

Topology and its Applications 79 (1997) 75-99

TOPOLOGY AND ITS APPLICATIONS

# Algebraic properties of the class of Sierpiński–Zygmund functions <sup>☆</sup>

Krzysztof Ciesielski<sup>a,\*</sup>, Tomasz Natkaniec<sup>b,1</sup>

<sup>a</sup> Department of Mathematics, West Virginia University, Morgantown, WV 26506-6310, USA

<sup>b</sup> Department of Mathematics, Gdańsk University, Wita Stwosza 57, 80-952 Gdańsk, Poland

Received 20 May 1996; revised 17 September 1996

### Abstract

Sums, products and compositions with Sierpiński–Zygmund functions are investigated. Moreover, cardinal invariants connected with those operations are defined and studied. © 1997 Elsevier Science B.V.

*Keywords:* Cardinal invariants; Sierpiński–Zygmund functions; Generalized Martin's Axiom; Lusin sequence of filters

AMS classification: Primary 26A15, Secondary 03E50; 03E65

## 1. Preliminaries

Let us establish some terminology to be used. No distinction is made between a function and its graph. The family of all functions from a set X into Y will be denoted by  $Y^X$ . Symbol card(X) will stand for the cardinality of a set X. The cardinality of the set  $\mathbb{R}$  of real numbers is denoted by  $\mathbf{c}$ . Symbol  $[X]^{\kappa}$  denotes the family of all subsets Y of X with card(Y) =  $\kappa$ . Similarly we define  $[X]^{<\kappa}$  and  $[X]^{\leqslant\kappa}$ . For a cardinal number  $\kappa$  we will write  $cf(\kappa)$  for the cofinality of  $\kappa$ . Recall that a cardinal number  $\kappa$  is regular, if  $\kappa = cf(\kappa)$ . For  $A \subset \mathbb{R}$  its characteristic function is denoted by  $\chi_A$ . If A is a planar set, we denote its x-projection by dom(A) and y-projection by rng(A). For  $f, g \in \mathbb{R}^{\mathbb{R}}$  the notation [f = g] means the set  $\{x \in \mathbb{R}: f(x) = g(x)\}$ . Likewise for  $[f > g], [f \neq g]$ , etc.

<sup>\*</sup> This work was partially supported by NSF Cooperative Research Grant INT-9600548.

<sup>\*</sup> Corresponding author. E-mail: kcies@wvnvms.wvnet.edu.

<sup>&</sup>lt;sup>1</sup> E-mail: mattn@ksinet.univ.gda.pl.

For  $X \subset \mathbb{R}$  we say that a function  $f: X \to \mathbb{R}$  is of *Sierpiński–Zygmund type* (shortly, an *SZ*-function), if its restriction  $f \upharpoonright M$  is discontinuous for any set  $M \subset X$  with  $\operatorname{card}(M) = \mathbf{c}$  [15]. The family of all *SZ*-functions from  $\mathbb{R}$  to  $\mathbb{R}$  will be denoted by *SZ*. The symbol  $\mathcal{C}$  will stand for the family of all continuous functions  $f: \mathbb{R} \to \mathbb{R}$ , and  $\mathcal{C}_{G_{\delta}}$ for the family of all continuous functions defined on  $G_{\delta}$ -sets  $X \subset \mathbb{R}$  with  $\operatorname{card}(X) = \mathbf{c}$ . Recall also that a function  $f \in \mathbb{R}^{\mathbb{R}}$  is an *SZ*-function if and only if  $\operatorname{card}([f = g]) < \mathbf{c}$ for every  $g \in \mathcal{C}_{G_{\delta}}$  [15]. We will sometimes abuse this notation by writing  $f \in SZ$  and  $f \in \mathcal{C}$  for partial functions  $f: X \to \mathbb{R}$  with  $X \subseteq \mathbb{R}$ .

The following fact can be proved by a slight modification of the original proof of Sierpiński and Zygmund [15].

**Proposition 1.1.** For every family  $\{Y_x : x \in \mathbb{R}\}$  of subsets of  $\mathbb{R}$  of cardinality **c** there exists an SZ-function  $f : \mathbb{R} \to \mathbb{R}$  such that  $f(x) \in Y_x$  for every  $x \in \mathbb{R}$ .

In particular,  $card(SZ) = 2^{c}$ .

For every cardinal  $\kappa$  and a partially ordered set (shortly poset)  $\mathbb{P}$  we shall consider the following statements. (See [3]. Compare also [7,9,10,16].)

 $\mathbf{MA}_{\kappa}(\mathbb{P})$  ( $\kappa$ -Martin's Axiom for  $\mathbb{P}$ ). For any family  $\mathcal{D}$  of dense subsets of  $\mathbb{P}$  with  $\operatorname{card}(\mathcal{D}) < \kappa$  there exists a  $\mathcal{D}$ -generic filter G in  $\mathbb{P}$ , i.e., such that  $D \cap G \neq \emptyset$  for every  $D \in \mathcal{D}$ .

Lus<sub> $\kappa$ </sub>( $\mathbb{P}$ ). There exists a sequence  $\langle G_{\alpha}: \alpha < \kappa \rangle$  of  $\mathbb{P}$ -filters, called a  $\kappa$ -Lusin sequence, such that card({ $\alpha < \kappa: G_{\alpha} \cap D = \emptyset$ }) <  $\kappa$  for every dense set  $D \subset \mathbb{P}$ .

#### 2. Sums

**Theorem 2.1.** For every family  $\mathcal{F} \subset \mathbb{R}^{\mathbb{R}}$  with  $card(\mathcal{F}) \leq \mathbf{c}$  there exists an  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $h + f \in SZ$  for each  $f \in \mathcal{F}$ .

**Proof.** Let  $\{g_{\alpha}: \alpha < \mathbf{c}\} = C_{G_{\delta}}, \{x_{\alpha}: \alpha < \mathbf{c}\} = \mathbb{R}$ , and  $\{f_{\alpha}: \alpha < \mathbf{c}\} = \mathcal{F}$ . For every  $\alpha < \mathbf{c}$  choose  $h(x_{\alpha}) \in \mathbb{R} \setminus \{g_{\gamma}(x_{\alpha}) - f_{\beta}(x_{\alpha}): \beta, \gamma \leq \alpha\}$ . Such a function h satisfies the following condition:

 $(\forall \beta < \mathbf{c}) \ (\forall \gamma < \mathbf{c}) \ [h + f_{\beta} = g_{\gamma}] \subset \{x_{\alpha}: \ \alpha < \max(\beta, \gamma)\},\$ 

so  $\operatorname{card}((h + f_{\beta}) \cap g_{\gamma}) < \mathbf{c}$  for all  $\beta, \gamma < \mathbf{c}$ .  $\Box$ 

Corollary 2.2. Every real function f can be expressed as the sum of two SZ-functions.

**Proof.** Use Theorem 2.1 with  $\mathcal{F} = \{0, f\}$ .  $\Box$ 

The following cardinal function has been defined in [11] for  $\mathcal{G} \subset \mathbb{R}^{\mathbb{R}}$ . (Compare also [3,4].)

$$a(\mathcal{G}) = \min(\{\operatorname{card}(\mathcal{F}): \ \mathcal{F} \subset \mathbb{R}^{\mathbb{R}} \ \& \ \neg \exists h \in \mathbb{R}^{\mathbb{R}} \ \forall f \in \mathcal{F} \ h + f \in \mathcal{G}\} \cup \{(2^{\mathfrak{c}})^+\})$$
$$= \min(\{\operatorname{card}(\mathcal{F}): \ \mathcal{F} \subset \mathbb{R}^{\mathbb{R}} \ \& \ \forall h \in \mathbb{R}^{\mathbb{R}} \ \exists f \in \mathcal{F} \ h + f \notin \mathcal{G}\} \cup \{(2^{\mathfrak{c}})^+\}).$$

Evidently, there is no  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $h + f \in SZ$  for all  $f \in \mathbb{R}^{\mathbb{R}}$ . Therefore Theorem 2.1 yields to the following corollary.

Corollary 2.3.  $\mathbf{c} < a(SZ) \leq 2^{\mathbf{c}}$ .

Hence, if  $\mathbf{c}^+ = 2^{\mathbf{c}}$ , then  $a(SZ) = 2^{\mathbf{c}}$ . However, it is interesting whether or not anything more can be said about the cardinal a(SZ). (The analogous problem for the classes AC of almost continuous functions and  $\mathcal{D}$  of Darboux functions is considered in [3].) To address this question we need the following partially ordered sets  $\langle \mathbb{P}, \leqslant \rangle$  and  $\langle \mathbb{P}^*, \leqslant \rangle$ .

$$\mathbb{P} = \{ p \in \mathbb{R}^X : X \subseteq \mathbb{R} \& \operatorname{card}(X) < \mathbf{c} \},\$$

i.e.,  $\mathbb{P}$  is the set of all partial functions from  $\mathbb{R}$  to  $\mathbb{R}$  of cardinality less than c. We put  $p \leq q$  if and only if  $p \supseteq q$ , i.e., when p extends q as a partial function.

 $\mathbb{P}^* = \big\{ \langle p, E \rangle \colon \ p \in \mathbb{P} \ \& \ E \subseteq \mathbb{R}^{\mathbb{R}} \ \& \ \operatorname{card}(E) < \mathbf{c} \big\}.$ 

The ordering on  $\mathbb{P}^*$  is defined by

$$\langle p, E \rangle \leqslant \langle q, F \rangle$$
 iff  $p \supseteq q$  and  $E \supseteq F$   
and  $\forall x \in \operatorname{dom}(p) \setminus \operatorname{dom}(q) \ \forall f \in F \ p(x) \neq f(x).$ 

The following theorem can be found in [3, Theorem 3.7].

**Theorem 2.4.** Let  $\lambda \ge \kappa \ge \omega_2$  be cardinals such that  $cf(\lambda) > \omega_1$  and  $\kappa$  is regular. Then it is relatively consistent with ZFC + CH that  $2^c = \lambda$  and  $Lus_{\kappa}(\mathbb{P}^*)$  holds.

We will prove the following theorem.

**Theorem 2.5.** If  $\kappa > \mathbf{c}$  is a regular cardinal then  $\operatorname{Lus}_{\kappa}(\mathbb{P}^*)$  implies that  $a(SZ) = \kappa$ .

This and Theorem 2.4 will immediately imply the following corollary.

**Corollary 2.6.** Let  $\lambda \ge \kappa \ge \omega_2$  be cardinals such that  $cf(\lambda) > \omega_1$  and  $\kappa$  is regular. Then it is relatively consistent with ZFC + CH that  $2^c = \lambda$  and  $a(SZ) = \kappa$ .

The proof of Theorem 2.5 will be split into three lemmas.

## Lemma 2.7.

(i)  $\operatorname{Lus}_{\kappa}(\mathbb{P}^*) \Rightarrow \operatorname{Lus}_{\kappa}(\mathbb{P}).$ 

(ii) For any regular  $\kappa$  we have  $Lus_{\kappa}(\mathbb{P}^*) \Rightarrow MA_{\kappa}(\mathbb{P}^*)$ .

**Proof.** The proof is implicitly contained in the proof of [3, Lemma 3.6]. Let  $\langle G_{\alpha}: \alpha < \kappa \rangle$  be a  $\kappa$ -Lusin sequence for  $\mathbb{P}^*$ .

(i) follows from the fact that in some sense  $\mathbb{P}$  is "living inside" of  $\mathbb{P}^*$ . To see it, let  $r: \mathbb{R} \to \mathbb{R}$  be a map with of  $\operatorname{card}(r^{-1}(y)) = \mathbf{c}$  for every  $y \in \mathbb{R}$ . Define  $\pi: \mathbb{P}^* \to \mathbb{P}$  by

$$\pi(p,F)=r\circ p.$$

Notice that if  $\langle p, E \rangle \leq \langle q, F \rangle$  then  $\pi(p, E) \leq \pi(q, F)$ . This implies that  $\pi[G]$  is a  $\mathbb{P}$ -filter for any  $\mathbb{P}^*$ -filter G. Furthermore, we claim that if  $D \subseteq \mathbb{P}$  is dense, then  $\pi^{-1}(D)$  is dense in  $\mathbb{P}^*$ . To see this, let  $\langle p, F \rangle \in \mathbb{P}^*$  be arbitrary. Since D is dense, there exists  $q \leq \pi(p, F)$  with  $q \in D$ . Now, find  $s \in \mathbb{P}$  extending p such that  $r \circ s = q \supseteq r \circ p$  and  $s(x) \neq f(x)$  for every  $x \in \text{dom}(s) \setminus \text{dom}(p)$  and  $f \in F$ . This can be done by choosing

$$s(x) \in r^{-1}(q(x)) \setminus \{f(x): f \in F\}$$

for every  $x \in \text{dom}(q) \setminus \text{dom}(p)$ . Then,  $\langle s, F \rangle \leq \langle p, F \rangle$  and  $\langle s, F \rangle \in \pi^{-1}(q) \subseteq \pi^{-1}(D)$ . Now,  $\langle \pi[G_{\alpha}]: \alpha < \kappa \rangle$  is a  $\kappa$ -Lusin sequence for  $\mathbb{P}$  since for every dense  $D \subseteq \mathbb{P}$ ,

$$\{ \alpha < \kappa \colon \pi[G_{\alpha}] \cap D = \emptyset \} = \{ \alpha < \kappa \colon \pi[G_{\alpha}] \cap \pi[\pi^{-1}(D)] = \emptyset \}$$
  
 
$$\subseteq \{ \alpha < \kappa \colon G_{\alpha} \cap \pi^{-1}(D) = \emptyset \}.$$

To see (ii) take a family  $\mathcal{D}$  of dense subsets of  $\mathbb{P}^*$  of cardinality less than  $\kappa$ . By the regularity of  $\kappa$ , there exists  $\alpha < \kappa$  such that  $G_{\alpha}$  meets every element of  $\mathcal{D}$ .  $\Box$ 

**Lemma 2.8.** Assume that  $\kappa$  is a regular cardinal and  $\kappa > \mathbf{c}$ . Then  $\operatorname{Lus}_{\kappa}(\mathbb{P})$  implies that  $a(SZ) \leq \kappa$ .

**Proof.** Let  $\langle G_{\alpha}: \alpha < \kappa \rangle$  be a  $\kappa$ -Lusin sequence of  $\mathbb{P}$ -filters and let

$$f_{\alpha} = \bigcup G_{\alpha}.$$

Then  $f_{\alpha}$  is a partial function from  $\mathbb{R}$  into  $\mathbb{R}$ . Let

$$D_x = \left\{ p \in \mathbb{P} \colon x \in \operatorname{dom}(p) \right\}.$$

It is easy to see that each  $D_x$  is dense in  $\mathbb{P}$ . Hence, since  $\mathbf{c} < \kappa$  and  $\kappa$  is regular, we may assume that each  $f_{\alpha}$  is a total function.

Now, let  $\{x_{\xi}: \xi < \mathbf{c}\} = \mathbb{R}$ . For each  $\xi < \mathbf{c}, g \in \mathcal{C}_{G_{\delta}}$ , and  $h \in \mathbb{R}^{\mathbb{R}}$  define

$$D_{\xi}(g,h) = \left\{ p \in \mathbb{P} \colon (\exists \eta \ge \xi) \big( x_{\eta} \in \operatorname{dom}(p) \cap \operatorname{dom}(g) \And (h+p)(x_{\eta}) = g(x_{\eta}) \big) \right\}.$$

Note that  $D_{\xi}(g,h)$  is dense in  $\mathbb{P}$ , since for any  $p \in \mathbb{P}$  there is  $\eta \ge \xi$  with

 $x_{\eta} \in \operatorname{dom}(g) \setminus \operatorname{dom}(p).$ 

Then

$$p \cup \left\{ \left\langle x_{\eta}, g(x_{\eta}) - h(x_{\eta}) \right\rangle \right\} \in D_{\xi}(g, h)$$

extends p. By the regularity of  $\kappa$ , for any  $h \in \mathbb{R}^{\mathbb{R}}$  there exists  $\alpha < \kappa$  such that  $G_{\alpha}$  intersects every set  $D_{\xi}(g,h)$  with  $\xi < \mathbf{c}$  and  $g \in C_{G_{\delta}}$ , and so,  $\operatorname{card}((h + f_{\alpha}) \cap g) = \mathbf{c}$ .

Thus, for every  $h \in \mathbb{R}^{\mathbb{R}}$  there exists  $\alpha < \kappa$  such that  $h + f_{\alpha} \notin SZ$ , i.e., the family  $\mathcal{F} = \{f_{\alpha}: \alpha < \kappa\}$  shows that  $a(SZ) \leq \kappa$  as was to be shown.  $\Box$ 

**Lemma 2.9.** If  $\kappa > \mathbf{c}$  then  $MA_{\kappa}(\mathbb{P}^*)$  implies that  $a(SZ) \ge \kappa$ .

**Proof.** Let  $\mathcal{F} \subseteq \mathbb{R}^{\mathbb{R}}$  be such that  $\operatorname{card}(\mathcal{F}) < \kappa$ . We will find  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $h+f \in SZ$  for every  $f \in \mathcal{F}$ .

Notice that for any  $x \in \mathbb{R}$  the set

$$D_x = \{ \langle p, E \rangle \in \mathbb{P}^* \colon x \in \operatorname{dom}(p) \}$$

is dense in  $\mathbb{P}^*$ . Indeed, let  $\langle q, F \rangle$  be an arbitrary element of  $\mathbb{P}^*$  and suppose it is not already an element of  $D_x$ . The set  $Q = \{f(x): f \in F\}$  has cardinality less than **c**, so there exists  $y \in \mathbb{R} \setminus Q$ . Let  $p = q \cup \{\langle x, y \rangle\}$ . Then  $\langle p, F \rangle \leq \langle q, F \rangle$  and  $\langle p, F \rangle \in D_x$ . Therefore  $h = \bigcup \{p: (\exists E) (\langle p, E \rangle \in G)\}$  is a function from  $\mathbb{R}$  into  $\mathbb{R}$  for any  $\mathbb{P}^*$ -filter *G* intersecting all sets  $D_x$ .

Note also, that for  $f \in \mathbb{R}^{\mathbb{R}}$  the set

$$E_f = \left\{ \langle p, E \rangle \in \mathbb{P}^* \colon f \in E \right\}$$

is dense in  $\mathbb{P}^*$  since  $\langle p, E \cup \{f\} \rangle \in E_f$  extends  $\langle p, E \rangle$ .

Let

$$\mathcal{D} = \{ D_x \colon x \in \mathbb{R} \} \cup \{ E_{\bar{g}-f} \colon f \in \mathcal{F} \& g \in \mathcal{C}_{G_b} \},\$$

where  $\bar{g} \in \mathbb{R}^{\mathbb{R}}$  extends  $g \in C_{G_{\delta}}$  by associating 0 at all undefined places. Then,  $\mathcal{D}$  is a family of less than  $\kappa$  many dense subsets of  $\mathbb{P}^*$ . Let G be a  $\mathcal{D}$ -generic filter in  $\mathbb{P}^*$  and let  $h = \bigcup \{p: (\exists E) (\langle p, E \rangle \in G)\}$ . We have to show that  $h + f \in SZ$  for every  $f \in \mathcal{F}$ .

So, let  $f \in \mathcal{F}$  and  $g \in \mathcal{C}_{G_{\delta}}$ . Then there exists  $\langle p, E \rangle \in G \cap E_{\bar{g}-f}$ . So, by the definition of order on  $\mathbb{P}$  it is easy to see that

$$\left\{x \in \mathbb{R}: (f+h)(x) = g(x)\right\} \subseteq \left\{x \in \mathbb{R}: h(x) = \bar{g}(x) - f(x)\right\} \subseteq \operatorname{dom}(p).$$

Thus,  $h + f \in SZ$  for every  $f \in \mathcal{F}$ .  $\Box$ 

Application of Lemmas 2.7, 2.8 and 2.9 finishes the proof of Theorem 2.5.

In [3] it has been proved that  $a(D) = a(AC) = e_c$  and that this number has cofinality greater than continuum c, where

$$e_{\kappa} = \min\{\operatorname{card}(F): F \subseteq \kappa^{\kappa} \And \forall h \in \kappa^{\kappa} \exists f \in F \operatorname{card}(f \cap h) < \kappa\}.$$

Next, we will compare a(SZ) with a(D), and give a characterization of a(SZ) similar to that of  $e_{c}$ . We will also address an issue of the cofinality of a(SZ).

Since for a regular  $\kappa > c$  an axiom  $\text{Lus}_{\kappa}(\mathbb{P}^*)$  implies  $a(\mathcal{D}) = \kappa$  [3, Section 3] we can conclude the following fact.

**Corollary 2.10.** Let  $\lambda \ge \kappa \ge \omega_2$  be cardinals such that  $cf(\lambda) > \omega_1$  and  $\kappa$  is regular. Then it is relatively consistent with ZFC + CH that  $2^c = \lambda$  and  $a(\mathcal{D}) = a(SZ) = \kappa$ .

Note also the following strengthening of [3, Theorem 3.3].

**Theorem 2.11.** Let  $\lambda \ge \omega_2$  be a cardinal such that  $cf(\lambda) > \omega_1$ . Then it is relatively consistent with ZFC + CH that  $2^{\mathfrak{c}} = \lambda$  and  $Lus_{\kappa}(\mathbb{P})$  holds for every regular  $\kappa > \mathfrak{c}$ ,  $\kappa \le 2^{\mathfrak{c}}$ .

**Proof.** The proof is identical to that of [3, Theorem 3.3].  $\Box$ 

Now, recall also that  $\text{Lus}_{\kappa}(\mathbb{P})$  implies  $a(\mathcal{D}) \ge \kappa$  for every regular  $\kappa > \mathbf{c}$  [3]. Thus, in a model of Theorem 2.11 we have  $a(\mathcal{D}) = 2^{\mathbf{c}} = \lambda$ . On the other hand in this model we have  $\text{Lus}_{\mathbf{c}^+}(\mathbb{P})$ . So, by Lemma 2.8 and Corollary 2.3,  $a(SZ) = \mathbf{c}^+$ . In particular, we obtain the following corollary.

**Corollary 2.12.** Let  $\lambda > \omega_2$  be a cardinal such that  $cf(\lambda) > \omega_1$ . Then it is relatively consistent with ZFC + CH that  $2^{\mathbf{c}} = \lambda$  is true, and  $a(SZ) = \mathbf{c}^+ < 2^{\mathbf{c}} = a(\mathcal{D})$ .

The following remains an open problem.

**Problem 2.13.** Is it consistent that a(SZ) > a(D)?

For an infinite cardinal  $\kappa$  define

$$d_{\kappa} = \min\{\operatorname{card}(F): F \subseteq \kappa^{\kappa} \And \forall h \in \kappa^{\kappa} \exists f \in F \operatorname{card}(f \cap h) = \kappa\}.$$

Notice that  $d_{\kappa} > \kappa$ .

**Theorem 2.14.**  $a(SZ) = d_{c}$ .

**Proof.** To see that  $d_{\mathbf{c}} \leq a(SZ)$  choose  $\mathcal{F} \subset \mathbb{R}^{\mathbb{R}}$  with  $\operatorname{card}(\mathcal{F}) < d_{\mathbf{c}}$  and define

 $\overline{\mathcal{F}} = \{ \overline{g} - f \colon f \in \mathcal{F} \& g \in \mathcal{C}_{G_{\delta}} \}.$ 

where  $\bar{g} \in \mathbb{R}^{\mathbb{R}}$  extends g by associating 0 at all undefined places. Then,

 $\operatorname{card}(\overline{\mathcal{F}}) \leq \operatorname{card}(\mathcal{F}) \cdot \mathbf{c} < d_{\mathbf{c}}.$ 

So, there exists an  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $\operatorname{card}(h \cap \overline{f}) < \mathbf{c}$  for every  $\overline{f} \in \overline{\mathcal{F}}$ . Hence, for every  $f \in \mathcal{F}$  and  $g \in \mathcal{C}_{G_{\delta}}$ 

$$ext{card}ig((h+f)\cap gig)\leqslant ext{card}ig((h+f)\cap ar{g}ig)= ext{card}ig(h\cap (ar{g}-f)ig)< \mathbf{c}$$

since  $\overline{g} - f \in \overline{\mathcal{F}}$ . So,  $h + f \in SZ$  every  $f \in \mathcal{F}$ , and  $d_{\mathbf{c}} \leq a(SZ)$ .

To see that  $a(SZ) \leq d_{\mathbf{c}}$  choose  $\mathcal{F} \subset \mathbb{R}^{\mathbb{R}}$  with  $\operatorname{card}(\mathcal{F}) < a(SZ)$  and let  $-\mathcal{F} = \{-f: f \in \mathcal{F}\}$ . Using the definition of a(SZ) to  $-\mathcal{F}$  we can find  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $h - f \in SZ$  for every  $f \in \mathcal{F}$ . In particular, for  $g_0 \equiv 0$  we have

$$\operatorname{card}(h \cap f) = \operatorname{card}(h \cap (f + g_0)) = \operatorname{card}((h - f) \cap g_0) < \mathbf{c}$$

for every  $f \in \mathcal{F}$ . So,  $a(SZ) \leq d_{\mathbf{c}}$ .  $\Box$ 

To address the problem of cofinality of a(SZ) we need the following theorem, where  $\kappa^{<\kappa}$  is the supremum of all cardinals  $\kappa^{\lambda}$  with  $\lambda < \kappa$ .

**Theorem 2.15.** If  $\kappa \ge \omega$  is a cardinal number such that  $\kappa^{<\kappa} = \kappa$  then  $cf(d_{\kappa}) > \kappa$ .

**Proof.** Let T be the set of all functions from some  $\xi < \kappa$  into  $\kappa$ , i.e.,  $T = \bigcup_{\xi < \kappa} \kappa^{\xi}$ . Thus, by our assumption,  $\operatorname{card}(T) = \kappa$ . Let  $\langle F_{\xi} \subset T^{\kappa}: \xi < \kappa \rangle$  be an increasing sequence such that  $\operatorname{card}(F_{\xi}) < d_{\kappa}$  for every  $\xi < \kappa$ . We shall show that the cardinality of  $F = \bigcup_{\xi < \kappa} F_{\xi}$  is less than  $d_{\kappa}$  by finding  $h \in T^{\kappa}$  such that  $\operatorname{card}(h \cap f) < \kappa$  for every  $f \in F$ . This will finish the proof.

For  $\xi < \kappa$  define

$$\overline{F}_{\xi} = \left\{ \overline{f} \in \left(\kappa^{\xi}\right)^{\kappa} \colon (\exists f \in F_{\xi}) (\forall \alpha < \kappa) \left(\overline{f}(\alpha) = f(\alpha) \upharpoonright^{\star} \xi\right) \right\},\$$

where  $[f(\alpha) \upharpoonright^{\star} \xi](\zeta) = f(\alpha)(\zeta)$  if  $\zeta \in \text{dom}(f(\alpha))$  and  $[f(\alpha) \upharpoonright^{\star} \xi](\zeta) = 0$  otherwise. Thus,  $\text{card}(\overline{F}_{\xi}) \leq \text{card}(F_{\xi}) < d_{\kappa}$  for every  $\xi < \kappa$ .

By induction on  $\xi < \kappa$  we will define a sequence  $\langle h_{\xi} \in (\kappa^{\xi})^{\kappa} : \xi < \kappa \rangle$  such that

(i)  $h_{\zeta}(\alpha) \subset h_{\xi}(\alpha)$  for every  $\alpha < \kappa$  and  $\zeta < \xi < \kappa$ .

(ii)  $\operatorname{card}(h_{\xi} \cap \overline{f}) < \kappa$  for every  $\overline{f} \in \overline{F}_{\xi}$  and every successor ordinal  $\xi < \kappa$ .

So assume that for some  $\xi < \kappa$  the sequence  $\langle h_{\zeta}: \zeta < \xi \rangle$  is already constructed. If  $\xi$  is a limit ordinal put  $h_{\xi}(\alpha) = \bigcup_{\zeta < \xi} h_{\zeta}(\alpha)$  for every  $\alpha < \kappa$ . Then (i) is clearly satisfied, and (ii) does not apply.

If  $\xi = \eta + 1$  is a successor ordinal, then the space

$$H_{\xi} = \left\{ h \in \left(\kappa^{\xi}\right)^{\kappa} : \ (\forall \alpha < \kappa) \left( h_{\eta}(\alpha) \subset h(\alpha) \right) \right\}$$

is naturally isomorphic to  $\kappa^{\kappa}$  by an isomorphism  $i: H_{\xi} \to \kappa^{\kappa}$ ,  $i(h)(\alpha) = h(\alpha)(\eta)$  for  $h \in H_{\xi}$  and  $\alpha < \kappa$ . Moreover,  $\operatorname{card}(\overline{F}_{\xi} \cap H_{\xi}) \leq \operatorname{card}(\overline{F}_{\xi}) < d_{\kappa}$ . So, there exists  $h_{\xi} \in H_{\xi} \subset (\kappa^{\xi})^{\kappa}$  satisfying (ii), while (i) is satisfied by any  $h \in H_{\xi}$ . The construction is completed.

To finish the proof define  $h : \kappa \to T$  by  $h(\xi) = h_{\xi}(\xi)$ . We will show that  $\operatorname{card}(h \cap f) < \kappa$  for every  $f \in F$ .

So, let  $f \in F$ . Then, there exists a successor ordinal number  $\xi < \kappa$  such that  $f \in F_{\xi}$ . Let  $\overline{f} \in \overline{F}_{\xi}$  be such that  $\overline{f}(\alpha) = f(\alpha) \upharpoonright^{\star} \xi$  for every  $\alpha < \kappa$ . Then

$$\{ \alpha < \kappa : \ h(\alpha) = f(\alpha) \} \subset \xi \cup \{ \alpha < \kappa : \ h(\alpha) \supset f(\alpha) \}$$
  
=  $\xi \cup \{ \alpha < \kappa : \ h_{\xi}(\alpha) = \bar{f}(\alpha) \}$ 

and, by (ii), this last set has cardinality less than  $\kappa$ . So card $(h \cap f) < \kappa$ .  $\Box$ 

From Theorems 2.14 and 2.15 we obtain the following corollary. (Note that  $\mathbf{c}^{<\mathbf{c}}$  is the supremum of all cardinals  $2^{\lambda}$  with  $\lambda < \mathbf{c}$ .)

Corollary 2.16. If  $\mathbf{c}^{<\mathbf{c}} = \mathbf{c}$  then  $\mathrm{cf}(a(SZ)) > \mathbf{c}$ .

The following remains an open problem.

**Problem 2.17.** Can a(SZ) be a singular cardinal?

Since  $a(SZ) = d_c$  and  $a(D) = e_c$ , Problems 2.13 and 2.17 can be rephrased as follows.

(\*) Let  $\kappa = \mathbf{c}$ . Is it consistent that  $d_{\kappa} > e_{\kappa}$ ? Can  $d_{\kappa}$  be singular?

Notice that for  $\kappa = \omega$  the answer for these problems is well known, since  $d_{\omega} = \text{non}(\text{meager})$  is the minimum cardinality of a nonmeager subset of  $\mathbb{R}$ , and  $e_{\omega} = \text{cov}(\text{meager})$  is the minimum cardinality of a family of meager subset of  $\mathbb{R}$  whose union is equal to  $\mathbb{R}$ . (See [2].) Thus, for  $\kappa = \omega$  the answer for both questions is positive. (Compare also [8] for some results concerning  $e_{\kappa}$  for  $\kappa > \omega$ .)

Next, let  $\mathcal{M}_a(SZ)$  denote the maximal additive family for the class SZ, i.e.,

 $\mathcal{M}_a(SZ) = \{ f \in \mathbb{R}^{\mathbb{P}} : f + h \in SZ \text{ for each } h \in SZ \}.$ 

To describe the structure of  $\mathcal{M}_a(SZ)$  we need the following easy lemma.

**Lemma 2.18.** Let  $X \subset \mathbb{R}$  and  $f: X \to \mathbb{R}$  be an SZ-function. Then there exists an SZ-extension of f, i.e., an  $f^* \in \mathbb{R}^{\mathbb{R}}$  that  $f^* \in SZ$  and  $f^* \upharpoonright X = f$ .

**Proof.** Obviously for each  $h : \mathbb{R} \to \mathbb{R}$ ,  $h \in SZ$  if and only if  $h \upharpoonright (\mathbb{R} \setminus X) \in SZ$  and  $h \upharpoonright X \in SZ$ . Moreover, we can use the Sierpiński–Zygmund's method to obtain an SZ-function defined on any subset of  $\mathbb{R}$ . Therefore it is enough to construct an SZ-function  $g : \mathbb{R} \setminus X \to \mathbb{R}$  and put  $f^* = f \cup g$ .  $\Box$ 

**Theorem 2.19.** For every function  $f \in \mathbb{R}^{\mathbb{R}}$  the following conditions are equivalent:

(i) 
$$f \in \mathcal{M}_a(SZ)$$

(ii) for each  $X \in [\mathbb{R}]^{c}$  there exists a  $Y \in [X]^{c}$  such that  $f \mid Y \in C$ .

**Proof.** (ii)  $\Rightarrow$  (i). Suppose that f satisfies the condition (ii) and  $h + f \notin SZ$  for some  $h \in SZ$ . Then  $(h + f) \upharpoonright X \in C$  for some set  $X \in [\mathbb{R}]^{e}$ . Let  $Y \in [X]^{e}$  be a set such that  $f \upharpoonright Y \in C$ . Then  $h \upharpoonright Y \in C$ , in contradiction with  $h \in SZ$ .

(i)  $\Rightarrow$  (ii). Suppose that f does not fulfill the condition (ii). Then there exists  $X \in [\mathbb{R}]^c$  such that  $f \upharpoonright Y \notin C$  for each  $Y \in [X]^c$ , i.e.,  $f \upharpoonright X \in SZ$ . Let  $f^* \in \mathbb{R}^{\mathbb{R}}$  be an SZ-extension of f. Then  $-f^* \in SZ$  and  $(f - f^*) \upharpoonright X \in C$ , so  $f \notin \mathcal{M}_a(SZ)$ .  $\Box$ 

**Remark.** U. Darji proved under CH that a Borel function f satisfies the the condition (ii) if and only if it is countably continuous [6, Theorem 10]. In the same way one can prove that (ii) implies the following condition:

(iii) f is the union of less than **c** many continuous functions; and, assuming regularity of **c**, that (iii) implies (ii).

**Proof.** (ii)  $\Rightarrow$  (iii). Let  $\{g_{\alpha}: \alpha < \mathbf{c}\} = C_{G_{\delta}}$ . Suppose that f is not the union of less than  $\mathbf{c}$  many continuous functions. Then  $\operatorname{card}(\operatorname{dom}(f \setminus \bigcup_{\beta < \alpha} g_{\alpha})) = \mathbf{c}$  for each  $\alpha < \mathbf{c}$ . For every  $\alpha < \mathbf{c}$  choose  $x_{\alpha} \in \operatorname{dom}(f \setminus \bigcup_{\beta < \alpha} g_{\alpha}) \setminus \{x_{\beta}: \beta < \alpha\}$  and set  $X = \{x_{\alpha}: \alpha < \mathbf{c}\}$ . By (ii), there exists  $Y \in [X]^{\mathbf{c}}$  such that  $f \upharpoonright Y$  is continuous. Therefore  $f \upharpoonright Y = g_{\alpha} \upharpoonright Y$  for some  $\alpha < \mathbf{c}$ , so  $\operatorname{card}(f \cap g_{\alpha}) = \mathbf{c}$ , contrary to the construction of X. Now assume that **c** is a regular cardinal and f satisfies (iii). Then  $f = \bigcup_{\alpha < \kappa} f \upharpoonright X_{\alpha}$  for some  $\kappa < \mathbf{c}$  and all functions  $f \upharpoonright X_{\alpha}$  are continuous. Fix  $X \in [\mathbb{R}]^{\mathbf{c}}$ . By the regularity of **c**,  $\operatorname{card}(X \cap X_{\alpha}) = \mathbf{c}$  for some  $\alpha < \kappa$  and, for  $Y = X \cap X_{\alpha}$ ,  $f \upharpoonright Y$  is continuous.

It is also worth to notice in this context that if  $f: X \to \mathbb{R}$  is SZ for some  $X \subset \mathbb{R}$  then for every  $Y \in [X]^c$  its restriction  $f \upharpoonright Y$  is not countably (even  $\kappa < cf(c)$ ) continuous.

## 3. Products

In this section we will examine for which functions  $f \in \mathbb{R}^{\mathbb{R}}$  there exists  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $hf \in SZ$ .

First note that if  $\operatorname{card}([f = 0]) = \mathbf{c}$  then  $hf \in SZ$  for no  $h : \mathbb{R} \to \mathbb{R}$ . Thus, we will restrict our attention to the family

 $\mathcal{R}_0 = \{ f \in \mathbb{R}^{\mathbb{R}} : \operatorname{card}([f=0]) < \mathbf{c} \}.$ 

**Theorem 3.1.** For every family  $\mathcal{F} \subset \mathcal{R}_0$  with  $card(\mathcal{F}) \leq c$  there exists an  $h: \mathbb{R} \to \mathbb{R} \setminus \{0\}$  such that  $hf \in SZ$  for each  $f \in \mathcal{F}$ .

**Proof.** Let  $\{g_{\alpha}: \alpha < \mathbf{c}\} = C_{G_{\delta}}, \{x_{\alpha}: \alpha < \mathbf{c}\} = \mathbb{R}$ , and  $\{f_{\alpha}: \alpha < \mathbf{c}\} = \mathcal{F}$ . For  $\alpha < \mathbf{c}$  choose

$$h(x_{\alpha}) \in \mathbb{R} \setminus \left( \{0\} \cup \left\{ \frac{g_{\gamma}(x_{\alpha})}{f_{\beta}(x_{\alpha})} : \beta, \gamma \leq \alpha \And f_{\beta}(x_{\alpha}) \neq 0 \right\} \right).$$

Such a function h satisfies the following condition:

$$(orall eta < \mathbf{c}) \ (orall \gamma < \mathbf{c}) \ [hf_eta = g_\gamma] \subset [f_eta = 0] \cup \{x_lpha \colon lpha < \max(eta, \gamma)\}.$$

so  $\operatorname{card}((hf_{\beta}) \cap g_{\gamma}) < \mathbf{c}$  for all  $\beta, \gamma < \mathbf{c}$ .  $\Box$ 

**Corollary 3.2.** For every function  $f \in \mathbb{R}^{\mathbb{R}}$  the following conditions are equivalent:

- (i) card([f = 0]) < c,
- (ii) f is the product of two SZ-functions.

Let m(SZ) denote the least cardinal  $\kappa$  for which there exists a family  $\mathcal{F} \subset \mathcal{R}_0$  such that card $(\mathcal{F}) = \kappa$  and for every  $h : \mathbb{R} \to \mathbb{R}$  there exists  $f \in \mathcal{F}$  with  $hf \notin SZ$ . (Note that this definition is different from the definition of the cardinal function m defined in [11]; cf. [13].)

**Theorem 3.3.** a(SZ) = m(SZ).

**Proof.** " $a(SZ) \leq m(SZ)$ ". Assume that  $\mathcal{F} \subset \mathcal{R}_0$  is a family of functions such that  $card(\mathcal{F}) < a(SZ)$ . For every  $f \in \mathcal{F}$  let  $\tilde{f}$  be the function defined by

$$\tilde{f}(x) = \begin{cases} |f(x)| & \text{if } f(x) \neq 0, \\ 1 & \text{if } f(x) = 0. \end{cases}$$

Note that  $\operatorname{card}(\{f: f \in \mathcal{F}\}) \leq \operatorname{card}(\mathcal{F}) < a(SZ)$ , so there exists  $h: \mathbb{R} \to \mathbb{R}$  such that  $h + \ln(\tilde{f}) \in SZ$  for each  $f \in \mathcal{F}$ . Therefore  $\exp(h + \ln(\tilde{f})) \in SZ$ , so  $\exp(h)\tilde{f} \in SZ$  for  $f \in \mathcal{F}$ . We shall verify that  $\exp(h)f \in SZ$  for every  $f \in \mathcal{F}$ . Suppose that  $\exp(h)f \upharpoonright X \in \mathcal{C}$  for some  $X \subset \mathbb{R}$ . Let  $X_- = X \cap [f < 0]$ ,  $X_+ = X \cap [f > 0]$  and  $X_0 = X \cap [f = 0]$ . Note that  $\operatorname{card}(X_0) < \mathbf{c}$ . Also,  $\operatorname{card}(X_+) < \mathbf{c}$ , since  $\exp(h)\tilde{f} \upharpoonright X_+ = \exp(h)f \upharpoonright X_+ \in \mathcal{C}$ . Similarly,  $\operatorname{card}(X_-) < \mathbf{c}$ , since  $\exp(h)\tilde{f} \upharpoonright X_- = -\exp(h)f \upharpoonright X_- \in \mathcal{C}$ . Thus  $\operatorname{card}(X) < \mathbf{c}$  and consequently,  $\exp(h)f \in SZ$ .

" $m(SZ) \leq a(SZ)$ ". Now assume that  $\mathcal{F} \subset \mathbb{R}^{\mathbb{R}}$  is a family of functions such that  $\operatorname{card}(\mathcal{F}) < m(SZ)$ . Let  $h \in \mathbb{R}^{\mathbb{R}}$  be a function such that  $\exp(f)h \in SZ$  and  $-\exp(f)h \in SZ$  for all  $f \in \mathcal{F}$ . Obviously, we can ensure that  $h \in SZ$  by adding the constant function 0 to  $\mathcal{F}$ . Let  $\tilde{h}$  be defined as above. Then  $\operatorname{rng}(\tilde{h}) \subset (0, \infty)$  and  $\exp(f)\tilde{h} \in SZ$  for each  $f \in \mathcal{F}$ . Indeed, suppose that  $\exp(f)\tilde{h} \upharpoonright X \in \mathcal{C}$  for some  $X \subset \mathbb{R}$  and  $f \in \mathcal{F}$ . Then  $X = X_{-} \cup X_{0} \cup X_{+}$ , where  $X_{-} = X \cap [h < 0]$ ,  $X_{+} = X \cap [h > 0]$  and  $X_{0} = X \cap [h = 0]$ . Of course,  $\operatorname{card}(X_{0}) < \mathbf{c}$ . Moreover,  $\exp(f)\tilde{h} \upharpoonright X_{+} = \exp(f)h \upharpoonright X_{+} \in \mathcal{C}$  and  $\exp(f)\tilde{h} \upharpoonright X_{-} = -\exp(f)h \upharpoonright X_{-} \in \mathcal{C}$ , so  $\operatorname{card}(X_{+}) < \mathbf{c}$  and  $\operatorname{card}(X_{-}) < \mathbf{c}$ . Hence  $\operatorname{card}(X) < \mathbf{c}$ .

Therefore  $\ln(\exp(f)\tilde{h}) \in SZ$ , so  $\ln(\tilde{h}) + f \in SZ$  for each  $f \in \mathcal{F}$ .  $\Box$ 

Let  $\mathcal{M}_m(SZ)$  denote the maximal multiplicative family for the class SZ, i.e.,  $\mathcal{M}_m(SZ) = \{ f \in \mathbb{R}^{\mathbb{R}} : fh \in SZ \text{ for each } h \in SZ \}.$ 

## **Theorem 3.4.** For every function $f \in \mathbb{R}^{\mathbb{R}}$ the following conditions are equivalent:

- (i)  $f \in \mathcal{M}_m(SZ);$
- (ii)  $\operatorname{card}([f = 0]) < \mathbf{c}$  and for each  $X \in [\mathbb{R}]^{\mathbf{c}}$  there exists a  $Y \in [X]^{\mathbf{c}}$  such that  $f \upharpoonright Y \in \mathcal{C}$ .

**Proof.** (ii)  $\Rightarrow$  (i). Suppose that f satisfies the condition (ii) and  $hf \notin SZ$  for some  $h \in SZ$ . Then  $hf \upharpoonright X \in C$  for some set  $X \in [\mathbb{R}]^c$ . Let  $Y \in [X \setminus [f=0]]^c$  be a set such that  $f \upharpoonright Y \in C$ . Then  $h \upharpoonright Y = (hf)/f \upharpoonright Y \in C$ , in contradiction with  $h \in SZ$ .

(i)  $\Rightarrow$  (ii). Assume that  $f \in \mathcal{M}_m(SZ)$ . Note that  $\operatorname{card}([f = 0]) < \mathbf{c}$ . Fix  $X \in [\mathbb{R}]^{\mathbf{c}}$ and set  $X_0 = X \setminus [f = 0]$ . Obviously,  $\operatorname{card}(X_0) = \mathbf{c}$ . Suppose that  $f \upharpoonright Y \in \mathcal{C}$  for no  $Y \in [X_0]^{\mathbf{c}}$ , i.e.,  $f \upharpoonright X_0 \in SZ$ . Then  $(1/f) \upharpoonright X_0 \in SZ$  and there exists an SZ-extension  $f^* \in \mathbb{R}^{\mathbb{R}}$  of the function  $(1/f) \upharpoonright X_0$ . Then  $(f^*f) \upharpoonright X_0 \in \mathcal{C}$ , a contradiction. Hence there exists  $Y \in [X]^{\mathbf{c}}$  such that  $f \upharpoonright Y \in \mathcal{C}$ .  $\Box$ 

## 4. Compositions

Let

$$\mathcal{M}_{\text{out}}(SZ) = \{ f \in \mathbb{R}^{\mathbb{R}} : f \circ h \in SZ \text{ for each } h \in SZ \},$$
$$\mathcal{M}_{\text{in}}(SZ) = \{ f \in \mathbb{R}^{\mathbb{R}} : h \circ f \in SZ \text{ for each } h \in SZ \}.$$

**Theorem 4.1.** Assume that **c** is a regular cardinal. Then for every function  $f \in \mathbb{R}^{\mathbb{R}}$  the following conditions are equivalent:

- (i)  $f \in \mathcal{M}_{out}(SZ)$ ;
- (ii)  $\operatorname{card}(f^{-1}(y)) < \mathbf{c}$  for each  $y \in \mathbb{R}$ , and every choice function  $g: \operatorname{rng}(f) \to \mathbb{R}$ ,  $g(y) \in f^{-1}(y)$ , satisfies the following condition

for each 
$$X \in [mg(f)]^{c}$$
 there exists a  $Y \in [X]^{c}$  such that  $g \upharpoonright Y \in C$ ; (\*)

(iii)  $f \in \mathcal{M}_{in}(SZ)$ .

**Proof.** (i)  $\Rightarrow$  (ii). Fix  $f \in \mathcal{M}_{out}(SZ)$ . Suppose that  $\operatorname{card}(f^{-1}(y)) = \mathbf{c}$  for some  $y \in \mathbb{R}$ . By Proposition 1.1 we can choose an SZ-function  $g \in \mathbb{R}^{\mathbb{R}}$  with  $\operatorname{rng}(g) \subset f^{-1}(y)$ . Then  $f \circ g \in \mathcal{C}$ , a contradiction.

Suppose that there exists a choice function  $g: \operatorname{rng}(f) \to \mathbb{R}$ ,  $g(y) \in f^{-1}(y)$ , without the property (\*), i.e., that there exist  $X \in [\operatorname{rng}(f)]^{e}$  and  $g \in \mathbb{R}^{X}$  such that  $g \in SZ$  and  $f \circ g = \operatorname{id}_{X}$ . Let  $g^{*} \in \mathbb{R}^{\mathbb{R}}$  be an SZ-extension of g. Then  $f \circ g^{*} \upharpoonright X \in \mathcal{C}$ , so  $f \circ g^{*} \notin SZ$ and consequently,  $f \notin \mathcal{M}_{\operatorname{out}}(SZ)$ , a contradiction.

(ii)  $\Rightarrow$  (i). Suppose that  $f \circ h \notin SZ$  for some SZ-function  $h \in \mathbb{R}^{\mathbb{R}}$ . Then there exists  $X \in [\mathbb{R}]^{c}$  such that  $f \circ h \upharpoonright X \in C$ . Note that  $\operatorname{card}(\operatorname{rng}(f \circ h \upharpoonright X)) = \mathbf{c}$ . Indeed, otherwise, by regularity of  $\mathbf{c}$ ,  $f \circ h$  is constant on some set  $X_{0} \in [X]^{c}$  and because  $\operatorname{card}(f^{-1}(y)) < \mathbf{c}$  for each y, h is constant on some set  $X_{1} \in [X_{0}]^{c}$ , a contradiction. Let  $g : \operatorname{rng}(f) \to \mathbb{R}$ ,  $g(y) \in f^{-1}(y)$ , be a choice function such that  $g(t) \in \operatorname{rng}(h \upharpoonright X)$  for  $t \in \operatorname{rng}(f \circ h \upharpoonright X)$ . Let  $g \upharpoonright Y \in C$  for  $Y \in [\operatorname{rng}(f \circ h \upharpoonright X)]^{c}$ . Then  $X_{0} = (f \circ h)^{-1}(Y) \cap X \in [X]^{c}$  and  $h \upharpoonright X_{0} = g \circ (f \circ h \upharpoonright X_{0}) \in C$ , a contradiction.

(iii)  $\Rightarrow$  (ii). Fix  $f \in \mathcal{M}_{in}(SZ)$ . Obviously,  $\operatorname{card}(f^{-1}(y)) < \mathbf{c}$  for every  $y \in \mathbb{R}$ . Suppose that  $g: \operatorname{rng}(f) \to \mathbb{R}$ ,  $g(y) \in f^{-1}(y)$ , is a choice function without the property (\*), i.e., that there exists  $X \in [\operatorname{rng}(f)]^{\mathbf{c}}$  such that  $g \upharpoonright X \in SZ$ . Let  $g^* \in \mathbb{R}^{\mathbb{R}}$  be an SZ-extension of  $g \upharpoonright X$ . Then  $g^* \circ f \upharpoonright (\operatorname{rng}(g|X)) = \operatorname{id}_{\operatorname{rng}(g \upharpoonright X)}$ . But g is one-to-one. So,  $\operatorname{card}(\operatorname{rng}(g \upharpoonright X)) = \mathbf{c}$  and  $g^* \circ f \notin SZ$ . A contradiction with  $f \in \mathcal{M}_{in}(SZ)$ .

(ii)  $\Rightarrow$  (iii). Suppose that  $h \circ f \notin SZ$  for some  $h \in SZ$ . Then  $h \circ f \upharpoonright X \in C$  for some  $X \in [\mathbb{R}]^c$ . Note that  $\operatorname{card}(\operatorname{rng}(f \upharpoonright X)) = \mathbf{c}$  since  $\operatorname{card}(f^{-1}(y)) < \mathbf{c}$  for each  $y \in \mathbb{R}$  and  $\mathbf{c}$  is regular. Let  $g:\operatorname{rng}(f) \to \mathbb{R}$ ,  $g(y) \in f^{-1}(y)$ , be a choice function such that  $g(y) \in X$  for  $y \in \operatorname{rng}(f \upharpoonright X)$  and let  $Y \in [\operatorname{rng}(f \upharpoonright X)]^c$  be such that  $g \upharpoonright Y \in C$ . Then  $h \upharpoonright Y = (h \circ f) \circ g \upharpoonright Y \in C$ , a contradiction.  $\Box$ 

Notice that in the proofs of implications (i)  $\Rightarrow$  (ii) and (iii)  $\Rightarrow$  (ii) we did not use the assumption that **c** is regular. Moreover, in the above proof of implication (ii)  $\Rightarrow$  (iii) we do not have to use the assumption of regularity of **c** if we additionally assume that f is one-to-one. (Or even only that  $\sup{\operatorname{card}(f^{-1}(y)): y \in \mathbb{R}} < \mathbf{c}$ .) This implies the following two corollaries.

**Corollary 4.2.** If c is regular then  $\mathcal{M}_{out}(SZ) = \mathcal{M}_{in}(SZ)$ .

**Corollary 4.3.** If a one-to-one function  $f : \mathbb{R} \to \mathbb{R}$  satisfies condition (ii) from Theorem 4.1 then  $f \in \mathcal{M}_{in}(SZ)$ . The next result, being a version of Sierpiński–Zygmund theorem, will be used to show that Corollary 4.2 is false when  $\mathbf{c}$  is singular.

**Theorem 4.4.** Suppose that  $\kappa \leq \mathbf{c}$  is a cardinal such that  $\mathrm{cf}(\kappa) = \mathrm{cf}(\mathbf{c})$ . Then for every  $X \in [\mathbb{R}]^{\kappa}$  there exists  $f: X \to \mathbb{R}$  such that  $\mathrm{card}(\mathrm{rng} f) = \mathrm{cf}(\mathbf{c})$  and  $f \upharpoonright X_0$  is continuous for no  $X_0 \in [X]^{\kappa}$ .

**Proof.** Let  $\{\lambda_{\xi}: \xi < cf(\mathbf{c})\}$  and  $\{\mu_{\xi}: \xi < cf(\mathbf{c})\}$  be increasing sequences of ordinal numbers such that  $\kappa = \bigcup_{\xi < cf(\mathbf{c})} \lambda_{\xi}$  and  $\mathbf{c} = \bigcup_{\xi < cf(\mathbf{c})} \mu_{\xi}$  and let  $X = \{x_{\xi}: \xi < \kappa\}$ . Choose a partition  $\{X_{\xi}: \xi < cf(\mathbf{c})\}$  of X such that  $card(X_{\xi}) = card(\lambda_{\xi})$  for every  $\xi < cf(\mathbf{c})$  and let  $\{g_{\xi}: \xi < \mathbf{c}\}$  be an enumeration of  $\mathcal{C}_{G_{\delta}}$ . By induction on  $\xi < \kappa$  define a sequence  $\langle y_{\xi} \in \mathbb{R}: \xi < cf(\mathbf{c}) \rangle$  such that for every  $\xi < \kappa$ 

 $y_{\xi} \in \mathbb{R} \setminus \{g_{\eta}(x): \eta < \mu_{\xi} \& x \in X_{\xi}\}.$ 

Now, define h by putting  $h(x) = y_{\xi}$  for  $x \in X_{\xi}$  and  $\xi < cf(\mathbf{c})$ . It is easy to see that  $rng(h) = \{y_{\xi}: \xi < cf(\mathbf{c})\}$ . Also, if  $g = g_{\eta} \in C_{G_{\xi}}$  and  $\eta < \mu_{\xi}$  then  $[h = g] \subset \bigcup_{\zeta \leqslant \xi} X_{\zeta}$ . Thus,  $card([h = g]) < \kappa$  and, as in Sierpiński–Zygmund's proof, we conclude that  $h \upharpoonright X_0$  is continuous for no  $X_0 \in [X]^{\kappa}$ .  $\Box$ 

**Corollary 4.5.** There exists an SZ function  $h : \mathbb{R} \to \mathbb{R}$  with card(rng(h)) = cf(c).

**Problem 4.6.** Does there exist an SZ function  $h : \mathbb{R} \to Y$  for every  $Y \in [\mathbb{R}]^{cf(c)}$ ?

**Corollary 4.7.** If c is singular then  $\mathcal{M}_{in}(SZ) \not\subset \mathcal{M}_{out}(SZ)$ .

**Proof.** Let h be as in Corollary 4.5. Fix  $x_0 \in \operatorname{rng}(h)$  and define a function f by putting  $f(x) = x_0$  for  $x \in \operatorname{rng}(h)$  and f(x) = x otherwise. Notice that  $f \in \mathcal{M}_{in}(SZ)$ . Indeed, consider  $g \in SZ$ . In order to show that  $g \circ f \in SZ$  by way of contradiction suppose that there is an  $X \in [\mathbb{R}]^c$  such that  $g \circ f \upharpoonright X$  is continuous. But  $\operatorname{card}(X \setminus \operatorname{rng}(h)) = \mathbf{c}$ , since  $\operatorname{cf}(\mathbf{c}) < \mathbf{c}$ . Moreover, f(x) = x for every  $x \in X \setminus \operatorname{rng}(h)$ . So,  $g \upharpoonright X \setminus \operatorname{rng}(h) = g \circ f \upharpoonright X \setminus \operatorname{rng}(h)$  is continuous on a set of cardinality  $\mathbf{c}$ , contradicting  $g \in SZ$ .

On the other hand,  $f \circ h$  is constant, so  $f \circ h \notin SZ$ , while  $h \in SZ$ . Thus,  $f \notin \mathcal{M}_{out}(SZ)$ .  $\Box$ 

**Problem 4.8.** Can inclusion  $\mathcal{M}_{out}(SZ) \subset \mathcal{M}_{in}(SZ)$  be proved without the assumption that c is regular?

4.1. Compositions with SZ-functions from the left

**Theorem 4.9.** For each  $f : \mathbb{R} \to \mathbb{R}$  the following conditions are equivalent:

- (i) there exists  $h \in SZ \cap \mathbb{R}^{\mathbb{R}}$  such that  $h \circ f \in SZ$ ;
- (ii) there exists  $h : \mathbb{R} \to \mathbb{R}$  such that  $h \circ f \in SZ$ ;
- (iii)  $\operatorname{card}(f^{-1}(y)) < \mathbf{c}$  for each  $y \in \mathbb{R}$ .

**Proof.** (i)  $\Rightarrow$ (ii). Obvious.

(ii)  $\Rightarrow$  (iii). Suppose that card $(f^{-1}(y_0)) = \mathbf{c}$  for some  $y_0 \in \mathbb{R}$ . Then  $h \circ f$  is constant on  $f^{-1}(y_0)$ , a contradiction.

(iii)  $\Rightarrow$  (i). First notice that there exists  $\mathcal{E} \subseteq \mathbf{c}$  and a one-to-one enumeration  $\{y_{\alpha}: \alpha \in \mathcal{E}\}$  of  $\mathbb{R}$  such that

$$\operatorname{card}(f^{-1}(y_{\alpha})) \leq \operatorname{card}(\alpha) \quad \text{for every } \alpha \in \mathcal{E}.$$
 (\*)

To see it, let  $\{y_{\alpha}: \alpha < \mathbf{c}\}$  be an enumeration of  $\mathbb{R}$  with each number appearing  $\mathbf{c}$  many times. For  $y \in \mathbb{R}$  let  $\alpha(y) = \min\{\alpha < \mathbf{c}: y_{\alpha} = y \& \operatorname{card}(f^{-1}(y)) \leq \operatorname{card}(\alpha)\}$  and put  $\mathcal{E} = \{\alpha(y): y \in \mathbb{R}\}$ . Then  $\{y_{\alpha}: \alpha \in \mathcal{E}\}$  has the desired properties.

Next, let  $\{g_{\xi}: \xi < \mathbf{c}\} = C_{G_{\delta}}$  and let  $\{\alpha_{\xi}: \xi < \mathbf{c}\}$  be an increasing enumeration of  $\mathcal{E}$ . Then  $\{y_{\alpha_{\xi}}: \xi < \mathbf{c}\}$  is a one-to-one enumeration of  $\mathbb{R}$ . For each  $\xi < \mathbf{c}$  choose

$$h(y_{\alpha_{\xi}}) \in \mathbb{R} \setminus \left( \left\{ g_{\zeta}(y_{\alpha_{\xi}}) : \zeta < \xi \right\} \cup \bigcup \left\{ g_{\zeta} \left[ f^{-1}(y_{\alpha_{\xi}}) \right] : \zeta < \xi \right\} \right).$$

Such a choice can be made, since the set  $\bigcup \{ y_{\zeta}[f^{-1}(y_{\alpha_{\xi}})]: \zeta < \xi \}$  is a union of card $(\xi) < \mathbf{c}$  many sets, each set of cardinality  $\leq \operatorname{card}(\alpha_{\xi}) < \mathbf{c}$ .

It is clear that  $h \in SZ$ . To verify that  $h \circ f \in SZ$  fix  $\zeta < \mathbf{c}$ . Observe that

$$[h \circ f = g_{\zeta}] \subseteq \bigcup_{\xi \leqslant \zeta} f^{-1}(y_{\alpha_{\xi}}).$$

Indeed, if  $h \circ f(x) = g_{\zeta}(x)$  and  $f(x) = y_{\alpha_{\xi}}$  some  $\xi < \mathbf{c}$  then  $h(y_{\alpha_{\xi}}) \in g_{\zeta}[f^{-1}(y_{\alpha_{\xi}})]$ . So  $\xi \leq \zeta$  and  $x \in \bigcup_{\xi \leq \zeta} f^{-1}(y_{\alpha_{\xi}})$ . Thus, by (\*),

$$\operatorname{card}\bigl((h \circ f) \cap g_{\zeta}\bigr) \leqslant \operatorname{card}\biggl(\bigcup_{\xi \leqslant \zeta} f^{-1}(y_{\alpha_{\xi}})\biggr) \leqslant \operatorname{card}(\zeta) \cdot \operatorname{card}(\alpha_{\zeta}) < \mathbf{c}. \qquad \Box$$

Theorem 4.9 justifies restriction of our attention only to the functions from a family

 $\mathcal{R}_1 = \left\{ f \in \mathbb{R}^{\mathbb{R}}: \operatorname{card}(f^{-1}(y)) < \mathbf{c} \text{ for every } y \in \mathbb{R} \right\}$ 

and definition

$$c_{\text{out}}(SZ) = \min(\{\operatorname{card}(\mathcal{F}): \mathcal{F} \subset \mathcal{R}_1 \And \neg \exists h \in \mathbb{R}^{\mathbb{R}} \forall f \in \mathcal{F} h \circ f \in SZ\} \cup \{(2^{\mathbf{c}})^+\}) \\ = \min(\{\operatorname{card}(\mathcal{F}): \mathcal{F} \subset \mathcal{R}_1 \And \forall h \in \mathbb{R}^{\mathbb{R}} \exists f \in \mathcal{F} h \circ f \notin SZ\} \cup \{(2^{\mathbf{c}})^+\}).$$

Note that  $SZ \subseteq \mathcal{R}_1$ , so card $(\mathcal{R}_1) = 2^{\mathbf{c}}$ .

Now, we have the following analog of Theorem 2.1.

**Theorem 4.10.** If **c** is a regular cardinal then

$$\mathbf{c} < c_{\text{out}}(SZ) \leqslant 2^{\mathbf{c}}$$

**Proof.** The inequality  $\mathbf{c} < c_{\text{out}}(SZ)$  is proved similarly as the implication (iii)  $\Rightarrow$  (i) of Theorem 4.9. To see it, let  $\mathcal{F} = \{f_{\xi}: \xi < \mathbf{c}\} \subseteq \mathcal{R}_1, \{g_{\xi}: \xi < \mathbf{c}\} = \mathcal{C}_{G_{\delta}} \text{ and } \{y_{\xi}: \xi < \mathbf{c}\}$  be a one-to-one enumeration of  $\mathbb{R}$ . For each  $\xi < \mathbf{c}$  choose

$$h(y_{\xi}) \in \mathbb{R} \setminus \left( \bigcup \left\{ g_{\zeta} \left[ f_{\eta}^{-1}(y_{\xi}) \right] : \zeta, \eta < \xi \right\} \right).$$

The possibility of such a choice is guaranteed by the regularity of  $\mathbf{c}$ , since the set  $\bigcup \{g_{\zeta}[f_{\eta}^{-1}(y_{\zeta})]: \zeta, \eta < \xi\}$  is a union of less than  $\mathbf{c}$  many sets of cardinality less than  $\mathbf{c}$ . To see that  $h \circ f_{\eta} \in SZ$  for every  $\eta < \mathbf{c}$  it is enough to notice that

$$[h \circ f_{\eta} = g_{\zeta}] \subseteq \bigcup_{\xi \leqslant \max\{\zeta, \eta\}} f_{\eta}^{-1}(y_{\xi}) \quad \text{for every } \zeta < \mathbf{c}.$$

To prove the inequality  $c_{out}(SZ) \leq 2^{\mathbf{c}}$  take  $\mathcal{F} = \mathcal{R}_1$  and  $h \in \mathbb{R}^{\mathbb{R}}$ . It is enough to find  $f \in \mathcal{F}$  such that  $h \circ f \notin SZ$ .

By way of contradiction assume that  $h \circ f \in SZ$  for every  $f \in \mathcal{R}_1$ . Then,  $h = h \circ id \in SZ$ , since  $id \in \mathcal{R}_1$ . In particular,  $card(rng(h)) = \mathbf{c}$ , since otherwise h would be constant on a set of cardinality  $\mathbf{c}$ . So, there exists  $f \in \mathcal{R}_1$  such that  $f(y) \in h^{-1}(y)$  for every  $y \in rng(h)$ . Then  $h \circ f(y) = y$  for every  $y \in rng(h)$  and so  $card((h \circ f) \cap id) = \mathbf{c}$ , a contradiction.  $\Box$ 

The importance of the assumption of regularity of  $\mathbf{c}$  in Theorem 4.10 is not clear. For an arbitrary value of  $\mathbf{c}$ , including the case when  $\mathbf{c}$  is singular, we have only the following theorem.

## **Theorem 4.11.** $cf(c) \leq c_{out}(SZ) \leq 2^{cf(c)} = c^{cf(c)}$ .

**Proof.** The proof of the inequality  $cf(\mathbf{c}) \leq c_{out}(SZ)$  is a simple modification of the proof of the implication (iii)  $\Rightarrow$  (i) from Theorem 4.9. To see it, take  $\mathcal{F} \subseteq \mathcal{R}_1$  with  $card(\mathcal{F}) < cf(\mathbf{c})$  and choose a one-to-one enumeration  $\{y_\alpha : \alpha \in \mathcal{E}\}$  of  $\mathbb{R}, \mathcal{E} \subseteq \mathbf{c}$ , such that

$$\operatorname{card}\left(\bigcup_{f\in\mathcal{F}}f^{-1}(y_{\alpha})\right)\leqslant\operatorname{card}(\alpha)\quad\text{for every }\alpha\in\mathcal{E}.$$
 (\*)

Let  $\{g_{\xi}: \xi < \mathbf{c}\} = C_{G_{\xi}}$  and  $\{\alpha_{\xi}: \xi < \mathbf{c}\}$  be as in Theorem 4.9 and for each  $\xi < \mathbf{c}$  choose

$$h(y_{\alpha_{\xi}}) \in \mathbb{R} \setminus \left( \bigcup \left\{ g_{\zeta} \left[ \bigcup \left\{ f^{-1}(y_{\alpha_{\xi}}) : f \in \mathcal{F} \right\} \right] : \zeta < \xi \right\} \right)$$

It is easy to see that for such defined h we have  $h \circ f \in SZ$  for every  $f \in \mathcal{F}$ .

The other inequality for regular **c** follows from Theorem 4.10. So, assume that **c** is singular and let  $\langle \lambda_{\alpha} : \alpha < cf(\mathbf{c}) \rangle$  be an increasing sequence of cardinals such that  $\lambda_{\alpha} \nearrow \mathbf{c}$ . Let S be the set of all one-to-one functions  $s : cf(\mathbf{c}) \to \mathbb{R}$  and  $g : \mathbb{R} \to \mathbb{R}$  be a continuous function such that  $card(g^{-1}(y)) = \mathbf{c}$  for every  $y \in \mathbb{R}$ . For every pair  $s, t \in S$  choose: a sequence of sets  $\langle X_{\alpha}^{st} \subset g^{-1}(s(\alpha)) : \alpha < cf(\mathbf{c}) \rangle$  such that  $card(X_{\alpha}^{st}) = \lambda_{\alpha}$  for each  $\alpha < cf(\mathbf{c})$ , and a function  $f_{st} \in \mathcal{R}_1$  such that  $f_{st}(x) = t(\alpha)$  for every  $x \in X_{\alpha}^{st}$  and  $\alpha < cf(\mathbf{c})$ . Define

$$\mathcal{F} = \{ \mathrm{id} \} \cup \{ f_{st} \colon s, t \in S \}$$

and notice that  $\operatorname{card}(\mathcal{F}) = \mathbf{c}^{\operatorname{cf}(\mathbf{c})}$ . It is enough to show that for every  $h : \mathbb{R} \to \mathbb{R}$  there exists  $f \in \mathcal{F}$  such that  $h \circ f \notin SZ$ .

By way of contradiction assume that  $h \circ f \in SZ$  for every  $f \in \mathcal{F}$ . Then,  $h = h \circ id \in SZ$ , since  $id \in \mathcal{F}$ . In particular,  $\operatorname{card}(\operatorname{rng}(h)) \ge \operatorname{cf}(\mathbf{c})$ , since otherwise h would be constant on a set of cardinality  $\mathbf{c}$ . Choose  $s, t \in S$  such that  $s[\operatorname{cf}(\mathbf{c})] \subset \operatorname{rng}(h)$  and  $t(\alpha) \in h^{-1}(s(\alpha))$  for every  $\alpha < \operatorname{cf}(\mathbf{c})$ . Then, for every  $\alpha < \operatorname{cf}(\mathbf{c})$  and  $x \in X_{\alpha}^{st}$  we have

$$h \circ f_{st}(x) = h \circ t(\alpha) = s(\alpha) = g(x).$$

Thus,  $h \circ f_{st}$  equals to g on

$$X_{st} = \bigcup_{\alpha < \mathrm{cf}(\mathbf{c})} X_{\alpha}^{st}$$

So  $h \circ f_{st} \notin SZ$ , since  $\operatorname{card}(X_{st}) = \mathbf{c}$ .  $\Box$ 

By Theorem 4.11 we can restrict our attention in the definition of  $c_{out}(SZ)$  to functions h from SZ. This is the case, since we can always assume that the identity function id belong to  $\mathcal{F}$ . So, we have the following corollary.

#### Corollary 4.12.

$$c_{\text{out}}(SZ) = \min(\{\operatorname{card}(\mathcal{F}) : \mathcal{F} \subset \mathcal{R}_1 \And \neg \exists h \in SZ \forall f \in \mathcal{F} h \circ f \in SZ \} \cup \{(2^{\mathfrak{c}})^+\}).$$

Despite of some knowledge of cf(c) for singular c, given by Theorem 4.11, the following problem remains open.

Problem 4.13. Is the assumption of regularity of c important in Theorem 4.10?

On the other hand, the case when  $\mathbf{c} = \kappa^+$  for some cardinal  $\kappa$  the number  $c_{\text{out}}(SZ)$  is pretty easily handled by our results from the previous sections and the following theorem.

**Theorem 4.14.** If  $\mathbf{c} = \kappa^+$  for some cardinal  $\kappa$  then  $c_{out}(SZ) = a(SZ)$ .

**Proof.** By Theorem 2.14 it is enough to show that  $c_{out}(SZ) = d_c$ .

" $c_{\text{out}}(SZ) \leq d_{\mathbf{c}}$ ". Let  $\mathcal{N}$  stand for the set of irrational numbers and let  $\mathcal{F} \subseteq \mathcal{N}^{\mathcal{N}}$  be such that  $\operatorname{card}(\mathcal{F}) < c_{\text{out}}(SZ)$ . We will show that  $\operatorname{card}(\mathcal{F}) < d_{\mathbf{c}}$  by finding  $h : \mathcal{N} \to \mathcal{N}$  such that  $\operatorname{card}(h \cap f) < \mathbf{c}$  for every  $f \in \mathcal{F}$ .

For  $f \in \mathcal{F}$  define a partial function  $\hat{f}^{\star}$  on a subset of  $\mathcal{N}^2$  by putting

$$\hat{f}^{\star}(\langle x, f(x) \rangle) = x$$

for every  $x \in \mathcal{N}$ . Notice that  $\hat{f}^*$  is one-to-one on its domain. By identifying  $\mathcal{N}^2$  with  $\mathcal{N}$  via natural homeomorphism we can consider  $\hat{f}^*$  as a partial function on  $\mathbb{R}$ . Let  $f^*: \mathbb{R} \to \mathbb{R}$  be an extension of  $\hat{f}^*$  such that  $f^* \in \mathcal{R}_1$  and define  $\hat{\mathcal{F}} = \{\text{id}\} \cup \{f^*: f \in \mathcal{F}\}$ . Since  $\operatorname{card}(\hat{\mathcal{F}}) \leq \operatorname{card}(\mathcal{F}) + 1 < c_{\operatorname{out}}(SZ)$  there exists an  $\hat{h} \in \mathbb{R}^{\mathbb{R}}$  such that  $\hat{h} \circ \hat{f} \in SZ$  for every  $\hat{f} \in \hat{\mathcal{F}}$ . We will prove that for every  $f \in \mathcal{F}$ 

$$\operatorname{card}\left(\left\{x \in \mathcal{N}: \ f(x) = \hat{h}(x)\right\}\right) < \mathbf{c}.$$
(1)

It is enough, since  $\hat{h} = \hat{h} \circ id \in SZ$  implies that  $\hat{h}^{-1}(\mathbb{Q})$  has cardinality  $< \mathbf{c}$ , and so, there exists  $h: \mathcal{N} \to \mathcal{N}$  such that  $\operatorname{card}(\{x \in \mathcal{N}: \hat{h}(x) \neq h(x)\}) < \mathbf{c}$ .

To see (1) let  $f \in \mathcal{F}$  and let  $x \in \mathcal{N}$  be such that  $f(x) = \hat{h}(x)$ . Then

$$\hat{h} \circ f^{\star}(\langle x, f(x) \rangle) = \hat{h}(x) = f(x) = \pi_2(\langle x, f(x) \rangle),$$

where  $\pi_2: \mathcal{N}^2 \to \mathcal{N}$  is the projection onto the second coordinate, thus continuous. So,

$$\operatorname{card}\left(\left\{x \in \mathcal{N}: f(x) = \hat{h}(x)\right\}\right) \leq \operatorname{card}\left(\left[\hat{h} \circ f^{\star} = \pi_{2}\right]\right) < \mathbf{c}$$

since  $\hat{h} \circ f^* \in SZ$ . This finishes the proof of " $c_{out}(SZ) \leq d_c$ ". (Notice, we do not use here even regularity of c!)

" $d_{\mathbf{c}} \leq c_{\text{out}}(SZ)$ ". Now assume that  $\mathcal{F} \subset \mathcal{R}_1$  and  $\operatorname{card}(\mathcal{F}) < d_{\mathbf{c}}$ . For every  $f \in \mathcal{F}$  choose the family  $\{\hat{f}_{\alpha}: \alpha < \kappa\}$  such that  $f^{-1}(y) = \{\hat{f}_{\alpha}(y): \alpha < \kappa\}$  for each  $y \in \operatorname{rng}(f)$ , and define

$$\widehat{\mathcal{F}} = \big\{ \bar{g} \circ \widehat{f}_{\alpha} \colon g \in \mathcal{C}_{G_{\delta}} \And f \in \mathcal{F} \And \alpha < \kappa \big\},\$$

where  $\bar{g} \in \mathbb{R}^{\mathbb{R}}$  extends  $g \in C_{G_{\delta}}$  by associating 0 at all undefined places. Note that  $\operatorname{card}(\widehat{\mathcal{F}}) \leq \operatorname{card}(\mathcal{F}) \cdot \mathbf{c} < d_{\mathbf{c}}$ , hence there exists an  $h \in \mathbb{R}^{\mathbb{R}}$  such that  $\operatorname{card}(h \cap \widehat{f}) < \mathbf{c}$  for each  $\widehat{f} \in \widehat{\mathcal{F}}$ . We shall verify that  $h \circ f \in SZ$  for every  $f \in \mathcal{F}$ . For this fix  $g \in C_{G_{\delta}}$  and observe that

$$\begin{aligned} \operatorname{card}((h \circ f) \cap g) &= \operatorname{card}(\left\{x: \ h \circ f(x) = g(x)\right\}) \\ &= \operatorname{card}\left(\bigcup_{\alpha < \kappa} \left\{\hat{f}_{\alpha}(y): \ y \in \operatorname{rng}(f) \ \& \ h(y) = g \circ \hat{f}_{\alpha}(y)\right\}\right) \\ &= \sum_{\alpha < \kappa} \operatorname{card}\left(\left\{y: \ h(y) = g \circ \hat{f}_{\alpha}(y)\right\}\right) < \mathbf{c}.\end{aligned}$$

This finishes the proof of Theorem 4.14.  $\Box$ 

**Problem 4.15.** Can Theorem 4.14 be proved for any value of c? What about c being a regular limit cardinal?

Theorem 4.14 implies immediately the following corollary.

**Corollary 4.16.** Let  $\lambda \ge \kappa \ge \omega_2$  be cardinals such that  $cf(\lambda) > \omega_1$  and  $\kappa$  is regular. Then it is relatively consistent with ZFC that the Continuum Hypothesis ( $\mathbf{c} = \aleph_1$ ) is true,  $2^{\mathbf{c}} = \lambda$ , and  $c_{out}(SZ) = \kappa$ .

## 4.2. Compositions with SZ functions from the right

In this section we will examine for which functions  $f \in \mathbb{R}^{\mathbb{R}}$  there exists an  $h \in \mathbb{R}^{\mathbb{R}}$ such that  $f \circ h \in SZ$ . The class of all functions  $f \in \mathbb{R}^{\mathbb{R}}$  having this property will be denoted by  $\mathcal{R}_2$ . Also, as in previous sections, we will define the cardinal  $c_{in}(SZ)$ analogous to  $c_{out}(SZ)$  restricting our attention to the maximal family for which such a definition has a sense, i.e., to  $\mathcal{R}_2$ . Thus, we define

90

$$c_{in}(SZ)$$
  
= min({card(\mathcal{F}): \mathcal{F} \subset \mathcal{R}\_2 \& \neg \exists h \in \mathbb{R}^{\mathbb{R}} \forall f \in \mathcal{F} f \circ h \in SZ } \cup \{(2^{\mathbf{c}})^+\})  
= min({card(\mathcal{F}): \mathcal{F} \subset \mathcal{R}\_2 \& \forall h \in \mathbb{R}^{\mathbb{R}} \exists f \in \mathcal{F} f \circ h \notin SZ } \cup \{(2^{\mathbf{c}})^+\}).

The next theorem gives a characterization of the family  $\mathcal{R}_2$  in case when c is regular.

**Theorem 4.17.** Assume that **c** is a regular cardinal. For each  $f : \mathbb{R} \to \mathbb{R}$  the following conditions are equivalent:

- (i) there exists  $h \in SZ \cap \mathbb{R}^{\mathbb{R}}$  such that  $f \circ h \in SZ$ ;
- (ii) there exists  $h : \mathbb{R} \to \mathbb{R}$  such that  $f \circ h \in SZ$ ;
- (iii)  $\operatorname{card}(\operatorname{rng}(f)) = \mathbf{c}$ .

**Proof.** (i)  $\Rightarrow$  (ii). Obvious.

(ii)  $\Rightarrow$  (iii). Note that card(rng(h)) = **c**. Indeed, otherwise, by regularity of **c**, card( $h^{-1}(y_0)$ ) = **c** for some  $y_0 \in \mathbb{R}$  and then  $f \circ h$  is constant on  $h^{-1}(y_0)$  for any f, a contradiction. Next, by way of contradiction, suppose that card(rng(f)) < **c**. Then, there exists a  $y_0 \in \mathbb{R}$  such that card( $f^{-1}(y_0) \cap \operatorname{rng}(h)$ ) = **c**. Therefore,

$$\operatorname{card}((f \circ h)^{-1}(y_0)) = \mathbf{c},$$

a contradiction.

(iii) 
$$\Rightarrow$$
 (i). Let  $\{g_{\alpha}: \alpha < \mathbf{c}\} = \mathcal{C}_{G_{\delta}}$ , and  $\{x_{\alpha}: \alpha < \mathbf{c}\} = \mathbb{R}$ . For every  $\alpha < \mathbf{c}$  choose  $h(x_{\alpha}) \in \mathbb{R} \setminus \left( \{g_{\beta}(x_{\alpha}): \beta \leq \alpha\} \cup \bigcup_{\beta \leq \alpha} f^{-1}(g_{\beta}(x_{\alpha})) \right).$ 

The choice can be made since, by (iii),

$$\mathbb{R} \setminus \left( \left\{ g_{\beta}(x_{\alpha}) \colon \beta \leqslant \alpha \right\} \cup \bigcup_{\beta \leqslant \alpha} f^{-1}(g_{\beta}(x_{\alpha})) \right)$$

is not empty.

Obviously,  $h \in SZ$ . It is enough to verify that  $f \circ h \in SZ$ . So, fix  $\alpha < \mathbf{c}$ . Then

$$\left\{x: f \circ h(x) = g_{\alpha}(x)\right\} = \left\{x: h(x) \in f^{-1}(g_{\alpha}(x))\right\} \subset \{x_{\beta}: \beta < \alpha\},$$

and so card $((f \circ h) \cap g_{\alpha}) < \mathbf{c}$ .  $\Box$ 

Note that we did not use the regularity assumption in implications (iii)  $\Rightarrow$  (i) and (i)  $\Rightarrow$  (ii). In particular, if

$$\mathcal{R}_2^{\star} = \left\{ f \in \mathbb{R}^{\mathbb{R}} : \operatorname{card}(\operatorname{rng}(f)) = \mathbf{c} \right\}$$

then

## Corollary 4.18. $\mathcal{R}_2^{\star} \subset \mathcal{R}_2$ .

We have also

**Corollary 4.19.** If **c** is a regular cardinal then  $\mathcal{R}_1 \subset \mathcal{R}_2 = \mathcal{R}_2^*$ .

**Example 4.20.** There exist functions  $f_0, f_1 \in \mathcal{R}_2^*$  such that for every  $h : \mathbb{R} \to \mathbb{R}$  either  $f_0 \circ h \notin SZ$  or  $f_1 \circ h \notin SZ$ .

**Proof.** Indeed, decompose the real line onto two sets  $A_0$  and  $A_1$  such that  $\operatorname{card}(A_i) = \mathbf{c}$  for i < 2, and define a function  $f_i$  such that  $f_i(A_i) = 0$  and  $f_i \upharpoonright A_{1-i}$  is one-to-one. Fix an  $h: \mathbb{R} \to \mathbb{R}$ . Since  $\mathbb{R} = h^{-1}(\mathbb{R}) = h^{-1}(A_0) \cup h^{-1}(A_1)$  there exists i < 2 such that  $\operatorname{card}(h^{-1}(A_i)) = \mathbf{c}$ . Then  $\operatorname{card}((f_i \circ h)^{-1}(0)) = \operatorname{card}(h^{-1}(A_i)) = \mathbf{c}$ , so  $f_i \circ h \notin SZ$ .  $\Box$ 

**Corollary 4.21.**  $c_{in}(SZ) = 2$ .

## 4.3. Coding functions by SZ-functions

In the previous sections we examined when for a given function  $f \in \mathbb{R}^{\mathbb{R}}$  there exist two SZ-functions  $g, h \in \mathbb{R}^{\mathbb{R}}$  such that  $f \circ h = g$  or  $h \circ f = g$ . In this section we will ask for which  $f \in \mathbb{R}^{\mathbb{R}}$  there exist SZ-functions  $g, h \in \mathbb{R}^{\mathbb{R}}$  such that  $f = g \circ h$  or  $f = h \circ g$ , i.e., that f is coded by two SZ-functions. Note that even when for some f the first set of questions have a positive answer with h being one-to-one, this does not imply the positive answer for the second set of questions, since the inverse of an SZ-function does not have to be SZ. In fact, it is consistent with ZFC that no SZ-function  $h: \mathbb{R} \to \mathbb{R}$ has an SZ inverse. This happens in the iterated perfect set model, where there is no SZ-function from  $\mathbb{R}$  onto  $\mathbb{R}$  [1]. (If  $h^{-1}$  is SZ then it is onto  $\mathbb{R}$  and any of its SZextension is an SZ-function from  $\mathbb{R}$  onto  $\mathbb{R}$ .) The same example also shows, that the set of questions we consider in this section cannot have a positive answer in ZFC for any function from  $\mathbb{R}$  onto  $\mathbb{R}$ , even for the identity function. Thus, we will work here with the additional set theoretical assumptions.

We will start with the following lemmas.

**Lemma 4.22.** Assume that  $\mathbf{c}$  is a regular cardinal. Then the class  $\mathcal{R}_1$  is closed under the compositions of functions.

**Proof.** Suppose that  $f = f_2 \circ f_1$ ,  $f_1, f_2 \in \mathcal{R}_1$  and  $\operatorname{card}(f^{-1}(y_0)) = \mathbf{c}$  for  $y_0 \in \mathbb{R}$ . Then f is constant on the set  $X = f^{-1}(y_0) = \bigcup \{(f_1)^{-1}(t): t \in (f_2)^{-1}(y_0)\}$ , so either  $f_1$  or  $f_2$  is constant on a set of cardinality  $\mathbf{c}$ , a contradiction.  $\Box$ 

Note that if c is a singular cardinal then the conclusion of Lemma 4.22 is false.

**Proposition 4.23.** If **c** is a singular cardinal then every function from  $\mathbb{R}$  into  $\mathbb{R}$  is a composition of two functions from the class  $\mathcal{R}_1$ .

**Proof.** Suppose that  $\mathbb{R} = \{x_{\alpha}: \alpha < \mathbf{c}\}$ ,  $\kappa = \mathrm{cf}(\mathbf{c}) < \mathbf{c}$  and  $\langle \lambda_{\alpha}: \alpha < \kappa \rangle$  is an increasing sequence of cardinals such that  $\mathbf{c} = \bigcup_{\alpha < \kappa} \lambda_{\alpha}$ . Fix  $f \in \mathbb{R}^{\mathbb{R}}$ . For every  $\alpha < \mathbf{c}$  let  $X_{\alpha} = f^{-1}(x_{\alpha})$  and let  $X_{\alpha} = \bigcup_{\beta < \kappa} X_{\alpha,\beta}$  be a partition such that  $\mathrm{card}(X_{\alpha,\beta}) \leq \lambda_{\beta}$ 

for every  $\beta < \kappa$ . Choose a sequence  $\langle Y_{\alpha}: \alpha < \mathbf{c} \rangle$  of pairwise disjoint sets of reals, each of cardinality equal to  $\kappa$ ;  $Y_{\alpha} = \{y_{\alpha,\beta}: \beta < \kappa\}$  and define  $f_1(x) = y_{\alpha,\beta}$  for  $x \in X_{\alpha,\beta}$  and  $\hat{f}_2(y_{\alpha,\beta}) = x_{\alpha}$  for  $\alpha < \mathbf{c}, \beta < \kappa$ . Let  $f_2 \in \mathcal{R}_1$  be any extension of  $\hat{f}_2$ . Then  $f = f_2 \circ f_1$ .  $\Box$ 

**Lemma 4.24.** Assume  $f \in \mathcal{R}_1$ . Then  $f \in SZ$  if and only if  $card(f \cap g) < c$  for each continuous nowhere constant function g defined on a  $G_{\delta}$ -set.

**Proof.** The implication " $\Rightarrow$ " is obvious. To prove " $\Leftarrow$ " assume that g is a continuous function defined on a  $G_{\delta}$ -set G. Let  $\langle G_n \rangle_{n < \omega}$  be a sequence of all maximal intervals in G (i.e., nonempty sets of the form  $G \cap (a, b)$ , for a < b) on which g is constant. Then  $H = G \setminus \bigcup_{n < \omega} G_n$  is a  $G_{\delta}$  set and  $g \upharpoonright H$  is nowhere constant. Moreover,

$$g = (g \!\upharpoonright\! H) \cup \bigcup_{n < \omega} (g \!\upharpoonright\! G_n)$$

and for each  $n < \omega$ ,  $g \upharpoonright G_n$  is constant, so  $\operatorname{card}((g \upharpoonright G_n) \cap f) < \mathbf{c}$ . Hence

$$g \cap f = \left( (g \upharpoonright H) \cap f \right) \cup \bigcup_{n < \omega} \left( (g \upharpoonright G_n) \cap f \right)$$

and  $\operatorname{card}(g \cap f) < \mathbf{c}$  since  $\operatorname{cf}(\mathbf{c}) > \omega$ .  $\Box$ 

The next theorem tells us that for every sequence  $\langle f_{\alpha}: \alpha < \mathbf{c} \rangle$  of  $\mathcal{R}_1$  functions there exists a sequence  $\langle f_{\alpha}^{\triangleright}: \alpha < \mathbf{c} \rangle$  of their SZ codes and an o-decoder function  $h \in SZ$  such that every  $f_{\alpha}$  can be "right o-decoded" by h from  $f_{\alpha}^{\triangleright}$ .

**Theorem 4.25.** Assume that the real line is not a union of less than  $\mathbf{c}$  many meager sets. Then for every family  $\{f_{\alpha}: \alpha < \mathbf{c}\} \subset \mathcal{R}_1$  there is a family  $\{f_{\alpha}^{\triangleright}: \alpha < \mathbf{c}\}$  of SZ-functions and a "decoding" function  $h \in SZ$  and such that  $f_{\alpha}^{\triangleright} \circ h = f_{\alpha}$  for each  $\alpha < \mathbf{c}$ .

**Proof.** Let  $C_n = \{g_\alpha: \alpha < \mathbf{c}\}$  be an enumeration of all nowhere constant  $g \in C_{G_\delta}$  and let  $\{x_\alpha: \alpha < \mathbf{c}\} = \mathbb{R}$ . For every  $\alpha < \mathbf{c}$  choose

$$h(x_{\alpha}) \in \mathbb{R} \setminus \left( \left\{ g_{\beta}(x_{\alpha}) : \beta \leqslant \alpha \right\} \cup \left\{ h(x_{\beta}) : \beta < \alpha \right\} \right.$$
$$\cup \bigcup \left\{ g_{\beta}^{-1}(f_{\nu}(x_{\alpha})) : \beta, \nu \leqslant \alpha \right\} \right).$$

Note that the choice can be made since every set  $g_{\beta}^{-1}(f_{\nu}(x_{\alpha}))$  is meager and  $\mathbb{R}$  is not a union of less than **c** many meager sets.

It is easy to observe that the function h is one-to-one and so,  $h \in \mathcal{R}_1$ . Also, by our choice, card([h = g]) < c for every  $g \in \mathcal{C}_n$ . So, by Lemma 4.24,  $h \in SZ$ .

Now for  $\nu < \mathbf{c}$  define  $f_{\nu}^{\triangleright}$ . Put  $f_{\nu}^{\triangleright}(h(x_{\alpha})) = f_{\nu}(x_{\alpha})$  for every  $\alpha < \mathbf{c}$  and for  $x \notin \operatorname{rng}(h)$  define  $f_{\nu}^{\triangleright}(x) = h(x)$ . Clearly  $f_{\nu} = f_{\nu}^{\triangleright} \circ h$  for every  $\nu < \mathbf{c}$ . To see that  $f_{\nu}^{\triangleright} \in SZ$  first notice that  $f_{\nu}^{\triangleright} \in \mathcal{R}_{1}$ , since for every  $y \in \mathbb{R}$  the set

$$(f_{\nu}^{\triangleright})^{-1}(y) = \{h(x): f_{\nu}^{\triangleright}(h(x)) = y\} \cup \{z \in \mathbb{R} \setminus \mathrm{rng}(h): f_{\nu}^{\triangleright}(z) = y\}$$
$$\subset h[f_{\nu}^{-1}(y)] \cup h^{-1}(y)$$

has cardinality less than **c** as  $h, f_{\nu} \in \mathcal{R}_1$ . Moreover, for every  $\beta < \mathbf{c}$ 

$$\begin{split} [f_{\nu}^{\triangleright} &= g_{\beta}] = \left\{ h(x); \ f_{\nu}^{\triangleright}(h(x)) = g_{\beta}(h(x)) \right\} \cup \left\{ z \in \mathbb{R} \setminus \operatorname{rng}(h); \ f_{\nu}^{\triangleright}(z) = g_{\beta}(z) \right\} \\ &= h \big[ \left\{ x; \ f_{\nu}(x) = g_{\beta}(h(x)) \right\} \big] \cup \big\{ z \in \mathbb{R} \setminus \operatorname{rng}(h); \ h(z) = g_{\beta}(z) \big\} \\ &= h \big[ \left\{ x; \ h(x) \in g_{\beta}^{-1}(f_{\nu}(x)) \right\} \big] \cup \big[ [h = g_{\beta}] \setminus \operatorname{rng}(h) \big) \\ &\subset h \big[ \left\{ x_{\alpha}; \ \alpha < \max\{\beta, \nu\} \right\} \big] \cup [h = g_{\beta}]. \end{split}$$

Thus,  $\operatorname{card}([f_{\nu}^{\triangleright} = g]) < \mathbf{c}$  for every  $g \in \mathcal{C}_n$  and, by Lemma 4.24,  $f_{\nu}^{\triangleright} \in SZ$ .  $\Box$ 

Lemma 4.22 together with Theorem 4.25 yield to the following result:

**Corollary 4.26.** Assume that the real line is not a union of less than **c** many meager sets and that **c** is a regular cardinal. For every  $f : \mathbb{R} \to \mathbb{R}$  the following conditions are equivalent:

(i) there exist h, f<sup>▷</sup> ∈ SZ such that f = f<sup>▷</sup> ∘ h;
(ii) f ∈ R<sub>1</sub>.

Note that Theorem 4.25 cannot be proved in ZFC since, as mentioned above, there exists a model V of ZFC in which no real function onto  $\mathbb{R}$  (including the identity function) is a composition of two SZ-functions. Nevertheless, we have the following example.

**Example 4.27.** There exists an SZ-function  $h : \mathbb{R} \to \mathbb{R}$  such that its *n*th composition  $h^n$  is SZ for every n > 0.

**Proof.** Let  $\{g_{\alpha}: \alpha < \mathbf{c}\} = C_{G_{\delta}}$  and  $\{x_{\alpha}: \alpha < \mathbf{c}\} = \mathbb{R}$ . For every  $\gamma < \mathbf{c}$  choose  $h(x_{\gamma}) \in \mathbb{R} \setminus (\{g_{\beta}(x_{\alpha}): \alpha, \beta \leq \gamma\} \cup \{x_{\alpha}: \alpha \leq \gamma\}).$ 

Observe that  $h \in SZ$ . We shall verify that  $h^n \in SZ$  for n > 1. Suppose that  $g_\beta(x_\alpha) = h^n(x_\alpha)$ . Let  $x_\gamma = h^{n-1}(x_\alpha)$ . Note that  $\gamma > \alpha$  and  $g_\beta(x_\alpha) = h(x_\gamma)$ , so  $\gamma < \beta$ . Therefore  $\{x: h^n(x) = g_\beta(x)\} \subset \{x_\alpha: \alpha < \beta\}$ , so  $\operatorname{card}(h^n \cap g_\beta) < \mathfrak{c}$ .  $\Box$ 

Now, we consider the following cardinals. (See [4].)

$$c_r(SZ) = \min\{\operatorname{card}(\mathcal{F}): \ \mathcal{F} \subset \mathcal{R}_1 \ \& \ \neg \exists h \in SZ \ \forall f \in \mathcal{F} \ \exists f^{\triangleright} \in SZ \ f = f^{\triangleright} \circ h\}$$
$$= \min\{\operatorname{card}(\mathcal{F}): \ \mathcal{F} \subset \mathcal{R}_1 \ \& \ \forall h \in SZ \ \exists f \in \mathcal{F} \ \forall f^{\triangleright} \in SZ \ f \neq f^{\triangleright} \circ h\}$$

and

$$c_l(SZ) = \min\{\operatorname{card}(\mathcal{F}): \ \mathcal{F} \subset \mathcal{R}_1 \ \& \ \neg \exists h \in SZ \ \forall f \in \mathcal{F} \ \exists f^{\triangleleft} \in SZ \ f = h \circ f^{\triangleleft} \}$$
$$= \min\{\operatorname{card}(\mathcal{F}): \ \mathcal{F} \subset \mathcal{R}_1 \ \& \ \forall h \in SZ \ \exists f \in \mathcal{F} \ \forall f^{\triangleleft} \in SZ \ f \neq h \circ f^{\triangleleft} \}.$$

(We will assign the value  $(2^{c})^{+}$  in case when the minimum is run over the empty set.)

Note, that by the remark above in the iterated perfect set model the following corollary holds.

94

**Corollary 4.28.** It is consistent with ZFC that  $\mathbf{c} = \omega_2$  and  $c_r(SZ) = c_l(SZ) = 1$ .

**Theorem 4.29.** Assume that the real line is not a union of less than c many meager sets and that c is a regular cardinal. Then

$$\mathbf{c} < c_r(SZ) \leqslant 2^{\mathbf{c}}.$$

**Proof.** The inequality  $\mathbf{c} < c_r(SZ)$  follows from Theorem 4.25. To prove the inequality  $c_r(SZ) \leq 2^{\mathbf{c}}$  it is enough to show that for every  $h \in SZ$  there exists  $f \in SZ$  such that  $g \circ h = f$  for no  $g \in SZ$ . Fix  $h \in SZ$  and recall that  $\operatorname{card}(\operatorname{rng}(h)) = \mathbf{c}$ .

Set f = h and suppose that  $g \circ h = h$  for some  $g \in \mathbb{R}^{\mathbb{R}}$ . Then g(h(x)) = h(x), so  $\operatorname{rng}(h) \subset [g = \operatorname{id}]$  and consequently,  $\operatorname{card}(g \cap \operatorname{id}) = \mathbf{c}$ , hence  $g \notin SZ$ .  $\Box$ 

To determine how big can be the cardinal  $c_r(SZ)$  we shall use the following poset:

$$\mathbb{P}^{\triangleright} = \left\{ \langle p, E, G \rangle: \ p \in \mathbb{P} \& G \subseteq \mathcal{C}_n \& E \subseteq \mathbb{R}^{\mathbb{R}} \& \operatorname{card}(E) + \operatorname{card}(G) < \mathbf{c} \right\}$$

ordered by

 $\langle p, E, G \rangle \leqslant \langle q, F, H \rangle$ iff  $p \supseteq q$  and  $E \supseteq F$  and  $G \supseteq H$ and  $\forall x \in \operatorname{dom}(p) \setminus \operatorname{dom}(q) \ \forall f \in F \ \forall g \in H \ p(x) \notin g^{-1}(f(x)),$ 

where  $C_n$  is formed by nowhere constant  $C_{G_{\delta}}$  functions.

The following theorem can be proved analogously to [3, Theorem 3.4].

**Theorem 4.30.** Let  $\lambda \ge \kappa \ge \omega_2$  be cardinals such that  $cf(\lambda) > \omega_1$  and  $\kappa$  is regular. Then it is relatively consistent with ZFC + CH that  $2^{\mathbf{c}} = \lambda$  and  $Lus_{\kappa}(\mathbb{P}^{\diamond})$  holds.

We will prove the following theorem.

**Theorem 4.31.** If  $\mathbf{c} = \omega_1$  and  $\kappa > \mathbf{c}$  is a regular cardinal then  $\operatorname{Lus}_{\kappa}(\mathbb{P}^{\triangleright})$  implies that  $c_r(SZ) = \kappa$ .

This and Theorem 4.30 will immediately imply the following corollary.

**Corollary 4.32.** Let  $\lambda \ge \kappa \ge \omega_2$  be cardinals such that  $cf(\lambda) > \omega_1$  and  $\kappa$  is regular. Then it is relatively consistent with ZFC + CH that  $2^c = \lambda$  and  $c_r(SZ) = \kappa$ .

The proof of Theorem 4.31 will be split into three lemmas.

## Lemma 4.33.

- (i) Assume that a union of less than continuum many meager sets is meager again. Then Lus<sub>κ</sub>(ℙ<sup>▷</sup>) ⇒ Lus<sub>κ</sub>(ℙ).
- (ii) For any regular  $\kappa$  we have  $\operatorname{Lus}_{\kappa}(\mathbb{P}^{\triangleright}) \Rightarrow \operatorname{MA}_{\kappa}(\mathbb{P}^{\triangleright})$ .

**Proof.** The proof is similar to the proof of Lemma 2.7. The only modification is that in the proof of (i) we must replace the condition "card $(r^{-1}(y)) = \mathbf{c}$  for every  $y \in \mathbb{R}$ " by "for every  $y \in \mathbb{R}$  the level set  $r^{-1}(y)$  is not meager" and that we choose

$$s(x) \in r^{-1}(q(x)) \setminus \bigcup \left\{ g^{-1}(f(x)) \colon f \in E \& g \in G \right\}.$$

**Lemma 4.34.** Assume that **c** and  $\kappa$  are regular cardinals and  $\kappa > \mathbf{c}$ . Then  $\operatorname{Lus}_{\kappa}(\mathbb{P})$  implies that  $c_r(SZ) \leq \kappa$ .

**Proof.** Let  $\langle G_{\alpha}: \alpha < \kappa \rangle$  be a  $\kappa$ -Lusin sequence of  $\mathbb{P}$ -filters and define

$$g_{\alpha} = \bigcup G_{\alpha}$$

Then similarly as in the proof of Lemma 2.8 we can assume that each  $g_{\alpha}$  is a total function from  $\mathbb{R}$  into  $\mathbb{R}$ . Let  $\{x_{\xi}: \xi < \mathbf{c}\}$  be an enumeration of  $\mathbb{R}$ . For every  $\alpha < \kappa$  put

$$X_{\alpha} = \left\{ x_{\xi} : g_{\alpha}(x_{\xi}) \neq g_{\alpha}(x_{\eta}) \text{ for every } \eta < \xi \right\}$$

and let  $f_{\alpha} \in \mathcal{R}_1$  be an extension of  $g_{\alpha} \upharpoonright X_{\alpha}$ . We will show that for an arbitrary  $h \in \mathbb{R}^{\mathbb{R}}$  there is an  $\alpha < \kappa$  such that  $f_{\alpha} = f_{\alpha}^{\triangleright} \circ h$  for no  $f_{\alpha}^{\triangleright} \in SZ$ .

If  $h \notin \mathcal{R}_1$  then  $f_{\alpha}^{\triangleright} \circ h \notin \mathcal{R}_1$  for each  $f_{\alpha}^{\triangleright} \in \mathbb{R}^{\mathbb{R}}$  and, since  $f_{\alpha} \in \mathcal{R}_1$ ,  $f_{\alpha} \neq f_{\alpha}^{\triangleright} \circ h$ . So, assume that  $h \in \mathcal{R}_1$ . Then  $\operatorname{card}(\operatorname{rng}(h)) = \mathbf{c}$ , because  $\mathbf{c}$  is a regular cardinal. For  $\xi < \mathbf{c}$  let  $D_{\xi}$  be the set of all  $p \in \mathbb{P}$  such that

$$\exists \gamma \geqslant \xi \big[ (\forall \alpha \leqslant \gamma) \big( x_{\alpha} \in \operatorname{dom}(p) \big) \And (\forall \alpha < \gamma) \big( p(x_{\alpha}) \neq p(x_{\gamma}) \big) \And p(x_{\gamma}) = h(x_{\gamma}) \big]$$

and observe that every  $D_{\xi}$  is dense in  $\mathbb{P}$ .

Indeed, for every  $p \in \mathbb{P}$  there is  $\gamma \ge \xi$  with  $x_{\gamma} \notin \text{dom}(p)$  and  $h(x_{\gamma}) \notin \text{rng}(p)$ . Choose  $y \neq h(x_{\gamma})$  and set

$$q = p \cup \left\{ \left( x_{\gamma}, h(x_{\gamma}) \right) \right\} \cup \left\{ \left( x_{\eta}, y \right) \colon \eta < \gamma \& x_{\eta} \notin \operatorname{dom}(p) \right\}.$$

Then  $q \in D_{\xi}$  and  $q \leq p$ .

By the regularity of  $\kappa$ , there exists  $\alpha < \kappa$  such that  $G_{\alpha}$  intersects every set  $D_{\xi}$  with  $\xi < \mathbf{c}$ . Note that this implies that  $\operatorname{card}(X_{\alpha}) = \mathbf{c}$ . Now, suppose that  $f_{\alpha} = f_{\alpha}^{\triangleright} \circ h$ . We will show that  $f_{\alpha}^{\triangleright} \notin SZ$ .

To see it note first that if  $Y_{\alpha} = \{x \in X_{\alpha}: f_{\alpha}(x) = h(x)\}$ , then  $\operatorname{card}(Y_{\alpha}) = \mathbf{c}$ , since  $G_{\alpha}$  intersects every set  $D_{\xi}$ . So,  $h \in \mathcal{R}_1$  and the regularity of  $\mathbf{c}$  imply that

$$\operatorname{card}(\operatorname{rng}(h \upharpoonright Y_{\alpha})) = \mathbf{c}.$$

Finally, observe that  $f^{\triangleright}_{\alpha}(h(x)) = h(x)$  when  $f_{\alpha}(x) = h(x)$ , so  $\operatorname{rng}(h \upharpoonright Y_{\alpha}) \subset [f^{\triangleright}_{\alpha} = \operatorname{id}]$ . Therefore  $\operatorname{card}(f^{\triangleright}_{\alpha} \cap \operatorname{id}) = \mathbf{c}$  and consequently,  $f^{\triangleright}_{\alpha} \notin SZ$ .  $\Box$ 

**Lemma 4.35.** If  $\kappa > \mathbf{c} = \omega_1$  then  $MA_{\kappa}(\mathbb{P}^{\triangleright})$  implies that  $c_r(SZ) \ge \kappa$ .

**Proof.** Let  $\mathcal{F} \subseteq \mathcal{R}_1$  be such that  $\operatorname{card}(\mathcal{F}) < \kappa$ . We shall find  $h \in SZ$  such that for every  $f \in \mathcal{F}$  there exists  $f^{\triangleright} \in SZ$  with  $f = f^{\triangleright} \circ h$ .

Observe that for any  $x \in \mathbb{R}$  the set

$$D_x = ig \langle p, E, H 
angle \in \mathbb{P}^{
ho} \colon x \in \operatorname{dom}(p) ig \}$$

is dense in  $\mathbb{P}^{\triangleright}$ .

Indeed, for  $\langle q, E, H \rangle \in \mathbb{P}^{\triangleright} \setminus D_x$  choose

$$y \in \mathbb{R} \setminus \bigcup \left\{ g^{-1} (f(x)) \colon g \in H \ \& \ f \in E \right\}.$$

The choice is possible since, by CH, the set  $\bigcup \{g^{-1}(f(x)): g \in H \& f \in E\}$  is meager as a countable union of nowhere dense sets. Put  $p = q \cup \{\langle x, y \rangle\}$ . Then  $\langle p, E, H \rangle \leq \langle q, E, H \rangle$  and  $\langle p, E, H \rangle \in D_x$ .

Note also that for any  $f \in \mathbb{R}^{\mathbb{R}}$  and  $g \in \mathcal{C}_n$  the set

 $E_{f,g} = \left\{ \langle p, E, H \rangle : \ f \in E \ \& \ g \in H \right\}$ 

is dense in  $\mathbb{P}^{\triangleright}$ , because  $\langle p, E \cup \{f\}, H \cup \{g\} \rangle$  extends  $\langle p, E, H \rangle$ . Let

$$\mathcal{D} = \{D_x: x \in \mathbb{R}\} \cup \{E_{\bar{g}, \mathrm{id}}: g \in \mathcal{C}_{G_{\delta}}\} \cup \{E_{f, k}: f \in \mathcal{F} \And k \in \mathcal{C}_n\},$$

where  $\bar{g} \in \mathbb{R}^{\mathbb{R}}$  extends  $g \in C_{G_{\delta}}$  by associating 0 at all undefined places. Then  $\mathcal{D}$  is a family of less than  $\kappa$  many dense subsets of  $\mathbb{P}^{\triangleright}$ . Let G be a  $\mathcal{D}$ -generic filter in  $\mathbb{P}^{\triangleright}$  and let

$$\hat{h} = \bigcup \{ p: \exists E \subset \mathbb{R}^{\mathbb{R}} \exists H \subset \mathcal{C}_n \langle p, E, H \rangle \in G \}.$$

Since  $G \cap D_x \neq \emptyset$  for every  $x \in \mathbb{R}$ ,  $\hat{h}$  is a total function from  $\mathbb{R}$  into  $\mathbb{R}$ .

Observe that  $\hat{h} \in SZ$ . Indeed, fix  $g \in C_{G_{\delta}}$  and  $\langle p, E, H \rangle \in E_{\overline{g}, id} \cap G$ . Then

$$\left\{x:\ \hat{h}(x) = g(x)\right\} \subset \left\{x:\ \hat{h}(x) = \bar{g}(x)\right\} \subset \mathsf{dom}(p)$$

so card $(\hat{h} \cap g) < \mathbf{c}$ .

To define h note that by CH all level sets of  $\hat{h}$  are countable. In particular, the set  $\hat{h}^{-1}(\mathbb{Q})$  is also countable. For every  $y \in \operatorname{rng}(h) \cap \mathcal{N}$  let  $\hat{h}^{-1}(y) = \{x_{y,n}: n < \omega\}$ . Choose a one-to-one sequence  $\langle s_n: n < \omega \rangle$  of irrationals and define a function  $h^*: \mathbb{R} \setminus h^{-1}(\mathbb{Q}) \to \mathcal{N}$  by  $h^*(x_{y,n}) = \langle s_n, y \rangle$ , where we identify  $\mathcal{N} = \mathbb{R} \setminus \mathbb{Q}$  with  $\mathcal{N} \times \mathcal{N}$  via natural homeomorphism. Note that  $h^*$  is one-to-one. Let  $h: \mathbb{R} \to \mathbb{R}$  be a one-to-one extension of  $h^*$ . Then  $h \in SZ$ . Indeed, suppose that  $h \upharpoonright X \in \mathcal{C}$  for some  $X \in [\mathbb{R}]^c$ . Then  $X_0 = X \setminus \hat{h}^{-1}(\mathbb{Q}) \in [\mathbb{R}]^c$  and  $h^* \upharpoonright X_0 = h \upharpoonright X_0 \in \mathcal{C}$ , so

$$\hat{h} \upharpoonright X_0 = \operatorname{pr}_{y} \circ h^* \upharpoonright X_0 \in \mathcal{C},$$

contrary to  $\hat{h} \in SZ$ .

Now, for arbitrary  $f \in \mathcal{F}$  define  $\tilde{f}: \operatorname{rng}(h) \to \mathbb{R}$  by  $\tilde{f}(y) = f(x)$  for  $x = h^{-1}(y)$ . We shall verify that  $\tilde{f} \in SZ$ .

First, note that  $\tilde{f} \in \mathcal{R}_1$ , because  $f \in \mathcal{R}_1$ . So, by Lemma 4.24, it is enough to verify that  $\operatorname{card}(\tilde{f} \cap g) < \mathbf{c}$  for every  $g \in \mathcal{C}_n$ .

So, fix  $g \in C_n$  and suppose that  $X = [\tilde{f} = g] \in [\operatorname{rng}(h)]^{\mathfrak{c}}$ . Then there exists  $X_0 \in [\operatorname{rng}(h^*)]^{\mathfrak{c}}$  such that  $X_0 \subset [\tilde{f} = g]$ . Therefore there are  $n < \omega$  and  $Z \in [\operatorname{rng}(\hat{h}) \cap \mathcal{N}]^{\mathfrak{c}}$  such that  $\{s_n\} \times Z \subset X_0$ , so

$$Z \subset \left\{ \hat{h}(x): \left\langle s_n, \hat{h}(x) \right\rangle \in g^{-1}(f(x)) \right\}.$$

Let  $\varphi : \mathcal{N} \to \{s_n\} \times \mathcal{N}$  be a function defined by  $\varphi(y) = \langle s_n, y \rangle$ . Then  $\varphi$  is a homeomorphism, so  $k = g \circ \varphi \upharpoonright \varphi^{-1}(\operatorname{dom}(g)) \in \mathcal{C}_n$ . Let  $\langle p, E, H \rangle \in G \cap E_{f,k}$ . Then

$$Y = \left\{ x: \ \hat{h}(x) \in k^{-1}(f(x)) \right\} \subset \operatorname{dom}(p),$$

so card(Y) < c. But  $Z \subset h(Y)$ , contrary to card(Z) = c. Finally, let  $f^{\triangleright} : \mathbb{R} \to \mathbb{R}$  be an SZ-extension of  $\tilde{f}$ . Then  $f = f^{\triangleright} \circ h$ .  $\Box$ 

**Theorem 4.36.** Assume that the real line is not a union of less than c many meager sets and that c is a regular cardinal. Then

$$c_l(SZ) > \mathbf{c}.$$

**Proof.** To see it take  $\{f_{\beta}: \beta < \mathbf{c}\} \subset \mathcal{R}_1$ . We will construct an  $h \in SZ$  and a family  $\{f_{\beta}^{\triangleleft}: \beta < \mathbf{c}\}$  of SZ-functions such that  $f_{\beta} = h \circ f_{\beta}^{\triangleleft}$  for each  $\beta < \mathbf{c}$ .

Let  $C_n = \{g_{\alpha}: \alpha < \mathbf{c}\}$  be an enumeration of all nowhere constant  $g \in C_{G_{\delta}}$  and  $\{z_{\xi}: \xi < \mathbf{c}\}$  be a one-to-one enumeration of  $Z = \bigcup_{\xi < \mathbf{c}} \operatorname{rng}(f_{\xi})$ . Define inductively a sequence  $\langle y_{\xi}: \xi < \mathbf{c} \rangle$  by choosing for every  $\xi < \mathbf{c}$ 

$$y_{\xi} \in \mathbb{R} \setminus \left( \{ y_{\zeta} \colon \zeta < \xi \} \cup \bigcup \{ g_{\alpha}^{-1}(z_{\xi}) \colon \alpha \leqslant \xi \} \cup \bigcup \{ g_{\alpha}[f_{\beta}^{-1}(z_{\xi})] \colon \alpha, \beta \leqslant \xi \} \right).$$

The choice can be made, since the exceptional set is a union of less than **c** many meager sets.

Now, let  $Y = \{y_{\xi}: \xi < \mathbf{c}\}$ , and define  $h: Y \to \mathbb{R}$  by putting

$$h(y_{\xi}) = z_{\xi}$$

for every  $\xi < \mathbf{c}$ . Moreover, for every  $\beta < \mathbf{c}$  define  $f_{\beta}^{\triangleleft} \colon \mathbb{R} \to \mathbb{R}$  by a formula

$$f^{\triangleleft}_{\beta}(x) = y_{\xi} \quad \text{iff} \quad x \in f^{-1}_{\beta}(z_{\xi}).$$

Note that  $f_{\beta}^{\triangleleft}$  is defined on  $\mathbb{R}$  since  $\operatorname{rng}(f_{\beta}) \subset Z$ . Also,  $f_{\beta} = h \circ f_{\beta}^{\triangleleft}$  for every  $\beta < \mathbf{c}$ , since for every  $x \in \mathbb{R}$  there exists  $\xi < \mathbf{c}$  such that  $f_{\beta}(x) = z_{\xi}$ , and  $f_{\beta}(x) = z_{\xi} = h(y_{\xi}) = h(f_{\beta}^{\triangleleft}(x))$ , as  $x \in f_{\beta}^{-1}(z_{\xi})$ .

To see that  $h \in SZ$  note first that h is one-to-one, so  $h \in \mathcal{R}_1$ . Thus, by Lemma 4.24, it is enough to show that  $\operatorname{card}([h = g_{\alpha}]) < \mathbf{c}$  for every  $\alpha < \mathbf{c}$ . But if  $g_{\alpha}(y_{\xi}) = h(y_{\xi}) = z_{\xi}$ then  $y_{\xi} \in g_{\alpha}^{-1}(z_{\xi})$  and, by the choice of  $y_{\xi}$ ,  $\alpha > \xi$ . So,  $[h = g_{\alpha}] \subseteq \{y_{\xi}: \xi < \alpha\}$  has cardinality less than  $\mathbf{c}$ , and  $h \in SZ$ .

Next fix  $\beta < \mathbf{c}$  and notice that  $f_{\beta}^{\triangleleft} \in \mathcal{R}_1$ . To see that  $f_{\beta}^{\triangleleft} \in SZ$  fix  $\alpha < \mathbf{c}$ . We will show that  $\operatorname{card}([f_{\beta}^{\triangleleft} = g_{\alpha}]) < \mathbf{c}$ . So, let  $g_{\alpha}(x) = f_{\beta}^{\triangleleft}(x) = y_{\xi}$ . Then  $x \in f_{\beta}^{-1}(z_{\xi})$  and

$$y_{\xi}=g_{lpha}(x)\in g_{lpha}ig[f_{eta}^{-1}(z_{\xi})ig].$$

So, by the choice of  $y_{\xi}$ ,  $\alpha > \xi$  or  $\beta > \xi$ . In particular,  $[f_{\beta}^{\triangleleft} = g_{\alpha}] \subseteq \{y_{\xi}: \xi < \max(\alpha, \beta)\}$  has cardinality less than c.  $\Box$ 

**Problem 4.37.** Can it be proved in ZFC that  $c_l(SZ) \leq 2^{\circ}$ ? What about under CH?

## 5. Final remarks

Proofs of the following statements are left to the reader.

- (1) Every function  $f \in \mathbb{R}^{\mathbb{R}}$  is the uniform limit of a sequence of SZ-functions.
- Assuming cf(c) = ω<sub>1</sub>, every function f ∈ ℝ<sup>ℝ</sup> is the transfinite limit of a sequence of SZ-functions (cf. [14]).
- (3) Assuming c is a regular cardinal, the discrete limits of sequences of SZ-functions are in the class SZ (cf. [5]).
- (4) If  $f,g \in SZ$ , then  $\max(f,g) \in SZ$  and  $\min(f,g) \in SZ$  (hence the family SZ forms a lattice of functions).

#### References

- [1] M. Balcerzak, K. Ciesielski and T. Natkaniec, Sierpiński–Zygmund functions that are Darboux, almost continuous or have a perfect road, Arch. Math. Logic, to appear.
- [2] T. Bartoszyński, Combinatorial aspects of measure and category, Fund. Math. 127 (1987) 225–239.
- [3] K. Ciesielski and A.W. Miller, Cardinal invariants concerning functions, whose sum is almost continuous, Real Anal. Exchange 20 (1994–95) 657–673.
- [4] K. Ciesielski and I. Recław, Cardinal invariants concerning extendable and peripherally continuous functions, Real Anal. Exchange 21 (1995–96) 459–472.
- [5] A. Csaszár and M. Laczkovich, Discrete and equal convergence, Studia Sci. Math. Hungar. 10 (1975) 463–472.
- [6] U. Darji, Decomposition of functions, Invited talk during the 19th Summer Symposium in Real Analysis, Real Anal. Exchange 21(1) (1995–96) 19–25.
- [7] E. van Douwen and W. Fleissner, Definable forcing axiom: an alternative to Martin's axiom, Topology Appl. 35 (1990) 277–289.
- [8] A. Landver, Baire numbers, uncountable Cohen sets and perfect-set forcing, J. Symbolic Logic 57 (1992) 1086–1107.
- [9] A. Miller, Special sets of reals, in: H. Judah, ed., Set Theory of the Reals, Israel Math. Conf. Proc. 6 (1993) 415–432.
- [10] A. Miller and K. Prikry, When the continuum has cofinality  $\omega_1$ , Pacific J. Math. 115 (1984) 399–407.
- [11] T. Natkaniec, Almost continuity, Real Anal. Exchange 17 (1991-92) 462-520.
- [12] T. Natkaniec, New cardinal invariants in real analysis, Bull. Polish Acad. Sci. 44 (1996) 251-256.
- [13] T. Natkaniec and I. Recław, Cardinal invariants concerning functions whose product is almost continuous, Real Anal. Exchange 20 (1994–95) 281–285.
- [14] W. Sierpiński, Sur les suites transfinies convergentes de fonctions de Baire, Fund. Math. 1 (1920) 132–141.
- [15] W. Sierpiński and A. Zygmund, Sur une fonction qui est discontinue sur tout ensemble de puissance du continu, Fund. Math. 4 (1923) 316–318.
- [16] S. Todorcevic, Remarks on Martin's axiom and the continuum hypothesis, Canad. J. Math. 43 (1991) 832–851.