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# Lineability, spaceability, and additivity cardinals for Darboux-like functions



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# ABSTRACT

We introduce the concept of maximal lineability cardinal number,  $m\mathcal{L}(M)$ , of a subset M of a topological vector space and study its relation to the cardinal numbers known as: additivity A(M), homogeneous lineability  $\mathcal{HL}(M)$ , and lineability  $\mathcal{L}(M)$  of M. In particular, we will describe, in terms of  $\mathcal{L}$ , the lineability and spaceability of the families of the following Darboux-like functions on  $\mathbb{R}^n$ ,  $n \ge 1$ : extendable, Jones, and almost continuous functions. © 2013 Elsevier Inc, All rights reserved.

# 1. Preliminaries and background

The work presented here is a contribution to a recent ongoing research concerning the following general question: For an arbitrary subset M of a vector space W, how big can be a vector subspace V

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*contained in*  $M \cup \{0\}$ ? The current state of knowledge concerning this problem is described in the very recent survey article [8]. So far, the term *big* in the question was understood as a cardinality of a basis of *V*; however, some other measures of bigness (i.e., in a category sense) can also be considered.

Following [1,29] (see, also, [17]), given a cardinal number  $\mu$  we say that  $M \subset W$  is  $\mu$ -lineable if  $M \cup \{0\}$  contains a vector subspace V of the dimension dim $(V) = \mu$ . Consider the following lineability cardinal number (see [4]):

 $\mathcal{L}(M) = \min \{ \kappa \colon M \cup \{ 0 \} \text{ contains no vector space of dimension } \kappa \}.$ 

Notice that  $M \subset W$  is  $\mu$ -lineable if, and only if,  $\mu < \mathcal{L}(M)$ . In particular,  $\mu$  is the maximal dimension of a subspace of  $M \cup \{0\}$  if, and only if,  $\mathcal{L}(M) = \mu^+$ . The number  $\mathcal{L}(M)$  need not be a cardinal successor (see, e.g., [1]); thus, the maximal dimension of a subspace of  $M \cup \{0\}$  does not necessarily exist.

If W is a vector space over the field K and  $M \subset W$ , let

$$\mathsf{st}(M) = \big\{ w \in W \colon \big( K \setminus \{0\} \big) w \subset M \big\}.$$

Notice that

if *V* is a subspace of *W*, then  $V \subset M \cup \{0\}$  if, and only if,  $V \subset st(M) \cup \{0\}$ . (1)

In particular,

$$\mathcal{L}(M) = \mathcal{L}(\mathsf{st}(M)). \tag{2}$$

Recall also (see, e.g., [19]) that a family  $M \subset W$  is said to be *star-like* provided st(M) = M. Properties (1) and (2) explain why the assumption that M is star-like appears in many results on lineability.

A simple use of Zorn's lemma shows that any linear subspace  $V_0$  of  $M \cup \{0\}$  can be extended to a maximal linear subspace V of  $M \cup \{0\}$ . Therefore, the following concept is well defined.

**Definition 1.1** (*Maximal lineability cardinal number*). Let M be any arbitrary subset of a vector space W. We define

 $m\mathcal{L}(M) = \min\{\dim(V): V \text{ is a maximal linear subspace of } M \cup \{0\}\}.$ 

Although this notion might seem similar to that of maximal-lineability and maximal-spaceability (introduced by Bernal-González in [7]) they are, in general, not related.

In any case, (1) implies that  $m\mathcal{L}(M) = m\mathcal{L}(st(M))$ .

**Remark 1.2.** It is easy to see that  $\mathcal{HL}(M) = m\mathcal{L}(M)^+$ , where  $\mathcal{HL}(M)$  is a homogeneous lineability number defined in [4]. (This explains why  $\mathcal{HL}$  is always a successor cardinal, as shown in [4].) Clearly we have

$$\mathcal{HL}(M) = \mathcal{mL}(M)^+ \leq \mathcal{L}(M).$$

The inequality may be strict, as shown in [4].

For  $M \subset W$  we will also consider the following *additivity* number (compare [4]), which is a generalization of the notion introduced by T. Natkaniec in [25,26] and thoroughly studied by the first author [11–15] and F.E. Jordan [23] for  $V = \mathbb{R}^{\mathbb{R}}$  (see, also, [20]):

$$A(M, W) = \min\{\{|F|: F \subset W \& (\forall w \in W)(w + F \not\subset M)\} \cup \{|W|^+\}\},\$$

where |F| is the cardinality of F and  $w + F = \{w + f : f \in F\}$ . Most of the times the space W, usually  $W = \mathbb{R}^{\mathbb{R}}$ , will be clear by the context. In such cases we will often write A(M) in place of A(M, W).

 $\mathcal{L}_{\tau}(M) = \min \{ \kappa \colon M \cup \{0\} \text{ contains no } \tau \text{-closed subspace of dimension } \kappa \}.$ 

Notice that  $\mathcal{L}(M) = \mathcal{L}_{\tau}(M)$  when  $\tau$  is the discrete topology.<sup>1</sup>

In what follows, we shall focus on spaces  $W = \mathbb{R}^X$  of all functions from  $X = \mathbb{R}^n$  to  $\mathbb{R}$  and consider the topologies  $\tau_u$  and  $\tau_p$  of uniform and pointwise convergence, respectively. In particular, we write  $\mathcal{L}_u(M)$  and  $\mathcal{L}_p(M)$  for  $\mathcal{L}_{\tau_u}(M)$  and  $\mathcal{L}_{\tau_n}(M)$ , respectively. Clearly

 $\mathcal{L}_{p}(M) \leq \mathcal{L}_{u}(M) \leq \mathcal{L}(M).$ 

Recall also a series of definitions that shall be needed throughout the paper.

**Definition 1.3.** For  $X \subseteq \mathbb{R}^n$  a function  $f: X \to \mathbb{R}$  is said to be

- Darboux if f[K] is a connected subset of  $\mathbb{R}$  (i.e., an interval) for every connected subset K of X;
- Darboux in the sense of Pawlak if f[L] is a connected subset of  $\mathbb{R}$  for every arc L of X (i.e., f maps path connected sets into connected sets);
- *almost continuous* (in the sense of Stallings) if each open subset of  $X \times \mathbb{R}$  containing the graph of *f* contains also a continuous function from *X* to  $\mathbb{R}$ ;
- a *connectivity* function if the graph of  $f \upharpoonright Z$  is connected in  $Z \times \mathbb{R}$  for any connected subset Z of X;
- *extendable* provided that there exists a connectivity function  $F: X \times [0, 1] \rightarrow \mathbb{R}$  such that f(x) = F(x, 0) for every  $x \in X$ ;
- *peripherally continuous* if for every  $x \in X$  and for all pairs of open sets U and V containing x and f(x), respectively, there exists an open subset W of U such that  $x \in W$  and  $f[bd(W)] \subset V$ .

The above classes of functions are denoted by D(X),  $D_P(X)$ , AC(X), Conn(X), Ext(X), and PC(X), respectively. The class of continuous functions from X into  $\mathbb{R}$  is denoted by C(X). We will drop the domain X if  $X = \mathbb{R}$ .

**Definition 1.4.** A function  $f: \mathbb{R}^n \to \mathbb{R}$  is called

- *everywhere surjective* if  $f[G] = \mathbb{R}$  for every nonempty open set  $G \subset \mathbb{R}^n$ ;
- strongly everywhere surjective if  $f^{-1}(y) \cap G$  has cardinality c for every  $y \in \mathbb{R}$  and every nonempty open set  $G \subset \mathbb{R}^n$ ; this class was also studied in [13], under the name of c strongly Darboux functions;
- *perfectly everywhere surjective* if  $f[P] = \mathbb{R}$  for every perfect set  $P \subset \mathbb{R}^n$  (i.e., when  $f^{-1}(r)$  is a Bernstein set for every  $r \in \mathbb{R}$  (compare [10, Ch. 7]));
- a *Jones function* (see [22]) if  $f \cap F \neq \emptyset$  for every closed set  $F \subset \mathbb{R}^n \times \mathbb{R}$  whose projection on  $\mathbb{R}^n$  is uncountable.

The classes of these functions are written as  $ES(\mathbb{R}^n)$ ,  $SES(\mathbb{R}^n)$ ,  $PES(\mathbb{R}^n)$ , and  $J(\mathbb{R}^n)$ , respectively. We will drop the domain  $\mathbb{R}^n$  if n = 1.

<sup>&</sup>lt;sup>1</sup> Of course, there might be some other topological properties distinguishing between the families M with the same value  $\mathcal{L}_{\tau}(M)$ . For example, in [2] it is shown that if M is the family of strongly singular functions in CBV[0, 1], then  $\mathcal{L}_u(M) = \mathfrak{c}^+$  and M contains a linear subspace generated by a discrete set of the cardinality  $\mathfrak{c}$ . Similarly, if M is the family of all nowhere differentiable functions in C[0, 1], then  $\mathcal{L}_u(M) = \mathfrak{c}^+$ , as proven in [28]. However, the linear subspace of M given in [28] is only separable.

#### **Definition 1.5.** A function $f : \mathbb{R} \to \mathbb{R}$ has:

- the *Cantor intermediate value property* if for every  $x, y \in \mathbb{R}$  and for each perfect set K between f(x) and f(y) there is a perfect set C between x and y such that  $f[C] \subset K$ ;
- the strong Cantor intermediate value property if for every  $x, y \in \mathbb{R}$  and for each perfect set K between f(x) and f(y) there is a perfect set C between x and y such that  $f[C] \subset K$  and  $f \upharpoonright C$  is continuous;
- the weak Cantor intermediate value property if for every  $x, y \in \mathbb{R}$  with f(x) < f(y) there exists a perfect set *C* between *x* and *y* such that  $f[C] \subset (f(x), f(y))$ ;
- *perfect roads* if for every  $x \in \mathbb{R}$  there exists a perfect set  $P \subset \mathbb{R}$  having x as a bilateral (i.e., two sided) limit point for which  $f \upharpoonright P$  is continuous at x.

The above classes of functions shall be denoted by CIVP, SCIVP, WCIVP, and PR, respectively.

Notice that all classes defined in the above three definitions are star-like.

The text is organized as follows. In Section 2 we study the relations between additivity and maximal lineability numbers. Sections 3 and 4 focus on the set of extendable functions on  $\mathbb{R}$  and  $\mathbb{R}^n$ , respectively. Surprisingly enough, we shall obtain very different results when moving from  $\mathbb{R}$  to  $\mathbb{R}^n$ . The lineability of some of the above functions have been recently partly studied (see, e.g., [4,18–20]) but here we shall give definitive answers concerning the lineability and spaceability of several previous studied classes.

#### 2. Relation between additivity and lineability numbers

The goal of this section is to examine possible values of numbers A(M),  $m\mathcal{L}(M)$ , and  $\mathcal{L}(M)$  for a subset M of a linear space W over an arbitrary field K. We will concentrate on the cases when  $\emptyset \neq M \subsetneq W$ , since it is easy for the cases  $M \in \{\emptyset, W\}$ . Indeed, as it can be easily checked, one has  $A(\emptyset) = \mathcal{L}(\emptyset) = 1$  and  $m\mathcal{L}(\emptyset) = 0$ ;  $A(W) = |W|^+$ ,  $\mathcal{L}(W) = \dim(W)^+$ , and  $m\mathcal{L}(W) = \dim(W)$ .

**Proposition 2.1.** Let *W* be a vector space over a field *K* and let  $\emptyset \neq M \subsetneq W$ . Then

- (I)  $2 \leq A(M) \leq |W|$  and  $\mathcal{mL}(M) < \mathcal{L}(M) \leq \dim(W)^+$ ;
- (II) if  $A(\operatorname{st}(M)) > |K|$ , then  $A(\operatorname{st}(M)) \leq m\mathcal{L}(M)$ .

In particular, if M is star-like, then A(M) > |K| implies that

(III)  $A(M) \leq m\mathcal{L}(M) < \mathcal{L}(M) \leq \dim(W)^+$ .

**Proof.** (I) These inequalities are easy to see.

(II) This can be proved by an easy transfinite induction. Alternatively, notice that A. Bartoszewicz and S. Głąb proved, in [4, Corollary 2.3], that if  $M \subset W$  is star-like and A(M) > |K|, then  $A(M) < \mathcal{HL}(M)$ . Hence, A(st(M)) > |K| implies that  $A(st(M)) < \mathcal{HL}(st(M)) = m\mathcal{L}(st(M))^+ = m\mathcal{L}(M)^+$ . Therefore,  $A(st(M)) \leq m\mathcal{L}(M)$ .  $\Box$ 

In what follows, we will restrict our attention to the star-like families, since, by Proposition 2.1, other cases could be reduced to this situation. Our next theorem shows that, for such families and under assumption that A(M) > |K|, the inequalities (III) constitute all that can be said on these numbers.

**Theorem 2.2.** Let *W* be an infinite dimensional vector space over an infinite field *K* and let  $\alpha$ ,  $\mu$ , and  $\lambda$  be the cardinal numbers such that  $|K| < \alpha \leq \mu < \lambda \leq \dim(W)^+$ . Then there exists a star-like  $M \subsetneq W$  containing 0 such that  $A(M) = \alpha$ ,  $\mathfrak{mL}(M) = \mu$ , and  $\mathcal{L}(M) = \lambda$ .

The proof of this theorem will be based on the following two lemmas. The first of them shows that the theorem holds when  $\alpha = \mu$ , while the second shows how such an example can be modified to the general case.

**Lemma 2.3.** Let *W* be an infinite dimensional vector space over an infinite field *K* and let  $\mu$  and  $\lambda$  be the cardinal numbers such that  $|K| < \mu < \lambda \leq \dim(W)^+$ . Then there exists a star-like  $M \subsetneq W$  containing 0 such that  $A(M) = \mathfrak{mL}(M) = \mu$  and  $\mathcal{L}(M) = \lambda$ .

**Proof.** For  $S \subset W$ , let V(S) be the vector subspace of W spanned by S.

Let *B* be a basis for *W*. For  $w \in W$ , let supp(*w*) be the smallest subset *S* of *B* with  $w \in V(S)$  and let  $c_w$ : supp(*w*)  $\rightarrow K$  be such that  $w = \sum_{b \in \text{supp}(w)} c_w(b)b$ . Let *E* be the set of all cardinal numbers less than  $\lambda$  and choose a sequence  $\langle B_\eta; \eta \in E \rangle$  of pairwise disjoint subsets of *B* such that  $|B_0| = \mu$  and  $|B_n| = \eta$  whenever  $0 \neq \eta \in E$ . Define

$$M = \mathcal{A} \cup \bigcup_{\eta \in E} V(B_{\eta}),$$

where

 $\mathcal{A} = \{ w \in W \colon c_w(b_0) = c_w(b_1) \text{ for some } b_0 \in \operatorname{supp}(w) \cap B_0, b_1 \in \operatorname{supp}(w) \setminus B_0 \}.$ 

We will show that *M* is as desired.

Clearly, *M* is star-like and  $0 \in M \subsetneq W$ . Also,  $\mathcal{L}(M) \ge \lambda$ , since for any cardinal  $\eta < \lambda$  the set *M* contains a vector subspace  $V(B_{\eta})$  with dim $(V(B_{\eta})) \ge \eta$ .

To see that  $A(M) \ge \mu$ , choose an  $F \subset W$  with  $|F| < \mu$ . It is enough to show that |F| < A(M), that is, that there exists a  $w \in W$  with  $w + F \subset A$ . As  $\operatorname{supp}(F) = \bigcup_{v \in F} \operatorname{supp}(v)$  has cardinality at most  $|F| + \omega < \mu = |B_0| = |B_\mu| \le |B \setminus B_0|$ , there exist  $b_0 \in B_0 \setminus \operatorname{supp}(F)$  and  $b_1 \in B \setminus (B_0 \cup \operatorname{supp}(F))$ . Let  $w = b_0 + b_1$  and notice that  $w + F \subset A \subset M$ , since for every  $v \in F$  we have  $b_0 \in \operatorname{supp}(w + v) \cap B_0$ ,  $b_1 \in \operatorname{supp}(w + v) \setminus B_0$ , and  $c_{w+v}(b_0) = 1 = c_{w+v}(b_1)$ .

Next notice that the inequalities  $|K| < \mu \leq A(M)$  and Proposition 2.1 imply that  $\mu \leq A(M) \leq m\mathcal{L}(M)$ . Thus, to finish the proof, it is enough to show that  $m\mathcal{L}(M) \leq \mu$  and  $\mathcal{L}(M) \leq \lambda$ .

To see that  $m\mathcal{L}(M) \leq \mu$ , it is enough to show that  $V(B_0)$  is a maximal vector subspace of M. Indeed, if V is a vector subspace of W properly containing  $V(B_0)$ , then there exists a non-zero  $v \in V \cap V(B \setminus B_0)$ . Choose a  $b_0 \in B_0$  and a non-zero  $c \in K \setminus \{c_v(b): b \in \operatorname{supp}(v)\}$ . Then  $cb_0 + v \in V \setminus M$ . So,  $V(B_0)$  is a maximal vector subspace of M and indeed  $m\mathcal{L}(M) \leq \dim(V(B_0)) = \mu$ .

To see that  $\mathcal{L}(M) \leq \lambda$ , notice that this is obvious for  $\lambda = \dim(W)^+$ . So, we can assume that  $\lambda \leq \dim(W)$  and choose a vector subspace *V* of *W* of dimension  $\lambda$ . It is enough to show that  $V \setminus M \neq \emptyset$ . To see this, for every ordinal  $\eta \leq \lambda$  let us define  $\hat{B}_{\eta} = \bigcup \{B_{\zeta} : \zeta \in E \cap \eta\}$ . Notice that

for every  $\eta < \lambda$  there is a non-zero  $w \in V$  with supp $(w) \cap \hat{B}_{\eta} = \emptyset$ .

Indeed, if  $\pi_{\eta}$ :  $W = V(\hat{B}_{\eta}) \oplus V(B \setminus \hat{B}_{\eta}) \rightarrow V(\hat{B}_{\eta})$  is the natural projection, then there exist distinct  $w_1, w_2 \in V$  with  $\pi_{\eta}(w_1) = \pi_{\eta}(w_2)$  (as  $|V(\hat{B}_{\eta})| < \lambda = \dim(V)$ ). Then  $w = w_1 - w_2$  is as required.

Now, choose a non-zero  $w_1 \in V$  with  $\operatorname{supp}(w_1) \cap B_0 = \operatorname{supp}(w_1) \cap \hat{B}_1 = \emptyset$ . Then,  $w_1 \notin A$  and if  $\operatorname{supp}(w_1) \not\subset \hat{B}_{\lambda} = \bigcup_{\eta \in E} B_{\eta}$ , then also  $w_1 \notin \bigcup_{\eta \in E} V(B_{\eta})$ , and we have  $w_1 \in V \setminus M$ . Therefore, we can assume that  $\operatorname{supp}(w_1) \subset \hat{B}_{\lambda} = \bigcup_{\eta < \lambda} \hat{B}_{\eta}$ . Let  $\eta < \lambda$  be such that  $\operatorname{supp}(w_1) \subset \hat{B}_{\eta}$  and choose a non-zero  $w_2 \in V$  with  $\operatorname{supp}(w_2) \cap \hat{B}_{\eta} = \emptyset$ . Then  $w = w_2 - w_1 \in V \setminus M$  (since  $w \notin A$ , being non-zero with  $\operatorname{supp}(w) \cap B_0 = \emptyset$ , and  $w \notin \bigcup_{\zeta \in E} V(B_{\zeta})$ , as its support intersects both  $\hat{B}_{\eta}$  and  $B \setminus \hat{B}_{\eta}$ .  $\Box$ 

**Lemma 2.4.** Let W,  $W_0$ , and  $W_1$  be the vector spaces over an infinite field K such that  $W = W_0 \oplus W_1$ . Let  $M \subsetneq W_0$  and

 $\mathcal{F} = M + W_1 = \{m + w: m \in M \& w \in W_1\}.$ 

Then

(I) If M is star-like, then  $\mathcal{F}$  is also star-like.

(II)  $A(\mathcal{F}, W) = A(M, W_0)$ .

(III) If  $0 \in M$ , then  $m\mathcal{L}(\mathcal{F}) = m\mathcal{L}(M) + \dim(W_1)$ .

(IV) If  $0 \in M$  and dim $(W_1) < \mathcal{L}(M)$ , then  $\mathcal{L}(\mathcal{F}) = \mathcal{L}(M) + \dim(W_1)$ .

**Proof.** In the following, let  $\pi_0: W = W_0 \oplus W_1 \to W_0$  be the canonical projection.

(I) Let  $x \in \mathcal{F}$  and  $\lambda \in K \setminus \{0\}$ . Since M is star-like and  $\pi_0(x) \in M$ , we have that  $\pi_0(\lambda x) = \lambda \pi_0(x) \in M$ , and hence  $\lambda x \in M + W_1 = \mathcal{F}$ .

(II) Let us see that  $A(M, W_0) \leq A(\mathcal{F}, W)$ . To this end, let  $\kappa < A(M, W_0)$ . We need to prove that  $\kappa < A(\mathcal{F}, W)$ . Indeed, if  $F \subset W$  and  $|F| = \kappa$ , then  $|\pi_0[F]| \leq |F| = \kappa$ . So, there exists a  $w_0 \in W_0$  such that  $\pi_0[w_0 + F] = w_0 + \pi_0[F] \subset M$ , that is,  $w_0 + F \subset M + W_1 = \mathcal{F}$ . Therefore,  $\kappa < A(\mathcal{F}, W)$ .

To see that  $A(\mathcal{F}, W) \leq A(M, W_0)$  let  $\kappa < A(\mathcal{F}, W)$ . We need to show that  $\kappa < A(M, W_0)$ . Indeed, let  $F \subset W_0$  be such that  $|F| = \kappa$ . Since  $|F| < A(\mathcal{F}, W)$ , there is a  $w \in W$  with  $w + F \subset \mathcal{F}$ . Then  $\pi_0(w) \in W_0$  and  $\pi_0(w) + F = \pi_0[w + F] \subset \pi_0[\mathcal{F}] = M$ , so indeed  $\kappa < A(M)$ .

(III) First notice that it is enough to show that

*V* is a maximal vector subspace of  $\mathcal{F}$  if, and only if,  $V = V_0 + W_1$ , where

 $V_0$  is a maximal vector subspace of M.

Indeed, if *V* is a maximal vector subspace of  $\mathcal{F}$  with  $m\mathcal{L}(\mathcal{F}) = \dim(V)$ , then, by (3),  $m\mathcal{L}(\mathcal{F}) = \dim(V) = \dim(V_0) + \dim(W_1) \ge m\mathcal{L}(M) + \dim(W_1)$ . Conversely, if  $V_0$  is a maximal vector subspace of *M* with  $m\mathcal{L}(M) = \dim(V_0)$ , then we have

$$m\mathcal{L}(M) + \dim(W_1) = \dim(V_0) + \dim(W_1) = \dim(V_0 + W_1) \ge m\mathcal{L}(\mathcal{F}).$$

To see (3), take a maximal vector subspace V of  $\mathcal{F}$ . Notice that  $W_1 \subset V$ , since

$$V \subset V + W_1 \subset \mathcal{F} + W_1 = \mathcal{F}$$

and so, by maximality,  $V + W_1 = V$ . In particular,  $V = V_0 + W_1 \subset \mathcal{F} = M + W_1$ , where  $V_0 = \pi_0[V]$ . Thus,  $V_0$  is a vector subspace of M. It must be maximal, since for any its proper extension  $\hat{V}_0 \subset M$ , the vector space  $\hat{V}_0 + W_1 \subset \mathcal{F}$  would be a proper extension of V.

Conversely, if  $V_0$  is a maximal vector subspace of M, then  $V = V_0 + W_1$  is a vector subspace of  $\mathcal{F}$ . If cannot have a proper extension  $\hat{V} \subset \mathcal{F}$ , since then the vector space  $\pi_0[\hat{V}] \subset M$  would be a proper extension of  $V_0$ .

(IV) To see that  $\mathcal{L}(\mathcal{F}) \leq \dim(W_1) + \mathcal{L}(M)$ , choose a vector space  $V \subset \mathcal{F}$ . We need to show that  $\dim(V) < \dim(W_1) + \mathcal{L}(M)$ . Indeed,  $V_1 = V + W_1$  is a vector subspace of  $\mathcal{F} + W_1 = \mathcal{F}$  and  $\dim(V) \leq \dim(V_1) = \dim(W_1) + \dim(\pi_0[V_1])$ , since  $V_1 = W_1 \oplus \pi_0[V_1]$ . Therefore,  $\dim(V) \leq \dim(W_1) + \dim(\pi_0[V_1]) < \dim(W_1) + \mathcal{L}(M)$ , since  $\dim(W_1) < \mathcal{L}(M)$  and  $\dim(\pi_0[V_1]) < \mathcal{L}(M)$ , as  $\pi_0[V_1]$  is a vector subspace of  $M = \pi_0[\mathcal{F}]$ . So,  $\mathcal{L}(\mathcal{F}) \leq \dim(W_1) + \mathcal{L}(M)$ .

To see that  $\dim(W_1) + \mathcal{L}(M) \leq \mathcal{L}(\mathcal{F})$ , choose a  $\kappa < \dim(W_1) + \mathcal{L}(M)$ . We need to show that  $\kappa < \mathcal{L}(\mathcal{F})$ , that is, that there exists a vector subspace V of  $\mathcal{F}$  with  $\dim(V) \geq \kappa$ . First, notice that  $\dim(W_1) < \mathcal{L}(M)$  and  $\kappa < \dim(W_1) + \mathcal{L}(M)$  imply that there exists a  $\mu < \mathcal{L}(M)$  such that  $\kappa \leq \dim(W_1) + \mu < \dim(W_1) + \mathcal{L}(M)$ . (For finite values of  $\mathcal{L}(M)$ , take  $\mu = \max\{\kappa - \dim(W_1), 0\}$ ; for infinite  $\mathcal{L}(M)$ , the number  $\mu = \max\{\kappa, \dim(W_1)\}$  works.) Choose a vector subspace  $V_0$  of M with  $\dim(V_0) \geq \mu$ . Then the vector subspace  $V = V_0 + W_1 = V_0 \oplus W_1$  of  $\mathcal{F}$  is as desired, since we have  $\dim(V) = \dim(W_1) + \dim(V_0) \geq \dim(W_1) + \mu \geq \kappa$ .  $\Box$ 

**Proof of Theorem 2.2.** Represent *W* as  $W_0 \oplus W_1$ , where  $\dim(W_0) = \lambda$  and  $\dim(W_1) = \mu$ . Use Lemma 2.3 to find a star-like  $M \subsetneq W_0$  containing 0 such that  $A(M, W_0) = m\mathcal{L}(M) = \alpha$  and  $\mathcal{L}(M) = \lambda$ . Let  $\mathcal{F} = M + W_1 \subsetneq B$ . Then, by Lemma 2.4,  $\mathcal{F} \ni 0$  is star-like such that  $A(\mathcal{F}) = A(M, W_0) = \alpha$ ,  $m\mathcal{L}(\mathcal{F}) = m\mathcal{L}(M) + \dim(W_1) = \alpha + \mu = \mu$ , and  $\mathcal{L}(\mathcal{F}) = \mathcal{L}(M) + \dim(W_2) = \lambda + \alpha = \lambda$ , as required.  $\Box$ 

A. Bartoszewicz and S. Głąb have asked [4, Open question 1] whether the inequality  $A(\mathcal{F})^+ \ge \mathcal{HL}(\mathcal{F})$  (which is equivalent to  $A(\mathcal{F}) \ge m\mathcal{L}(\mathcal{F})$ ) holds for any family  $\mathcal{F} \subset \mathbb{R}^{\mathbb{R}}$ . Of course, for the

(3)

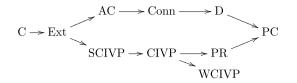


Fig. 1. Relations between the Darboux-like classes of functions from  $\mathbb R$  to  $\mathbb R$ . Arrows indicate strict inclusions.

star-like families  $\mathcal{F}$  with  $A(\mathcal{F}) > \mathfrak{c}$ , a positive answer to this question would mean that, under these assumptions, we have  $A(\mathcal{F}) = \mathfrak{mL}(\mathcal{F})$ . Notice that Theorem 2.2 gives, in particular, a negative answer to this question.

We do not have a comprehensive example, similar to that provided by Theorem 2.2, for the case when  $A(M) \leq |K|$ . However, the machinery built above, together with the results from [4], lead to the following result.

**Theorem 2.5.** Let W be a vector space over an infinite field K with  $\dim(W) \ge 2^{|K|}$ . If  $2 \le \kappa \le |W|$ , there exists a star-like family  $\mathcal{F} \subsetneq W$  containing 0 such that  $A(\mathcal{F}) = \kappa$  and  $\mathfrak{mL}(\mathcal{F}) = \dim(W)$  (so that  $\mathcal{L}(\mathcal{F}) = \dim(W)^+$ ).

**Proof.** Represent *W* as  $W = W_0 \oplus W_1$ , where  $\dim(W_0) = 2^{|K|}$  and  $\dim(W_1) = \dim(W)$ . If  $2 \le \kappa \le |K|$ , then, by [4, Theorem 2.5], there exists a star-like family  $M \subset W_0$  such that  $A(M, W_0) = \kappa$ . Notice that the family constructed in that result contains 0. Then, by Lemma 2.4, the family  $\mathcal{F} = M + W_1$  satisfies that  $A(\mathcal{F}) = A(M, W_0) = \kappa$  and  $\mathfrak{mL}(\mathcal{F}) = \mathfrak{mL}(M) + \dim(W_1) = \dim(W)$ .  $\Box$ 

#### 3. Spaceability of Darboux-like functions on $\mathbb R$

Recall (see, e.g., [12, Chart 1] or [11]) that we have the strict inclusions, indicated in Fig. 1 by the arrows, between the Darboux-like functions from  $\mathbb{R}$  to  $\mathbb{R}$ . The next theorem, strengthening the results presented in the table from [8, p. 14], determines fully the lineability,  $\mathcal{L}$ , and spaceability,  $\mathcal{L}_p$ , numbers for these classes.

**Theorem 3.1.**  $\mathcal{L}_p(\text{Ext}) = (2^c)^+$ . In particular, all Darboux-like classes of functions from Fig. 1, except C, are  $2^c$ -spaceable with respect to the topology of pointwise convergence.

**Proof.** In [15, Corollary 3.4] it is shown that there exists an  $f \in Ext$  and an  $F_{\sigma}$  first category set  $M \subset \mathbb{R}$  such that

if 
$$g \in \mathbb{R}^{\mathbb{R}}$$
 and  $g \upharpoonright M = f \upharpoonright M$ , then  $g \in \text{Ext.}$  (4)

It is easy to see that for any real number  $r \neq 0$  the function rf satisfies the same property.

Notice also that there exists a family  $\{h_{\xi} \in \mathbb{R}^{\mathbb{R}}: \xi < c\}$  of increasing homeomorphisms such that the sets  $M_{\xi} = h_{\xi}[M], \xi < c$ , are pairwise disjoint. (See, e.g., [15, Lemma 3.2].) It is easy to see that each function  $f_{\xi} = f \circ h_{\xi}^{-1}$  satisfies (4) with the set  $M_{\xi}$ . Increasing one of the sets  $M_{\xi}$ , if necessary, we can also assume that  $\{M_{\xi}: \xi < c\}$  is a partition of  $\mathbb{R}$ . Let  $\vec{f} = \langle f_{\xi} \upharpoonright M_{\xi}: \xi < c \rangle$  and define

$$V(\vec{f}) = \left\{ \bigcup_{\xi < \mathfrak{c}} t(\xi) (f_{\xi} \upharpoonright M_{\xi}) \colon t \in \mathbb{R}^{\mathfrak{c}} \right\}.$$
(5)

It is easy to see that  $V(\vec{f})$  is 2<sup>c</sup>-dimensional  $\tau_p$ -closed linear subspace of Ext.  $\Box$ 

As the cardinality of the family  $\mathcal{B}$ or of Borel functions from  $\mathbb{R}$  to  $\mathbb{R}$  is c, Theorem 3.1 easily implies that Ext \  $\mathcal{B}$ or is 2<sup>c</sup>-lineable:  $\mathcal{L}(\text{Ext} \setminus \mathcal{B}\text{or}) = (2^c)^+$ . Actually, we have an even stronger result:

**Proposition 3.2.**  $\mathcal{L}_p(\text{Ext} \cap \text{SES} \setminus \mathcal{B}\text{or}) = (2^{\mathfrak{c}})^+$ .

**Proof.** The function  $f \upharpoonright M$  satisfying (4) may also have the property that

*M* is c-dense in  $\mathbb{R}$  and  $f \upharpoonright M$  is SES non-Borel.

Indeed, this can be ensured by enlarging *M* by a c-dense first category set  $N \subset \mathbb{R} \setminus M$  and redefining *f* on *N* so that  $f \upharpoonright N$  is non-Borel and SES.

(6)

Now, if f satisfies both (4) and (6) and  $\vec{f} = \langle f_{\xi} \upharpoonright M_{\xi} : \xi < \mathfrak{c} \rangle$  is defined as in Theorem 3.1, then the space  $V(\vec{f})$  given in (5) is as required.  $\Box$ 

Notice also that  $Ext \cap PES = PR \cap PES = \emptyset$ . In particular, the space *V* from Proposition 3.2 is disjoint with PES.

**Remark 3.3.** Clearly, Theorem 3.1 implies that Ext is 2<sup>c</sup>-lineable. This result has been also independently proved by T. Natkaniec in [27]. The idea used in [27] is similar, but the technique is different from that used in the proof of Theorem 3.1. The similar technique was also used in the recent papers [3,5].

Recall, that it is known that  $\mathcal{L}(AC \setminus Ext) = (2^{c})^{+}$ . See [19] or [8, p. 14]. However, we do not know what the exact values of the following cardinals are.

Problem 3.4. Determine the following numbers:

 $\mathcal{L}_p(\mathcal{F} \setminus \mathcal{G}), \ \mathcal{L}_u(\mathcal{F} \setminus \mathcal{G}), \ \text{and} \ \mathcal{L}(\mathcal{F} \setminus \mathcal{G})$ 

for  $\mathcal{F} \in \{\text{Conn} \setminus \text{AC}, D \setminus \text{Conn}, \text{PC} \setminus D\}$  and  $\mathcal{G} \in \{\text{SCIVP}, \text{CIVP}, \text{PR}\}$ .

Recall (see [15] or [11]) that for every  $\mathcal{F} \in \{\text{Ext}, \text{AC}, \text{Conn}, D\}$  we have  $A(\mathcal{F}) \ge c^+$  and so, by Proposition 2.1,

$$\mathfrak{c}^{+} \leqslant A(\mathcal{F}) \leqslant \mathfrak{mL}(\mathcal{F}) < \mathcal{L}(\mathcal{F}) \leqslant \left(2^{\mathfrak{c}}\right)^{+}.$$
(7)

In particular, under the generalized continuum hypothesis GCH we have  $A(\mathcal{F}) = m\mathcal{L}(\mathcal{F}) = 2^{c}$  and  $m\mathcal{L}(\mathcal{F})^{+} = \mathcal{L}(\mathcal{F}) = (2^{c})^{+}$ . However, without the GCH the situation is less clear. Of course, by Theorem 3.1, the value of  $\mathcal{L}(\mathcal{F})$  is determined to be  $(2^{c})^{+}$ , reducing the inequalities of (7) to  $c^{+} \leq A(\mathcal{F}) \leq m\mathcal{L}(\mathcal{F}) \leq 2^{c}$ . At the same time, it is consistent with ZFC that  $A(\mathcal{F}) < 2^{c}$ . (See [13] or [11].) In such situation, the exact position of the number  $m\mathcal{L}(\mathcal{F})$  between  $A(\mathcal{F})$  and  $2^{c}$  is unclear, leading to the following problem.

**Problem 3.5.** Let  $\mathcal{F} \in \{\text{Ext}, \text{AC}, \text{Conn}, D\}$ . Is it consistent with the axioms of set theory ZFC that  $A(\mathcal{F}) < m\mathcal{L}(\mathcal{F})$ ? What about the consistency of  $m\mathcal{L}(\mathcal{F}) < 2^{c}$ ?

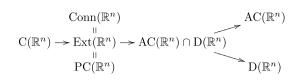
It is worth to mention, that the formula (7) is also true when  $\mathcal{F}$  is the class  $\mathcal{SZ}$  of the Sierpiński–Zygmund functions. Once again, it is consistent with ZFC that  $A(\mathcal{SZ}) < 2^{\mathfrak{c}}$ , as proved in [14]. However, in contrast with Theorem 3.1, it is also consistent with ZFC that  $\mathcal{L}(\mathcal{SZ}) < (2^{\mathfrak{c}})^+$ . (See [21]; compare also [6].)

### 4. Spaceability of Darboux-like functions on $\mathbb{R}^n$ , $n \ge 2$

Recall (see, e.g., [12, Chart 2] or [11]) that we have the following strict inclusions, indicated in Fig. 2 by the arrows, between the Darboux-like functions from  $\mathbb{R}^n$  to  $\mathbb{R}$  for  $n \ge 2$ .

The proof of the next theorem will be based on the following result [16, Proposition 2.7]:

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**Fig. 2.** Relations between the Darboux-like classes of functions from  $\mathbb{R}^n$  to  $\mathbb{R}$ ,  $n \ge 2$ . Arrows indicate strict inclusions.

**Proposition 4.1.** Let n > 0 and let  $f : \mathbb{R}^n \to \mathbb{R}$  be a peripherally continuous function. Then for any  $x_0 \in \mathbb{R}^n$  and any open set W in  $\mathbb{R}^n$  containing  $x_0$ , there exists an open set  $U \subseteq W$  such that  $x_0 \in U$  and the restriction of f to bd(U) is continuous. Moreover, given any  $\varepsilon > 0$ , the set U can be chosen so that  $|f(x_0) - f(y)| < \varepsilon$  for every  $y \in bd(U)$ .

**Theorem 4.2.** For  $n \ge 2$ ,  $\mathcal{L}_p(\text{Ext}(\mathbb{R}^n)) = \mathcal{L}_u(\text{Ext}(\mathbb{R}^n)) = \mathcal{L}(\text{Ext}(\mathbb{R}^n)) = \mathfrak{c}^+$ . In particular, the classes  $C(\mathbb{R}^n)$  and  $\text{Ext}(\mathbb{R}^n)$  are  $\mathfrak{c}$ -spaceable with respect to the pointwise convergence topology  $\tau_p$  but are not  $\mathfrak{c}^+$ -lineable.

**Proof.** First, notice that  $\mathcal{L}_p(C(\mathbb{R}^n)) = \mathfrak{c}^+$  is justified by the space  $C_0$  of all continuous functions linear on the interval [k, k + 1] for every integer  $k \in \mathbb{Z}$ . Indeed,  $C_0$  is linearly isomorphic to  $\mathbb{R}^{\mathbb{Z}}$ .

Now, since  $\mathfrak{c}^+ = \mathcal{L}_p(\mathbb{C}(\mathbb{R}^n)) \leq \mathcal{L}_p(\operatorname{Ext}(\mathbb{R}^n)) \leq \mathcal{L}_u(\operatorname{Ext}(\mathbb{R}^n)) \leq \mathcal{L}(\operatorname{Ext}(\mathbb{R}^n))$ , it is enough to show that  $\mathcal{L}(\operatorname{Ext}(\mathbb{R}^n)) \leq \mathfrak{c}^+$ , that is, that  $\operatorname{Ext}(\mathbb{R}^n)$  is not  $\mathfrak{c}^+$ -lineable. To see this, by way of contradiction, assume that there exists a vector space  $V \subset \operatorname{Ext}(\mathbb{R}^n)$  of cardinality greater than  $\mathfrak{c}$ . Fix a countable dense set  $D \subset \mathbb{R}^n$  and let  $\langle\!\langle x_k, \varepsilon_k \rangle\!\rangle$ :  $k < \omega$  be an enumeration of  $D \times \{2^{-m}: m < \omega\}$ . By Proposition 4.1, for every function  $f \in \operatorname{Ext}(\mathbb{R}^n)$  and  $k < \omega$  we can choose an open neighborhood  $U_k^f$  of  $x_k$  of the diameter at most  $\varepsilon_k$  such that  $f \upharpoonright \operatorname{bd}(U_k^f)$  is continuous. Consider the mapping

$$V \ni f \mapsto T_f = \langle f \upharpoonright \operatorname{bd}(U_k^J) : k < \omega \rangle$$

Since its range has cardinality c, there are distinct  $f_1, f_2 \in V$  with  $T_{f_1} = T_{f_2}$ . In particular,  $f = f_1 - f_2 \in V$  is equal zero on the set  $M = \bigcup_{k < \omega} bd(U_k^{f_1})$ . Notice that the complement  $M^c$  of M is zero-dimensional. We will show that f is not extendable, by showing that it does not satisfy Proposition 4.1.

Indeed, since  $f_1 \neq f_2$ , there is an  $x \in \mathbb{R}^n$  with  $f(x) \neq 0$ . Let  $\varepsilon = |f(x)|$  and let W be any bounded neighborhood of x. Then, there is no set U as required by Proposition 4.1.

To see this, notice that for any open set  $U \subseteq W$  with  $x \in U$ , its boundary is of dimension at least 1. In particular,  $M \cap bd(U) \neq \emptyset$  and, for  $y \in M \cap bd(U)$ , we have  $|f(x) - f(y)| = |f(x)| = \varepsilon$ .  $\Box$ 

Theorem 4.2 determines the values of the numbers  $\mathcal{L}_p(\mathcal{F})$ ,  $\mathcal{L}_u(\mathcal{F})$ , and  $\mathcal{L}(\mathcal{F})$  for  $\mathcal{F} \in \{C(\mathbb{R}^n), Ext(\mathbb{R}^n), Conn(\mathbb{R}^n), PR(\mathbb{R}^n)\}$  and  $n \ge 2$ . In the remainder of this section we will examine these cardinal numbers for the remaining classes from the diagram in Fig. 2. For this, we will need the following fact, improving a recent result of the second author. (See [18, Theorem 2.2].)

**Proposition 4.3.**  $\mathcal{L}_p(J(\mathbb{R}^n)) = (2^{\mathfrak{c}})^+$  for every  $n \ge 1$ . In particular, the families  $J(\mathbb{R}^n)$ ,  $\text{PES}(\mathbb{R}^n)$ ,  $\text{SES}(\mathbb{R}^n)$ , and  $\text{ES}(\mathbb{R}^n)$  are  $2^{\mathfrak{c}}$ -spaceable with respect to the topology of pointwise convergence.

**Proof.** Let  $\{M_{\xi}: \xi < c\}$  be a decomposition of  $\mathbb{R}^n$  into pairwise disjoint Bernstein sets. For every  $\xi < c$ , let  $f_{\xi}: M_{\xi} \to \mathbb{R}$  be such that  $f_{\xi} \cap F \neq \emptyset$  for every closed set  $F \subset \mathbb{R}^n \times \mathbb{R}$  whose projection on  $\mathbb{R}^n$  is uncountable. (All of this can be easily constructed by transfinite induction. See, e.g., [10].) Notice that

if  $g \in \mathbb{R}^{\mathbb{R}}$  and  $g \upharpoonright M_{\xi} = r f_{\xi}$  for some  $\xi < \mathfrak{c}$  and  $r \neq 0$ , then  $g \in J(\mathbb{R}^n)$ .

Now, if  $\vec{f} = \langle f_{\xi} \upharpoonright M_{\xi}: \xi < \mathfrak{c} \rangle$  and  $V(\vec{f})$  is given by (5), then  $V(\vec{f})$  is 2<sup>c</sup>-dimensional  $\tau_p$ -closed linear subspace of  $J(\mathbb{R}^n)$ .  $\Box$ 

Every function in  $J(\mathbb{R}^n)$  is surjective. In particular, the above result implies that the class of surjective functions is 2<sup>c</sup>-lineable. One could also wonder about the lineability of the family of one-to-one functions from  $\mathbb{R}^n$  to  $\mathbb{R}$ , given below.

**Remark 4.4.** The family of one-to-one functions from  $\mathbb{R}^n$  to  $\mathbb{R}$  is 1-lineable but not 2-lineable.

**Proof.** Clearly the family is 1-lineable. To see that is not 2-lineable, choose two injective linearly independent functions f and g generating a linear space Z. Take arbitrary  $x \neq y$  in  $\mathbb{R}^n$  and consider the function  $h = f + \alpha g \in Z \setminus \{0\}$ , where  $\alpha = (f(x) - f(y))/(g(y) - g(x)) \in \mathbb{R}$ . Then, we have h(x) = h(y), so Z contains a function which is not one-to-one.  $\Box$ 

Other examples of 1-lineable but not 2-lineable sets and, in general, not lineable sets can be found in [8,9].

**Theorem 4.5.** For  $n \ge 2$ ,  $J(\mathbb{R}^n) \subset AC(\mathbb{R}^n) \setminus D(\mathbb{R}^n)$ . In particular, the class  $AC(\mathbb{R}^n) \setminus D(\mathbb{R}^n)$  is 2<sup>c</sup>-spaceable and  $\mathcal{L}_p(AC(\mathbb{R}^n) \setminus D(\mathbb{R}^n)) = (2^c)^+$ .

**Proof.** By Proposition 4.3, it is enough to show that  $J(\mathbb{R}^n) \subset AC(\mathbb{R}^n) \setminus D(\mathbb{R}^n)$ . Clearly,  $J(\mathbb{R}^n) \subset AC(\mathbb{R}^n) \cap PES(\mathbb{R}^n)$  for any  $n \ge 1$ . Thus, it is enough to show that  $PES(\mathbb{R}^n) \cap D(\mathbb{R}^n) = \emptyset$  for  $n \ge 2$ . But this follows immediately from the fact that, under  $n \ge 2$ , every Bernstein set in  $\mathbb{R}^n$  is connected.  $\Box$ 

**Remark 4.6.** Notice that, since  $AC(\mathbb{R}^n) \subset D_P(\mathbb{R}^n)$ , then, for  $n \ge 2$ , we have  $\mathcal{L}_p(D_P(\mathbb{R}^n) \setminus D(\mathbb{R}^n)) = (2^{\mathfrak{c}})^+$ . So,  $D_P(\mathbb{R}^n) \setminus D(\mathbb{R}^n)$  is also  $2^{\mathfrak{c}}$ -spaceable.

**Theorem 4.7.** For  $n \ge 2$ ,  $\mathcal{L}_p(D(\mathbb{R}^n) \setminus AC(\mathbb{R}^n)) = (2^{\mathfrak{c}})^+$ . In particular, the class  $D(\mathbb{R}^n) \setminus AC(\mathbb{R}^n)$  is  $2^{\mathfrak{c}}$ -spaceable.

**Proof.** Let  $\pi_1: \mathbb{R}^n \to \mathbb{R}$  the projection of  $\mathbb{R}^n$  on its first coordinate. Let  $W = V(\vec{f}) \subset J$  be the vector space of cardinality 2<sup>c</sup> build in Proposition 4.3. Then the vector space

$$V = \{ f \circ \pi_1 \colon f \in W \}$$

is obviously contained in  $D(\mathbb{R}^n)$  and has dimension  $2^{\mathfrak{c}}$ . On the other side, if  $f \in W$  then  $f \circ \pi_1$  cannot be in  $AC(\mathbb{R}^n)$ , because then f would be continuous. (See [24].) This is not possible, because  $J \cap C = \emptyset$ . Therefore,  $V \subset D(\mathbb{R}^n) \setminus AC(\mathbb{R}^n)$ . To finish, let us remark that the space V is also closed by pointwise convergence.  $\Box$ 

**Remark 4.8.** Notice that, in  $\mathbb{R}^n$  (for every  $n \in \mathbb{N}$ ), we have that AC \ Ext is  $2^c$ -spaceable (since this class contains the Jones functions). Also, in  $\mathbb{R}$ ,  $J \subset AC \setminus SCIVP \subset AC \setminus Ext$  and, since  $\mathcal{L}_p(J) = (2^c)^+$ , we have (from the previous results) that

$$\mathcal{L}_p(\mathsf{AC} \setminus \mathsf{Ext}) = \mathcal{L}_u(\mathsf{AC} \setminus \mathsf{Ext}) = (2^{\mathfrak{c}})^+.$$

**Problem 4.9.** For  $n \ge 2$ , determine the values of the numbers  $\mathcal{L}_p(AC(\mathbb{R}^n) \cap D(\mathbb{R}^n))$ ,  $\mathcal{L}_u(AC(\mathbb{R}^n) \cap D(\mathbb{R}^n))$ , and  $\mathcal{L}(AC(\mathbb{R}^n) \cap D(\mathbb{R}^n))$ .

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