$.

For OPF $\mathbb{F}$ w.r.t. $W, \mu\left(p_{c}\right)=\mu^{C}(c, W)$ for every $c \in C$
(with $\mu^{C}$ in the Fuzzy Connectedness sense)

(g) OPF, $W=\{s, t\}$

(h) another OPF

(i) not OPF

## $\mathrm{GC}_{\text {max }}$ algorithm and IRFC

## Theorem ([KC et al.] OPF object minimizing $\varepsilon^{\max }$ )

There exists the smallest $P_{\min } \in \mathcal{P}(S, T)$ in form $P(S, \mathbb{F})$, where $\mathbb{F}$ is an $O P F$ w.r.t. $S \cup T$.
$\mathbb{F}$ is found by $G C_{\text {max }}$, a version of Dijkstra's shortest path algorithm, in a linear time w.r.t. $|C|+M$,
where $M$ is the size of the range of $w$.
In practice, $O(|C|+M)=O(|C|)$.
The object $P_{\min }$, returned by $G C_{\max }$, coincides with the Iterative Relative Fuzzy Connectedness, IRFC, object.

## Maximal Spanning Forest, MSF

Definition (Maximal Spanning Forest, MSF)
A forest $\mathbb{F}=\left\langle C, E^{\prime}\right\rangle$ w.r.t. $W$ is maximal spanning provided $\sum_{e \in E^{\prime}} w(e) \geq \sum_{e \in \hat{E}^{\prime}} w(e)$ for every forest $\hat{\mathbb{F}}=\left\langle C, \hat{E}^{\prime}\right\rangle$ w.r.t. $W$

(j) OPF w.r.t. $\{s, t\}$, not MSF

(k) MSF and OPF

## Theorem ([Audigier \& Lotufo], [Cousty et al.])

Every MSF is OPF, but not the other way around.

## MSF and Power Watershed, PW, algorithm

> Theorem ([C. Couprie et al.] PW output as MSF)
> $P W$ algorithm returns $P(S, \mathbb{F})$ for a MSF $\mathbb{F}$ w.r.t. $S \cup T$.
> $\mathbb{F}$ is found by PW via a complicated version of Kruskal's algorithm and, locally, Random Walker algorithm.

Since

- IRFC object is indicated by OPF,
- PW object is indicated by MSF, and
- every MSF is OPF

What is the relation between IRFC and PW objects?

## New results on $\mathrm{GC}_{\text {max }}$, MSF, and OPF

## Theorem ([KC et al.] MSF vs OPF)

If $P_{\text {min }}$ is the output of $G C_{\max }$ (the smallest $P(S, \mathbb{F})$, with with $\mathbb{F}$ is being OPF w.r.t. $S \cup T$ ), then $P_{\min }=P(S, \hat{\mathbb{F}})$ for some $M S F \hat{\mathbb{F}}$.

If $\mathbb{F}$ is a MSF w.r.t. $S \cup T$, then $P(S, \mathbb{F})$ minimizes energy $\varepsilon^{\max }$ (in $\mathcal{P}(S, T)$ ).
$P(S, \mathbb{F})$, with $\mathbb{F}$ being OPF w.r.t. $S \cup T$, need not minimize $\varepsilon^{\max }$.

In other words

$$
P_{\min } \in \mathcal{P}_{M S F}(S, T) \subset \mathcal{P}_{O P F}(S, T) \cap \mathcal{P}_{\varepsilon^{\max }}(S, T)
$$

where $\mathcal{P}_{M S F}(S, T)=\{P(S, \mathbb{F}): \mathbb{F}$ is MSF $\}$, similarly for OPF, and $\mathcal{P}_{\varepsilon} \max (S, T)$ is the set of all $\varepsilon^{\text {max }}$-optimizers.

## Outline of Part 2: Delineating objects via $\ell_{p}$ energies



## $\ell_{p}$ distances and related energies

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## Outline of the proof of Main Theorem

- Describe Dijkstra's algorithm that gives OPF $\mathbb{F}$ with $P_{\text {min }}=\mathcal{P}(S, \mathbb{F})$. Notice, it is the smallest set in $\operatorname{PopF}(S, T)$.
- Use Kruskal's algorithm to find MSF $\hat{\mathbb{F}}$ with $P_{\text {min }}=\mathcal{P}(S, \hat{\mathbb{F}})$.
- Show that $\mathcal{P}(S, \hat{\mathbb{F}}) \in \mathcal{P}_{\varepsilon^{\max }}(S, T)$ whenever $\hat{\mathbb{F}}$ is MSF. An argument is a variant of a proof that Kruskal's algorithm indeed returns MSF.
- Give examples, showing that no inclusion can be reversed.


## Dijkstra's algorithm DA: standard vs our version

$G=\langle C, E, W\rangle, \quad \mathbb{F}$ generated forest w.r.t. $W, \quad S \subset W \subset C$ $p_{c}$ - unique path in $\mathbb{F}$ from $W$ to $c \in C$

- Standard DA "grows" tree from a single source set $W$. We use DA to grow forest with a multiple sources set $W$.
- In standard DA, path $p_{c}$ has the smallest length.
(It optimizes path measure "sum of weights of all links.")
We use DA to optimize $p_{c}$ w.r.t. "weakest link measure" $\mu$.
- Newest variation:

We insure that $P_{\text {min }}=P(\mathbb{F}, S)$ is the smallest possible. No control of algorithm's output among $\mathcal{P}_{\varepsilon} \max (S, T)$ was insurable before introduction of $\mathrm{GC}_{\text {max }}$ (as far as we know).

## $\mathrm{GC}_{\max }$ (i.e., our DA) data structure

- $\mathbb{F}$ is grown from roots, $W=S \cup T$, via adding edges.
- $\mathbb{F}$ is indicated via path-predecessor map Pr:
$\operatorname{Pr}[W]=\{\emptyset\}, \operatorname{Pr}(c)=$ predecessor of $c$ in $p_{c}$ for $c \notin W$
- $R(c)$ indicates root of $c$ : the initial $w \in W$ belonging to $p_{c}$
- We use preorder relation $\prec$ on $\mathbb{R} \times C$ :

$$
\langle x, c\rangle \prec\langle y, d\rangle \Longleftrightarrow x<y \text { or }(x=y \& d \in T \& c \notin T)
$$

- Initialize $\mu(c)=1, R(c)=c, \operatorname{Pr}(c)=\emptyset$ for $c \in W$
- Initialize $\mu(c)=-1, R(c)=c, \operatorname{Pr}(c)=c$ for $c \in C \backslash W$
- Insert every $c \in C$ into queue $Q$ according to priority $\preceq$


## The $\mathrm{GC}_{\text {max }}$ algorithm

begin

1. while $Q$ is not empty do
2. remove from $Q$ a $\preceq$-maximal spel $c$;
3. for every $d$ with $\{c, d\} \in E$ do
4. if $\langle\mu(d), R(d)\rangle \prec\left\langle\min \left\{\mu(c), w_{\{c, d\}}\right\}, R(c)\right\rangle$ then
5. $\quad$ set $\mu(d)=\min \left\{\mu(c), w_{\{c, d\}}\right\}$;
6. $\quad$ set $R(d)=R(c)$ and $\operatorname{Pr}(d)=c$;
7. $\quad$ remove temporarily $d$ from $Q$;
8. $\quad$ push $d$ to $Q$ with the current values of $\mu$ and $R$;
9. endif;
10. endfor;
11. endwhile;
12. return $\mu(\cdot, W)=\mu(\cdot), \mathbb{F}$ indicated by $\operatorname{Pr}, P_{\min }=P(S, \mathbb{F})$; end

## Properties of $\mathrm{GC}_{\text {max }}$; correctness

line 2: Each $c \in C$ is removed precisely once from $Q$

- with $\mu(c)=\mu(c, W)$
- with $\prec$-maximal value of $\langle\mu(c), R(c)\rangle$

Proof: If the above fails for a $c \in C$ and $c$ comes from the fist execution of line 2 when this happens, then, in earlier execution of lines 4-9, the value $\langle\mu(c), R(c)\rangle$ would have been increased.

So, indeed $\mathbb{F}$ is OPF and
$P_{\text {min }}=\mathcal{P}(S, \mathbb{F})$ is the $\subset$-smallest element of $\mathcal{P}$ OPF $(S, T)$.
Next we show that $P_{\text {min }}=P(S, \hat{\mathbb{F}})$ for some MSF $\hat{\mathbb{F}}$

## Kruskal's algorithm KA

Kruskal's algorithm creates MSF $\hat{\mathbb{F}}$ for $G=\langle C, E, w\rangle$ as follows:

- it lists all edges of the graph in a queue $Q$ such that their weights form a non-increasing sequence;
- it removes consecutively the edges from $Q$, adding to $\hat{\mathbb{F}}$ those, which addition creates, in the expanded $\hat{\mathbb{F}}$, neither a cycle nor a path between different vertices from $W$; other edges are discarded.

This schema has a leeway in choosing the order of edges in $Q$ : those that have the same weight can be ordered arbitrarily.

This leeway will be exploited in the next proof.

## Construction of MSF $\hat{\mathbb{F}}$ with $P_{\text {min }}=P(S, \hat{\mathbb{E}})$

Put $B=\operatorname{bd}(P(S, \mathbb{F}))$.
Insert every $e \in E$ into queue $Q$ such that:

- the weights of $e \in Q$ are in a non-increasing order;
- among the edges with the same weight, all those from $E \backslash B$ precede all those from $B$.

Apply Kruskal's algorithm to this $Q$ to get MSF $\hat{\mathbb{F}}$.
$\hat{\mathbb{F}}$ is an MSF by the power of Kruskal's algorithm.

To prove that $P(S, \hat{\mathbb{F}})=P(S, \mathbb{F})$
it is enough to show that $\hat{\mathbb{F}} \cap B=\emptyset$.

GC vs FC

## $\hat{\mathbb{F}}$ is disjoint with $B=\operatorname{bd}(P(S, \mathbb{F}))$

Let $e=\{c, d\} \in B=\operatorname{bd}(P(S, \mathbb{F})), c \in P(T, \mathbb{F})$. We show that:
In KA, adding e to $\hat{\mathbb{F}}$ would create a path from $S$ to $T$.
Let $p_{c}$ and $p_{d}$ be the paths in $\mathbb{F}$ from $W$ to $c$ and $d$. Then

$$
\begin{equation*}
\mu\left(p_{c}\right) \geq w_{e} \text { and } \mu\left(p_{d}\right) \geq w_{e} \tag{1}
\end{equation*}
$$

Proof: If $\mu\left(p_{c}\right)>\mu\left(p_{d}\right)$, then $w_{e} \leq \mu\left(p_{d}\right)$, since otherwise $\mu\left(p_{d}\right)<\min \left\{\mu\left(p_{c}\right), w_{e}\right\} \leq \mu(d, W)$,
contradicting optimality of $p_{d}$.
Similarly, $\mu\left(p_{c}\right)<\mu\left(p_{d}\right)$ implies $w_{e} \leq \mu\left(p_{c}\right)$.
Finally, $\mu\left(p_{c}\right)=\mu\left(p_{d}\right)$ implies $w_{e}<\mu\left(p_{c}\right)=\mu\left(p_{d}\right)$, since otherwise $\mathrm{GC}_{\max }$ (during the execution of lines 6-8 for $c$ and $d$ ) would reassign $d$ to $P(T, \mathbb{F})$, contradicting $d \in P(S, \mathbb{F})$.
So, (1) is proved.

GC vs FC

For $e=\{c, d\} \in B=\operatorname{bd}(P(S, \mathbb{F})), c \in V \backslash P(S, \mathbb{F})$, we show: In KA, adding $e$ to $\hat{\mathbb{F}}$ would create a path from $S$ to $T$.

For paths $p_{c}$ and $p_{d}$ in $\mathbb{F}$ from $W$ to $c$ and $d$,

$$
\mu\left(p_{c}\right) \geq w_{e} \text { and } \mu\left(p_{d}\right) \geq w_{e}
$$

Let $E^{\prime}=\left\{e^{\prime} \in E: w_{e^{\prime}} \geq w_{e}\right\} \backslash B$. Then, $\hat{\mathbb{F}} \cap E^{\prime}$ is already constructed by KA. It is enough to show that

In $\hat{G}=\left\langle V, \hat{\mathbb{F}} \cap E^{\prime}\right\rangle$ there is path $\hat{p}_{d}$ from $S$ to $d$ and $\hat{p}_{c}$ from $T$ to $c$.
Proof. The component $\mathbb{C}$ of $d$ in $\hat{G}$ intersects $S$, as otherwise there is an $\hat{e} \in p_{d} \subset E^{\prime}$ only one vertex of which intersects $\mathbb{C}$ and $\hat{e} \in E^{\prime}$ would have been added to $\hat{\mathbb{F}}$, but was not. So, indeed, there is $\hat{p}_{d}$ as claimed. Similarly, for $\hat{p}_{c}$. QED

## If $\mathbb{F}$ is an MSF, then $P(S, \mathbb{F})$ minimizes $\varepsilon^{\max }$

Let $\mathbb{F}$ be an MSF and $P=P(S, \mathbb{F})$. Note that
$\varepsilon_{\text {min }} \stackrel{\text { def }}{=}\left\{\varepsilon^{\max }(P): P \in \mathcal{P}(S, T)\right\}=\max \{\mu(p): p$ is from $S$ to $T\}$
We need to show that $\varepsilon^{\max }(P) \leq \varepsilon_{\min }$. Assume it is not.
Then, there is an $e=\{c, d\} \in E$ with $c \in P=P(S, \mathbb{F}) \cap \operatorname{bd}(P)$ for which $w_{e}>\varepsilon_{\min }$. Let $p_{c}$ and $p_{d}$ be the paths in $\mathbb{F}$ from $W$ to $c$ and $d$. Then either $\mu\left(p_{c}\right)<w_{e}$ or $\mu\left(p_{d}\right)<w_{e}$; otherwise there is path $p$ from $S$ to $T$ with $\mu(p)=w_{e}>\varepsilon_{\min }$, a contradiction.

Assume that $\mu\left(p_{c}\right)<w_{e}$. Then $p_{c}=\left\langle c_{1}, \ldots, c_{k}\right\rangle$ with $k>1$ and $e^{\prime}=\left\{c_{k-1}, c_{k}\right\}$ has weight $\leq \mu\left(p_{c}\right)<w_{e}$. But then $\mathbb{F}^{\prime}=\mathbb{F} \cup\{e\} \backslash\left\{e^{\prime}\right\}$ is a spanning forest w.r.t. $W$ with $w\left(\mathbb{F}^{\prime}\right)=w(\mathbb{F})+w_{e}-w_{e^{\prime}}>w(\mathbb{F})$, contradicting that $\mathbb{F}$ is MSF. QED

## Summary

We proved that $\mathrm{GC}_{\text {max }}$ algorithm returns OPF $\mathbb{F}$ for which $P(S, \mathbb{F})$ minimizes $\varepsilon^{\max }(P) \stackrel{\text { def }}{=} \max _{e \in \operatorname{bd}(P)} w(e)$ in $\mathcal{P}(S, T)$.

Moreover,

$$
P_{\min } \in \mathcal{P}_{M S F}(S, T) \subset \mathcal{P}_{O P F}(S, T) \cap \mathcal{P}_{\varepsilon^{\max }}(S, T)
$$

where $\mathcal{P}_{M S F}(S, T)=\{P(S, \mathbb{F}): \mathbb{F}$ is MSF $\}$, similarly for OPF, and $\mathcal{P}_{\varepsilon} \max (S, T)$ is the set of all $\varepsilon^{\text {max }}$-optimizers.

None of the inclusions can be reversed.

## Thank you for your attention!

