

Sierpiński-Zygmund functions that are Darboux, almost continuous, or have a perfect road

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Abstract. In this paper we show that if the real line \mathbb{R} is not a union of less than continuum many of its meager subsets then there exists an almost continuous Sierpiński–Zygmund function having a perfect road at each point. We also prove that it is consistent with ZFC that every Darboux function $f: \mathbb{R} \to \mathbb{R}$ is continuous on some set of cardinality continuum. In particular, both these results imply that the existence of a Sierpiński–Zygmund function which is either Darboux or almost continuous is independent of ZFC axioms. This gives a complete solution of a problem of Darji [4]. The paper contains also a construction (in ZFC) of an additive Sierpiński–Zygmund function with a perfect road at each point.

1 Introduction

Our terminology is standard. In particular, the symbols \mathbb{N} , \mathbb{Z} , \mathbb{Q} and \mathbb{R} stand for the sets of all: positive integers, integers, rationals and reals, respectively. We shall consider only real-valued functions of one real variable. No distinction is made between a function and its graph. The family of all functions from a set *X* into *Y* will be denoted by Y^X . The symbol card (*X*) will stand for the cardinality of a set *X*. The cardinality of \mathbb{R} is denoted by c. If *A* is a planar set, we denote its *x*-projection by dom(*A*). For $f, g \in \mathbb{R}^{\mathbb{R}}$ the notation [f = g] means the set $\{x \in \mathbb{R}: f(x) = g(x)\}$.

If \mathcal{T} is an ideal of subsets of \mathbb{R} , then

$$\operatorname{cov}(\mathscr{T}) = \min\{\operatorname{card}(\mathscr{F}): \mathscr{F} \subset \mathscr{T} \& \bigcup \mathscr{F} = \mathbb{R}\}$$
$$\operatorname{non}(\mathscr{T}) = \min\{\operatorname{card}(A): A \subset \mathbb{R} \& A \notin \mathscr{T}\}.$$

(See [5].) The ideal of all meager subsets of \mathbb{R} is denoted by \mathscr{K} . Recall also the following definitions.

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- $f: \mathbb{R} \to \mathbb{R}$ is of *Sierpiński-Zygmund type* (shortly, $f \in SZ$, or f is of S-Z type) if its restriction f|M is discontinuous for each set $M \subset \mathbb{R}$ with card $(M) = \mathfrak{c}$ [17].
- $f: \mathbb{R} \to \mathbb{R}$ has a perfect road at $x \in \mathbb{R}$ if there exists a perfect set *C* such that *x* is a bilateral limit point of *C* and f|C is continuous at *x*. We say that *f* is of *perfect road type* (shortly, $f \in PR$, or *f* is of PR type) if *f* has a perfect road at each point [13].
- f: R → R is said to be *almost continuous* (in the sense of Stallings) if each open subset of the plane containing f contains also a continuous function g: R → R [18].
- $F: \mathbb{R} \times [0, 1] \to \mathbb{R}$ is a *connectivity function* if the graph of its restriction F|X is connected (in \mathbb{R}^3) for every connected $X \subset \mathbb{R} \times [0, 1]$.
- $f: \mathbb{R} \to \mathbb{R}$ is *extendable* if there is a connectivity function $F: \mathbb{R} \times [0, 1] \to \mathbb{R}$ such that F(x, 0) = f(x) for every $x \in \mathbb{R}$.

Recall also that if $f: \mathbb{R} \to \mathbb{R}$ intersects every *blocking set*, i.e., a closed set $K \subset \mathbb{R}^2$ whose domain is a non-degenerate interval, then f is almost continuous [9]. It is also well-known that each almost continuous function $f: \mathbb{R} \to \mathbb{R}$ is connected [18] and therefore that it has the Darboux property.

In [4], Darji constructed (in ZFC) an example of an S-Z function of perfect road type and asked whether there exists an almost continuous (or just Darboux) S-Z function. Examples of such functions under additional set theoretical assumptions are known. For example, Ceder [2] showed that under the assumption of the Continuum Hypothesis CH there exists a connectivity (hence Darboux) S-Z function, and Kellum [10] noticed that Ceder's function is in fact almost continuous. In Section 2 we will generalize both constructions (Ceder's and Darji's) by showing that under the assumption that $cov (\mathcal{H}) = c$ (which is somewhat weaker than CH or Martin's Axiom MA [16, 5]) there exists an almost continuous S-Z function of PR type. On the other hand, in Section 5 we will show that there is a model of ZFC in which there is no Darboux S-Z function. Thus, some additional set theoretical assumptions are necessary in all of the examples mentioned above.

Sections 3 and 4 contain the constructions related to that from Section 2. In particular, Section 3 deals with the functions $f: \mathbb{R} \to \mathbb{R}$ continuous with respect to the qualitative topology on the domain and the natural topology on the range. In Section 4 we give a ZFC example of an additive S-Z function of PR type, generalizing the result of Darji from [4].

2 An almost continuous S-Z function of PR type

In our construction we will use the following easy and well known lemma.

Lemma 1. [8] Suppose $U \subset \mathbb{R}$ and $f: U \to \mathbb{R}$ is continuous. Then there exists a G_{δ} set M containing U and a continuous function $g: M \to \mathbb{R}$ such that g|U = f.

The next lemma is a modification of [4, Lemma 3].

Sierpiński-Zygmund functions

Lemma 2. There exists a sequence $\langle \langle H_{\alpha}, p_{\alpha} \rangle : \alpha < \mathfrak{c} \rangle$ such that

- (1) $H_{\alpha} \cup \{p_{\alpha}\} \subset \mathbb{R}$ is a compact perfect set and p_{α} is a bilaterally limit point of H_{α} ;
- (2) $H = \bigcup_{\alpha < \mathfrak{c}} H_{\alpha}$ is linearly independent over \mathbb{Q} ;
- (3) $H_{\alpha} \cap H_{\beta} = \emptyset$ for every $\alpha < \beta < \mathfrak{c}$;
- (4) for every $x \in \mathbb{R}$ there exists continuum many $\gamma < \mathfrak{c}$ such that $x = p_{\gamma}$.

Proof. Let *K* be a linearly independent perfect set. (See [7] or [11, p. 270].) Pick a proper perfect subset *P* of *K*, and let $\{s_{\alpha,n}: \alpha < \mathfrak{c} \& n \in \mathbb{Z} \setminus \{0\}\}$ be a one-to-one enumeration of $K \setminus P$. Moreover, let $\{p_{\alpha}: \alpha < \mathfrak{c}\}$ be an enumeration of \mathbb{R} such that for every $x \in \mathbb{R}$ there exists continuum many $\gamma < \mathfrak{c}$ with $p_{\gamma} = x$. By induction on $\alpha < \mathfrak{c}$ choose sequences $\langle q_{\alpha,n}: \alpha < \mathfrak{c} \& n \in \mathbb{Z} \setminus \{0\} \rangle$ of non-zero rationals and $\langle C_{\alpha,n}: \alpha < \mathfrak{c} \& n \in \mathbb{Z} \setminus \{0\} \rangle$ of perfect sets such that for every $\alpha < \mathfrak{c}$ and $n \in \mathbb{Z} \setminus \{0\}$

$$C_{\alpha,n} \subset \left(p_{\alpha}, p_{\alpha} + \frac{1}{n}\right) \cap (q_{\alpha,n}s_{\alpha,n} + P)$$

where for b < a we will understand (a, b) as the interval (b, a). Next, for each $\alpha < \mathfrak{c}$ define $H_{\alpha} = \bigcup \{C_{\alpha,n} : n \in \mathbb{Z} \setminus \{0\}\}$. It is easy to see that the family $\{H_{\alpha} \subset \mathbb{R} : \alpha < \mathfrak{c}\}$ has the desired properties.

Theorem 1. Assuming $cov(\mathcal{H}) = c$, there exists an almost continuous S-Z function $f: \mathbb{R} \to \mathbb{R}$ which has a perfect road at each point.

Proof. For $A \subset \mathbb{R}$ we denote $L(A) = A \times \mathbb{R}$. Let $\{x_{\alpha} : \alpha < \mathfrak{c}\}$ be a one-to-one enumeration of \mathbb{R} and $\{g_{\alpha} : \alpha < \mathfrak{c}\}$ an enumeration of all continuous functions defined on G_{δ} subsets of \mathbb{R} .

Construct, by induction on $\alpha < \mathfrak{c}$, a sequence $\langle \langle C_{\alpha}, D_{\alpha} \rangle : \alpha < \mathfrak{c} \rangle$ such that for every $\alpha < \mathfrak{c}$

- (1) $D_{\alpha} \subset \text{dom}(g_{\alpha}) \setminus \bigcup_{\beta < \alpha} (C_{\beta} \cup D_{\beta})$ is an at most countable set such that $g_{\alpha} | D_{\alpha}$ is a dense subset of $g_{\alpha} \setminus \bigcup_{\beta < \alpha} (g_{\beta} \cup L(C_{\beta} \cup D_{\beta}))$;
- (2) C_α is equal to a set H_γ from Lemma 2 such that x_α = p_γ and C_α is disjoint from {x_β: β ≤ α} ∪ ∪_{β≤α} D_β ∪ ∪_{β≤α} C_β.

The choice as in (2) can be made, since the set $\{x_{\beta}: \beta \leq \alpha\} \cup \bigcup_{\beta \leq \alpha} D_{\beta}$ has cardinality less than continuum, and there are continuum many pairwise disjoint sets H_{γ} with $p_{\gamma} = x_{\alpha}$.

Now, define the values $f(x_{\alpha})$ of the function f by induction on $\alpha < \mathfrak{c}$ as follows.

- (a) $f(x_{\alpha}) = g_{\beta}(x_{\alpha})$ provided $x_{\alpha} \in D_{\beta}$ for some $\beta < \mathfrak{c}$.
- (b) $f(x_{\alpha}) \in \{y \in \mathbb{R} : |y f(x_{\beta})| < |x_{\alpha} x_{\beta}|\} \setminus \{g_{\gamma}(x_{\alpha}) : \gamma \leq \alpha\}$ provided $x_{\alpha} \in C_{\beta}$ for some $\beta < \mathfrak{c}$. (Note that $f(x_{\beta})$ is already defined since, by (2), $\beta < \alpha$.)
- (c) $f(x_{\alpha}) \in \mathbb{R} \setminus \{g_{\gamma}(x_{\alpha}): \gamma \leq \alpha\}$ otherwise.

We will show that f has the desired properties.

First notice that, by (b), $f|(C_{\beta} \cup \{x_{\beta}\})$ is continuous at x_{β} for every $\beta < \mathfrak{c}$. Therefore, $f \in PR$.

To prove that $f \in SZ$, by Lemma 1 it is enough to show that card $([f = g_{\beta}]) < \mathfrak{c}$ for each $\beta < \mathfrak{c}$. But $[f = g_{\beta}] \subset \bigcup_{\alpha \leq \beta} D_{\alpha} \cup \{x_{\alpha} : \alpha < \beta\})$, so card $([f = g_{\beta}]) < \mathfrak{c}$. Hence, $f \in SZ$.

To verify that f is almost continuous choose a blocking set $F \subset \mathbb{R}^2$. It is enough to show that $f \cap F \neq \emptyset$. To see this, note that there exist a non-degenerate interval $J \subset \text{dom}(F)$ and an upper semicontinuous function $h: J \to \mathbb{R}$ such that $h \subset F$. (See [10, Lemma 1].) Thus there exists an $\alpha_0 < \mathfrak{c}$ such that $g_{\alpha_0} = h | C(h)$, where C(h) denotes the set of all points at which h is continuous. Then dom g_{α_0} is residual in J and $g_{\alpha_0} \subset F$. In particular, if S is the set of all $\alpha < \mathfrak{c}$ such that dom $(g_{\alpha} \cap F)$ is residual in some non-degenerate interval I then $S \neq \emptyset$.

Let $\alpha = \min S$ and I be a non-degenerate interval such that dom $(g_{\alpha} \cap F)$ is residual in I. But F is closed and g_{α} is continuous. So, $g_{\alpha}|I \subset F$. Moreover, by the minimality of α , for each $\beta < \alpha$ the set $I \cap [g_{\beta} = g_{\alpha}] \subset \text{dom} (g_{\beta} \cap F)$ is nowhere dense in I. Consequently,

$$I \cap \operatorname{dom} \left[g_{\alpha} \setminus \bigcup_{\beta < \alpha} (g_{\beta} \cup L(C_{\beta} \cup D_{\beta})) \right]$$

= $(I \cap \operatorname{dom} (g_{\alpha})) \setminus \bigcup_{\beta < \alpha} \left(I \cap ([g_{\beta} = g_{\alpha}] \cup C_{\beta} \cup D_{\beta}) \right) \neq \emptyset,$

since $\operatorname{cov}(\mathscr{K}) = \mathfrak{c}$. Thus, by (1), $I \cap D_{\alpha} \neq \emptyset$. Let $x \in I \cap D_{\alpha}$. Then, by (a), $\langle x, f(x) \rangle = \langle x, g_{\alpha}(x) \rangle \in f \cap F$.

Remark. Note that an S-Z function of perfect road type is not extendable. (See [4].) So, Theorem 1 gives a new and easy example of an almost continuous function that has a perfect road at each point and is not an extendable function. The first example of such a function was constructed (in ZFC) in [15].

3 The qualitative case

Now we shall consider \mathbb{R} with the fine topology q generated by the ideal \mathscr{K} . This topology is called the *qualitative* topology. Recall that a set G is open in the qualitative topology if it can be written in the form $U \setminus P$, where U is open in the Euclidean topology and P is of the first category. (Note that it is an example of a *-topology in the sense of Hashimoto [6] or \mathscr{T} -topology in the sense of Vaidyanathaswamy [19] with respect to the ideal \mathscr{K} of meager sets.)

For a set $A \subset \mathbb{R}$ and a function $f: A \to \mathbb{R}$ we say that f is *q*-continuous at a point $x_0 \in A$ if f is continuous at x_0 as a real function defined on the subspace A of the space $\langle \mathbb{R}, q \rangle$.

Lemma 3. For every set $A \subset \mathbb{R}$ and a function $f: A \to \mathbb{R}$,

- (1) if $A \in \mathcal{K}$, then f is q-continuous;
- (2) if f is continuous, then it is q-continuous;

Sierpiński-Zygmund functions

(3) if A is q-dense in itself and f is q-continuous, then f is continuous.

Proof. Statements (1) and (2) are evident. For (3), see [12, Th. 4] or [3, Cor. 1.1.8]. \Box

Proposition 1. If $cov(\mathscr{K}) = non(\mathscr{K}) = c$ then there exists an almost continuous function $f: \mathbb{R} \to \mathbb{R}$ of perfect road type such that f | M is not q-continuous for every $M \notin \mathscr{K}$.

Proof. Assume $M \notin \mathcal{K}$. Then M is not q-nowhere dense. So, there exists an interval I such that $M \cap I$ is q-dense in itself. In particular, $M \cap I \notin \mathcal{K}$, so card $(M \cap I) = c$. Let f be the function constructed in Theorem 1. Then $f|(M \cap I)$ is discontinuous so, by Lemma 3, $f|(M \cap I)$ is not q-continuous. Therefore, f|M also is not q-continuous.

4 An additive S-Z function of PR type

Theorem 2. There exists an additive Sierpiński–Zygmund function $f : \mathbb{R} \to \mathbb{R}$ of perfect road type.

Proof. Let $\hat{H} = \{h_{\alpha}: \alpha < \mathfrak{c}\}$ be a Hamel basis which contains the set H constructed in Lemma 2 and let $\{g_{\alpha}: \alpha < \mathfrak{c}\}$ be a well-ordering of all continuous functions defined on G_{δ} subsets of \mathbb{R} . For each $\alpha < \mathfrak{c}$ choose a set \hat{H}_{α} and an $\hat{f}(h_{\alpha})$ such that

- (a) H
 _α is equal to a set H_γ from Lemma 2 such that h_α = p_γ and H
 _α is disjoint from {h_β: β ≤ α};
- (b) f̂(h_α) ≠ qg_β(x) − f_α(t) for all β ≤ α, q ∈ Q, x ∈ lin({h_β: β ≤ α}) and t ∈ lin({h_β: β < α}), where lin(A) denotes the linear subspace of ℝ over Q generated by A, and f_α is the additive extension of f̂|{h_β: β < α}.</p>

Moreover, if $h_{\alpha} \in \hat{H}_{\beta}$ for some $\beta < \mathfrak{c}$ then, by (a), $\beta < \alpha$ and we will additionally require that

(c) $|\hat{f}(h_{\alpha}) - \hat{f}(h_{\beta})| \leq |h_{\alpha} - h_{\beta}|.$

Let $f: \mathbb{R} \to \mathbb{R}$ be the additive extension of $\hat{f}: \hat{H} \to \mathbb{R}$.

To prove that f is a function of S-Z type it is enough to verify that card $(f \cap g_{\alpha}) < \mathfrak{c}$ for every $\alpha < \mathfrak{c}$. So, fix $\alpha < \mathfrak{c}$ and assume that $f(x) = g_{\alpha}(x)$. Let γ be the first ordinal such that $x \in \operatorname{lin}(\{h_{\beta}: \beta \leq \gamma\})$. Then $x = ph_{\gamma} + t_0$, where $p \in \mathbb{Q} \setminus \{0\}$ and $t_0 \in \operatorname{lin}(\{h_{\beta}: \beta < \gamma\})$. So $h_{\gamma} = qx - t$, where $q = p^{-1} \in \mathbb{Q}$ and $t = qt_0 \in \operatorname{lin}(\{h_{\beta}: \beta < \gamma\})$. Moreover, $\hat{f}(h_{\gamma}) = f(h_{\gamma}) = qf(x) - f(t) = qg_{\alpha}(x) - f(t)$, so $\gamma < \alpha$. Thus, by (b), $[f = g_{\alpha}] \subset \operatorname{lin}(\{h_{\beta}: \beta < \alpha\})$ and card $(f \cap g_{\alpha}) < \mathfrak{c}$.

Now we shall verify that *f* has a perfect road at each $x \in \mathbb{R}$. For $x = h_{\alpha} \in H$ it is obvious by (c), since $f | (\hat{H}_{\alpha} \cup \{h_{\alpha}\})$ is continuous at h_{α} . So, assume that $x = \sum_{i=1}^{n} q_i h_{\alpha_i}$, where all q_i are rationals. Then *x* is a bilaterally limit point of a perfect set $\hat{H}_x = \sum_{i=1}^{n} q_i \hat{H}_{\alpha_i} \cup \{x\}$ and $f | \hat{H}_x$ is continuous at *x*.

5 A model with no Darboux S-Z function

In this section we will show that in the iterated perfect set (Sacks) model there is no Darboux Sierpiński-Zygmund function. We will describe here only those properties of this model that are necessary to follow the argument. More details can be found in [14] and [1].

Let V be a model of ZFC+CH and let $V[G_{\omega_2}]$ be a model of ZFC+ $\mathfrak{c} = \omega_2$ obtained as a generic extension of V over the forcing \mathbb{P} , which is a countable support iteration of the perfect set (Sacks) forcing. Then V and $V[G_{\omega_2}]$ have the same cardinals. Moreover, in $V[G_{\omega_2}]$ there exists an increasing sequence $\langle V[G_{\alpha}]: \alpha \leq \omega_2 \rangle$ (of proper classes in $V[G_{\omega_2}]$, given by a formula) with the following properties. ($V[G_{\alpha}]$ is a generic extension of V obtained by extending V with the part G_{α} of G_{ω_2} which belongs to the α -iteration of Sacks forcing.)

- (A) CH holds in $V[G_{\alpha}]$ for every $\alpha < \omega_2$.
- (B) For every $\alpha < \omega_2$ of uncountable cofinality and every $s \in 2^{\omega} \cap V[G_{\alpha}]$ there exists $\beta < \alpha$ such that $s \in V[G_{\beta}]$.
- (C) For every $\alpha < \omega_2$ and $a, b \in \mathbb{R}$, a < b, there exists $s \in (a, b) \cap (V[G_{\omega_2}] \setminus V[G_{\alpha}])$ (a Sacks number over $V[G_{\alpha}]$) such that for every $x \in \mathbb{R} \cap (V[G_{\omega_2}] \setminus V[G_{\alpha}])$ there exists a continuous function $g \in \mathbb{R}^{\mathbb{R}} \cap V[G_{\omega_2}]$ coded in $V[G_{\alpha}]$ (i.e., such that $g|\mathbb{Q} \in V[G_{\alpha}]$) with the property that g(x) = s.

Property (A) follows immediately from the fact that CH holds in V and we iterate forcings of cardinality c. Properties (B) and (C) can be found in [1, Thm. 3.3(a)] and in [14, Sec. 4, p. 581], respectively.

Note also, that property (B) can be modified as follows.

(B') For every $\alpha < \omega_2$ of uncountable cofinality and every $p \in (\mathbb{R}^{\mathbb{Q}} \cup \mathbb{R}) \cap V[G_{\alpha}]$ there exists $\beta < \alpha$ such that $p \in V[G_{\beta}]$.

The part concerning $p \in \mathbb{R}$ follows from the fact that a real number can be identified with its binary representation, i.e., a function $s: \omega \to 2$. This also implies the part for $p \in \mathbb{R}^{\mathbb{Q}}$, since any such p can be identified with $\hat{p}: \mathbb{Q} \times \omega \to 2$, $\hat{p}(q,n) = p(q)(n)$, and further, with a function from 2^{ω} by identifying $\mathbb{Q} \times \omega$ with ω via bijection from V.

Now, let $h \in \mathbb{R}^{\mathbb{R}} \cap V[G_{\omega_2}]$ be an SZ function and let $a = \inf h[\mathbb{R}], b = \sup h[\mathbb{R}]$. Then $-\infty \leq a < b \leq \infty$. We will show that $(a, b) \notin h[\mathbb{R}]$.

To prove this let $C(\mathbb{R})$ stand for the set of all continuous functions from \mathbb{R} to \mathbb{R} and define, for $\beta < \omega_2$,

$$S_{\beta} = h \Big[\mathbb{R} \cap V[G_{\beta}] \Big]$$
$$\cup \bigcup \Big\{ \{x, y\} : (\exists g \in C(\mathbb{R}) \cap V[G_{\omega_2}])(g|\mathbb{Q} \in V[G_{\beta}] \& \langle x, y \rangle \in g \cap h) \Big\}.$$

Note that, by (A), the set $(\mathbb{R} \cup \mathbb{R}^{\mathbb{Q}}) \cap V[G_{\beta}]$ has cardinality $\leq \omega_1$ and that card $(h \cap g) \leq \omega_1$ for every $g \in C(\mathbb{R}) \cap V[G_{\omega_2}]$. So, card $(S_{\beta}) \leq \omega_1$ for every $\beta < \omega_2$. Define $\Gamma: \omega_2 \to \omega_2$ by putting $\Gamma(\beta) = \sup\{\gamma(x): x \in S_{\beta}\}$, where

 $\gamma(x) = \min\{\beta: x \in V[G_{\beta}]\}, \text{ and let } \alpha < \omega_2 \text{ be of uncountable cofinality such that } \Gamma(\beta) < \alpha \text{ for every } \beta < \alpha. \text{ Then, by (B'),}$

(i) $h(x) \in V[G_{\alpha}]$ for every $x \in \mathbb{R} \cap V[G_{\alpha}]$;

(ii) $h \cap g \subset V[G_{\alpha}]$ for every $g \in C(\mathbb{R})$ coded in $V[G_{\alpha}]$.

Now, let $s \in (a,b) \cap (V[G_{\omega_2}] \setminus V[G_{\alpha}])$ be a number from (C). It is enough to prove that $s \notin h[\mathbb{R}]$.

But $s \notin h\left[\mathbb{R} \cap V[G_{\alpha}]\right]$ by (i). So, let $x \in \mathbb{R} \cap (V[G_{\omega_2}] \setminus V[G_{\alpha}])$. It is enough to show that $h(x) \neq s$. But, by (C), there exists a continuous function $g: \mathbb{R} \to \mathbb{R}$ coded in $V[G_{\alpha}]$ such that g(x) = s. So, $h(x) \neq s$, since otherwise $\langle x, s \rangle \in h \cap g$ and, by (ii), $s \in V[G_{\alpha}]$. This contradiction finishes the proof. \Box

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