

# EXTENDING CONNECTIVITY FUNCTIONS ON $\mathbb{R}^n$

KRZYSZTOF CIESIELSKI, TOMASZ NATKANIEC, AND JERZY WOJCIECHOWSKI

ABSTRACT. A function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a *connectivity function* if for every connected subset  $C$  of  $\mathbb{R}^n$  the graph of the restriction  $f|_C$  is a connected subset of  $\mathbb{R}^{n+1}$ , and  $f$  is an *extendable connectivity function* if  $f$  can be extended to a connectivity function  $g : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  with  $\mathbb{R}^n$  imbedded into  $\mathbb{R}^{n+1}$  as  $\mathbb{R}^n \times \{0\}$ . There exists a connectivity function  $f : \mathbb{R} \rightarrow \mathbb{R}$  that is not extendable. We prove that for  $n \geq 2$  every connectivity function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is extendable.

## 1. INTRODUCTION

Given functions  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $g : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ , we say that  $g$  *extends*  $f$  if  $g$  extends the composition  $f \circ \tau : \mathbb{R}^n \times \{0\} \rightarrow \mathbb{R}$ , where  $\tau : \mathbb{R}^n \times \{0\} \rightarrow \mathbb{R}^{n+1}$  and

$$(1) \quad \tau(\langle x_1, x_2, \dots, x_n, 0 \rangle) = \langle x_1, x_2, \dots, x_n \rangle,$$

for every  $\langle x_1, x_2, \dots, x_n \rangle \in \mathbb{R}^n$ . A function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a *connectivity function* if for every connected subset  $C$  of  $\mathbb{R}^n$  the graph of the restriction  $f|_C$  is a connected subset of  $\mathbb{R}^{n+1}$ , and  $f$  is an *extendable connectivity function* if there exists a connectivity function  $g : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  extending  $f$ .

It follows immediately from the definition that every extendable connectivity function is a connectivity function. Cornette [3] and Roberts [9] proved that there exists a connectivity function  $f : \mathbb{R} \rightarrow \mathbb{R}$  that is not extendable. This result was surprising and sparked the interest in the family of extendable connectivity functions. Ciesielski and Wojciechowski [2] asked whether there exists a connectivity function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ ,

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with  $n \geq 2$ , that is not extendable. In this paper we will show that the answer to that question is negative.

**Theorem 1.** *If  $n \geq 2$  then every connectivity function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is extendable.*

To prove Theorem 1 we will use ideas from Gibson and Roush [5] where is formulated a necessary and sufficient condition for a connectivity function  $f : [0, 1] \rightarrow [0, 1]$  to be extendable to a connectivity function  $f : [0, 1]^2 \rightarrow [0, 1]$  (if one considers  $[0, 1]$  to be embedded in  $[0, 1]^2$  as  $[0, 1] \times \{0\}$ ).

Our basic terminology and notation is standard. (See [1] or [4].) In particular, if  $A$  is a subset of a metric space  $X$ , then  $\text{bd } A$ ,  $\text{cl } A$  and  $\text{diam } A$  will denote the boundary, closure, and diameter of  $A$  in  $X$  respectively, and if  $f$  is a function and  $A$  is a subset of its domain, then  $f[A]$  is the image of  $A$  under  $f$ .

The following additional terminology will be useful in our proof. Given a function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ , a *peripheral pair* (for  $f$ ) is an ordered pair  $\langle A, I \rangle$  with  $I$  being a closed interval in  $\mathbb{R}$  and  $A$  being an open bounded subset of  $\mathbb{R}^n$  with  $f[\text{bd } A] \subseteq I$ . Given  $\varepsilon > 0$ , an  $\varepsilon$ -*peripheral pair* is a peripheral pair  $\langle A, I \rangle$  with  $\text{diam } A < \varepsilon$  and  $\text{diam } I < \varepsilon$ . Given a point  $x \in \mathbb{R}^n$ , a peripheral pair for  $f$  at  $x$  is a peripheral pair  $\langle A, I \rangle$  for  $f$  with  $x \in A$  and  $f(x) \in I$ . A function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is said to be *peripherally continuous* if for every  $x \in \mathbb{R}^n$  and  $\varepsilon > 0$  there is an  $\varepsilon$ -peripheral pair for  $f$  at  $x$ .

The class of peripherally continuous functions  $f : \mathbb{R} \rightarrow \mathbb{R}$  is strictly larger than the class of connectivity functions. However, the following result holds.

**Theorem 2.** *If  $n \geq 2$  then a function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is peripherally continuous if and only if it is a connectivity function.*

The implication that a connectivity function is peripherally continuous in Theorem 2 was proved by Hamilton [7] and Stallings [10], and the opposite implication was proved by Hagan [6].

Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a function and  $\mathcal{P}$  be a family of peripheral pairs for  $f$ . We say that  $\mathcal{P}$  *locally converges to 0* if for every  $\varepsilon > 0$  and every bounded set  $X \subseteq \mathbb{R}^n$  the set

$$\{\langle A, I \rangle \in \mathcal{P} : A \cap X \neq \emptyset \text{ and } \text{diam } A \geq \varepsilon\}$$

is finite, and that  $\mathcal{P}$  has the *intersection property* provided  $I \cap I' \neq \emptyset$  for any  $\langle A, I \rangle, \langle A', I' \rangle \in \mathcal{P}$  such that each of the sets  $A \cap A'$ ,  $A \setminus A'$ , and  $A' \setminus A$  is nonempty. Given  $X \subseteq \mathbb{R}^n$ , we say that  $\mathcal{P}$  is an *f-base for X* if for every  $\varepsilon > 0$  and  $x \in X$  there exists an  $\varepsilon$ -peripheral pair for  $f$  at  $x$  that belongs to  $\mathcal{P}$ . Note that a function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is peripherally continuous if and only if there exists an *f-base* for some set  $X \subseteq \mathbb{R}^n$  that contains all points of discontinuity of  $f$ . A *peripheral family for f* :  $\mathbb{R}^n \rightarrow \mathbb{R}$  is a countable family of peripheral pairs for  $f$  that locally converges to 0, has the intersection property, and is an *f-base for  $\mathbb{R}^n$* .

Theorem 1 follows from Theorem 2 and the following two results.

**Theorem 3.** *If  $n \geq 2$  and  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a peripherally continuous function, then there exists a peripheral family for  $f$ .*

If  $\langle A, I \rangle$  is a peripheral pair (for some  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ ), then the *cylindrical extension* of  $\langle A, I \rangle$  is a pair  $\langle A', I' \rangle$ , where

$$A' = A \times (-\text{diam } A, \text{diam } A) \subseteq \mathbb{R}^{n+1}.$$

If  $\mathcal{P}$  is a set of peripheral pairs, then the *cylindrical extension* of  $\mathcal{P}$  is the set of cylindrical extensions of all the elements of  $\mathcal{P}$ .

The case  $n = 1$  of the following theorem is a modification of a result of Gibson and Roush [5].

**Theorem 4.** *If  $n \geq 1$  and  $\mathcal{P}$  is a peripheral family for  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ , then there exists a continuous function*

$$h : \mathbb{R}^{n+1} \setminus (\mathbb{R}^n \times \{0\}) \rightarrow \mathbb{R}$$

*such that every element of the cylindrical extension of  $\mathcal{P}$  is a peripheral pair for the function*

$$g = h \cup (f \circ \tau) : \mathbb{R}^{n+1} \rightarrow \mathbb{R},$$

*where  $\tau : \mathbb{R}^n \times \{0\} \rightarrow \mathbb{R}^n$  is the bijection as in (1).*

The proof of Theorem 3 is given in section 2, and the proof of Theorem 4 can be found in section 3. Now we shall give the proof of Theorem 1.

**Proof of Theorem 1.** Let  $n \geq 2$  and  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a connectivity function. Since  $f$  is peripherally continuous, it follows from Theorem 3 that there exists a peripheral family  $\mathcal{P}$  for  $f$ . Let  $\mathcal{Q}$  be the cylindrical extension of  $\mathcal{P}$ . By Theorem 4 there exists a function  $g : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  such that  $g$  extends  $f$ , the restriction of  $g$  to  $\mathbb{R}^{n+1} \setminus (\mathbb{R}^n \times \{0\})$  is continuous, and every element of  $\mathcal{Q}$  is a peripheral pair for  $g$ . The proof will be complete when we show that  $\mathcal{Q}$  is a  $g$ -base for  $\mathbb{R}^n \times \{0\}$  since then it will follow that  $g$  is peripherally continuous and hence a connectivity function.

Let  $\varepsilon > 0$  and  $x = \langle x_1, \dots, x_n \rangle \in \mathbb{R}^n$ . Since  $\mathcal{P}$  is an  $f$ -base for  $\mathbb{R}^n$ , there is  $\langle A, I \rangle \in \mathcal{P}$  such that  $\text{diam } A < \varepsilon/\sqrt{5}$ ,  $\text{diam } I < \varepsilon$ ,  $x \in A$ , and  $f(x) \in I$ . Then the cylindrical extension  $\langle A', I \rangle \in \mathcal{Q}$  of  $\langle A, I \rangle$  is an  $\varepsilon$ -peripheral pair for  $g$  at  $\bar{x} = \langle x_1, \dots, x_n, 0 \rangle$  implying that  $\mathcal{Q}$  is a  $g$ -base for  $\mathbb{R}^n \times \{0\}$ .

## 2. PERIPHERAL FAMILIES FOR CONNECTIVITY FUNCTIONS

In this section we are going to prove Theorem 3. First, let us introduce some more terminology. Throughout this section we will assume that  $n$  is a fixed integer and that  $n \geq 2$ .

Given  $X, Y \subseteq \mathbb{R}^n$ , the *boundary* of  $X \cap Y$  in  $X$  will be denoted by  $\text{bd}_X Y$ . The *inductive dimension*  $\text{ind } X$  of a subset  $X \subseteq \mathbb{R}^n$  is defined inductively as follows. (See for example Engelking [4].)

- (i)  $\text{ind } X = -1$  if and only if  $X = \emptyset$ .
- (ii)  $\text{ind } X \leq m$  if for any  $p \in X$  and any open neighborhood  $W$  of  $p$  there exists an open neighborhood  $U \subseteq W$  of  $p$  such that  $\text{ind } \text{bd}_X U \leq m - 1$ .
- (iii)  $\text{ind } X = m$  if  $\text{ind } X \leq m$  and it is not true that  $\text{ind } X \leq m - 1$ .

A fundamental result of dimension theory states that  $\text{ind } \mathbb{R}^n = n$ .

Given a set  $A \subseteq \mathbb{R}^n$  and an integer  $m \geq 1$ , we say that  $A$  is an  *$m$ -dimensional Cantor manifold* if  $A$  is compact,  $\text{ind } A = m$ , and for every  $X \subseteq A$  with  $\text{ind } X \leq m - 2$ , the set  $A \setminus X$  is connected. (See [8].) Given a subset  $A$  of  $\mathbb{R}^n$ , we say that  $A$  is a *quasiball* if  $A$  is a bounded and connected open set, and  $\text{bd } A$  is an  $(n - 1)$ -dimensional Cantor manifold. (See [2].) A peripheral pair  $\langle A, I \rangle$  with  $A$  being a quasiball will be called a *nice peripheral pair*. Given  $\varepsilon, \delta > 0$ , an  $\langle \varepsilon, \delta \rangle$ -peripheral pair

is a peripheral pair  $\langle A, I \rangle$  with  $\text{diam } A < \varepsilon$  and  $\text{diam } I < \delta$ . The following theorem follows immediately from Corollary 5.5 in [2].

**Theorem 5.** *If  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a peripherally continuous function, then for any  $\varepsilon, \delta > 0$  and  $x \in \mathbb{R}^n$  there exists a nice  $\langle \varepsilon, \delta \rangle$ -peripheral pair for  $f$  at  $x$ .*

We say that quasiballs  $A$  and  $A'$  are *independent* if each of the sets  $A \cap A'$ ,  $A \setminus A'$ , and  $A' \setminus A$  is nonempty. The following lemma is a restatement of Lemma 5.6 in [2].

**Lemma 6.** *If  $A$  and  $A'$  are independent quasiballs in  $\mathbb{R}^n$ , then  $\text{bd } A \cap \text{bd } A' \neq \emptyset$ .*

The following lemma follows immediately from Lemma 6.

**Lemma 7.** *If  $\mathcal{P}$  is a family of nice peripheral pairs, then  $\mathcal{P}$  has the intersection property.*

For every positive integer  $i \in \mathbb{N}$ , let

$$D_i = \left\{ \frac{-4i^2}{4i}, \frac{-4i^2 + 1}{4i}, \dots, \frac{4i^2}{4i} \right\}$$

and

$$\mathcal{J}_i = \{J_{i,q} : q \in D_i\},$$

where  $J_{i,q}$  is the open interval

$$J_{i,q} = \left( q - \frac{1}{4i}, q + \frac{1}{4i} \right),$$

for each  $q \in D_i$ .

**Lemma 8.** *Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a function and, for every  $i \in \mathbb{N}$  and  $q \in D_i$ , let*

$$\mathcal{P}_{i,q} = \{\langle A_\gamma, I_\gamma \rangle : \gamma \in \Gamma_{i,q}\}$$

*be a family of  $(1/i)$ -peripheral pairs for  $f$  such that*

$$f^{-1}(J_{i,q}) \subseteq \bigcup_{\gamma \in \Gamma_{i,q}} A_\gamma \quad \text{and} \quad J_{i,q} \subseteq \bigcap_{\gamma \in \Gamma_{i,q}} I_\gamma.$$

*Then*

$$\mathcal{P} = \bigcup_{i \in \mathbb{N}} \bigcup_{q \in D_i} \mathcal{P}_{i,q}$$

*is an  $f$ -base for  $\mathbb{R}^n$ .*

*Proof.* Let  $\varepsilon > 0$  and  $x \in \mathbb{R}^n$ . Then there are  $i \in \mathbb{N}$  and  $q \in D_i$  with  $1/i \leq \varepsilon$  and  $f(x) \in J_{i,q}$ . Since

$$f^{-1}(J_{i,q}) \subseteq \bigcup_{\gamma \in \Gamma_{i,q}} A_\gamma,$$

there is  $\delta \in \Gamma_{i,q}$  such that  $x \in A_\delta$ . Since

$$J_{i,q} \subseteq \bigcap_{\gamma \in \Gamma_{i,q}} I_\gamma,$$

it follows that  $\langle A_\delta, I_\delta \rangle$  is an  $\varepsilon$ -peripheral pair for  $f$  at  $x$ . ■

Now we are ready to prove Theorem 3.

**Proof of Theorem 3.** Let  $n \geq 2$  and  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a peripherally continuous function. Fix  $i \in \mathbb{N}$  and  $q \in D_i$ . By Theorem 5 for each  $x \in f^{-1}(J_{i,q})$  there exists a nice  $\langle 1/i, 1/4i \rangle$ -peripheral pair  $\langle A_{i,q,x}, I_{i,q,x} \rangle$  for  $f$  at  $x$ . Let

$$\mathcal{T}_{i,q} = \{ \langle A_{i,q,x}, \text{cl}(I_{i,q,x} \cup J_{i,q}) \rangle : x \in f^{-1}(J_{i,q}) \}.$$

Note that since

$$f(x) \in I_{i,q,x} \cap J_{i,q} \neq \emptyset$$

for every  $x \in f^{-1}(J_{i,q})$ , the elements of  $\mathcal{T}_{i,q}$  are  $\langle 1/i, 3/4i \rangle$ -peripheral pairs for  $f$ .

Let  $j, k \in \mathbb{N}$  be any positive integers with  $j > i$ . Set

$$\mathcal{T}_{i,q}^k = \{ \langle A, I \rangle \in \mathcal{T}_{i,q} : A \cap B_k \neq \emptyset \text{ and } A \cap B_{k'} = \emptyset \text{ for every } k' < k \},$$

where  $B_k$  is the open ball of center  $\langle 0, 0, \dots, 0 \rangle$  and radius  $k$ , and

$$\mathcal{T}_{i,q}^{k,j} = \left\{ \langle A, I \rangle \in \mathcal{T}_{i,q}^k : \frac{1}{j} \leq \text{diam } A < \frac{1}{j-1} \right\}.$$

Moreover, let

$$C_{i,q}^{k,j} = \text{cl} \left( \bigcup_{\langle A, I \rangle \in \mathcal{T}_{i,q}^{k,j}} A \right),$$

and

$$E_{i,q}^{k,j} = C_{i,q}^{k,j} \setminus \bigcup_{\langle A, I \rangle \in \mathcal{T}_{i,q}^{k,j}} A.$$

Fix  $y \in E_{i,q}^{k,j}$ . Let  $\langle A_y, I'_y \rangle$  be a nice  $\langle 1/j, 1/4i \rangle$ -peripheral pair for  $f$  at  $y$ . Since

$$E_{i,q}^{k,j} \subseteq \text{cl} \left( \bigcup_{\langle A, I \rangle \in \mathcal{T}_{i,q}^{k,j}} \text{bd } A \right),$$

there is  $\langle A, I \rangle \in \mathcal{T}_{i,q}^{k,j}$  such that

$$A_y \cap \text{bd } A \neq \emptyset.$$

Since  $\text{diam } A_y < \text{diam } A$ , it follows that the quasiballs  $A$  and  $A_y$  are independent and so Lemma 6 implies that  $I \cap I'_y \neq \emptyset$ . Let  $I_y = I \cup I'_y$  for every  $y \in E_{i,q}^{k,j}$  and

$$\mathcal{S}_{i,q}^{k,j} = \mathcal{T}_{i,q}^{k,j} \cup \left\{ \langle A_y, I_y \rangle : y \in E_{i,q}^{k,j} \right\}.$$

Note that  $J_{i,q} \subseteq I$  for every  $\langle A, I \rangle \in \mathcal{S}_{i,q}^{k,j}$ . Since the set  $C_{i,q}^{k,j}$  is compact and

$$C_{i,q}^{k,j} \subseteq \bigcup_{\langle A, I \rangle \in \mathcal{S}_{i,q}^{k,j}} A,$$

there is a finite subset  $\mathcal{P}_{i,q}^{k,j}$  of  $\mathcal{S}_{i,q}^{k,j}$  such that

$$C_{i,q}^{k,j} \subseteq \bigcup_{\langle A, I \rangle \in \mathcal{P}_{i,q}^{k,j}} A.$$

Let

$$\mathcal{P}_{i,q} = \bigcup_{k \in \mathbb{N}} \bigcup_{j > i} \mathcal{P}_{i,q}^{k,j} = \{ \langle A_\gamma, I_\gamma \rangle : \gamma \in \Gamma_{i,q} \}.$$

It is clear that the elements of  $\mathcal{P}_{i,q}$  are  $(1/i)$ -peripheral pairs and

$$J_{i,q} \subseteq \bigcap_{\gamma \in \Gamma_{i,q}} I_\gamma.$$

Moreover,

$$f^{-1}(J_{i,q}) \subseteq \bigcup_{\langle A, I \rangle \in \mathcal{T}_{i,q}} A \subseteq \bigcup_{\langle A, I \rangle \in \mathcal{T}_{i,q}} \text{cl } A \subseteq \bigcup_{k \in \mathbb{N}} \bigcup_{j > i} C_{i,q}^{k,j} \subseteq \bigcup_{\gamma \in \Gamma_{i,q}} A_\gamma,$$

implying, by Lemma 8, that

$$\mathcal{P} = \bigcup_{i \in \mathbb{N}} \bigcup_{q \in D_i} \mathcal{P}_{i,q}$$

is an  $f$ -base for  $\mathbb{R}^n$ . Of course  $\mathcal{P}$  is countable and since all peripheral pairs in  $\mathcal{P}$  are nice, it follows from Lemma 7 that  $\mathcal{P}$  has the intersection property. It remains to prove the following claim.

**Claim.** The family  $\mathcal{P}$  locally converges to 0.

We are going now to prove the claim. First note that if  $\langle A, I \rangle \in \mathcal{T}_{i,q}^{k,j}$  and  $k' < k$ , then  $A \cap B_{k'} = \emptyset$ , implying that  $y \notin B_{k'}$  (and hence  $A_y \not\subseteq B_{k'}$ ) for any  $y \in E_{i,q}^{k,j}$ . Therefore

$$(2) \quad A \not\subseteq B_{k'} \text{ for any } \langle A, I \rangle \in \mathcal{S}_{i,q}^{k,j} \text{ and } k' < k.$$

Also note that

$$(3) \quad \text{diam } A < \frac{1}{j'} \text{ for any } \langle A, I \rangle \in \mathcal{S}_{i,q}^{k,j} \text{ and } j' < j.$$

Now let  $\varepsilon > 0$  and  $X \subseteq \mathbb{R}^n$  be a bounded set. Then there are  $j', k' \in \mathbb{N}$  such that  $1/j' < \varepsilon$  and  $X$  is a subset of the ball  $B_{k'-1}$ . Let  $\langle A, I \rangle \in \mathcal{P}$  be such that  $A \cap X \neq \emptyset$  and  $\text{diam } A \geq \varepsilon$ . Since  $A \cap B_{k'-1} \neq \emptyset$  and  $\text{diam } A < 1$ , it follows that  $A \subseteq B_{k'}$ . Therefore, since  $\text{diam } A \geq 1/j'$ , it follows from (2) and (3) that if  $\langle A, I \rangle \in \mathcal{P}_{i,q}^{k,j} \subseteq \mathcal{S}_{i,q}^{k,j}$ , then  $k \leq k'$  and  $j \leq j'$ . Thus

$$\langle A, I \rangle \in \mathcal{P}^{k',j'} = \bigcup_{k \leq k'} \bigcup_{j \leq j'} \bigcup_{i < j} \bigcup_{q \in D_i} \mathcal{P}_{i,q}^{k,j}.$$

Since the set  $\mathcal{P}^{k',j'}$  is finite, the proof of the claim, and hence of the theorem is complete.

### 3. CONNECTIVITY FUNCTIONS ARE EXTENDABLE

In this section we are going to prove Theorem 4.

A *partial order* on a set  $T$  is a binary relation  $\preceq$  on  $T$  that is reflexive, transitive and antisymmetric (that is,  $t \preceq s$  and  $s \preceq t$  imply  $t = s$  for every  $s, t \in T$ ). We say that  $\preceq$  has the *finite predecessor property* if for every  $t \in T$  the set  $\{s \in T : s \preceq t\}$  of  $\preceq$ -predecessors of  $t$  is finite. A partial order  $\preceq^*$  on a set  $T$  is an  $\omega$ -*order* if there is a bijection  $f : \omega \rightarrow T$  (where  $\omega = \{0, 1, \dots\}$ ) such that  $f(t) \preceq^* f(s)$  if and only if



$t \leq s$ . Given partial orders  $\preceq$  and  $\preceq^*$  on  $T$ , we say that  $\preceq^*$  *extends*  $\preceq$  if and only if  $t \preceq s$  implies  $t \preceq^* s$  for every  $s, t \in T$ .

**Lemma 9.** *If  $\preceq$  is a partial order on an infinite countable set  $T$  with the finite predecessor property, then there is an  $\omega$ -order  $\preceq^*$  on  $T$  that extends  $\preceq$ .*

*Proof.* It is enough to show that there is a bijection  $f : \omega \rightarrow T$  such that  $f(i) \preceq f(j)$  implies  $i \leq j$ . Let  $\lesssim$  be any fixed  $\omega$ -order on  $T$ . We shall define the value  $f(i)$  by induction on  $i$ . Let  $i \in \omega$  and assume that  $f(j)$  has been defined for every  $j < i$ . Let

$$T_i = T \setminus \{f(j) : j < i\},$$

and let  $T'_i$  consist of all  $\preceq$ -minimal elements in  $T_i$ . For every  $t \in T_i$  the set of  $\preceq$ -predecessors of  $t$  is finite so there is  $s \in T'_i$  with  $s \preceq t$ . In particular,  $T'_i$  is nonempty. Let  $f(i)$  be the  $\lesssim$ -minimal element of  $T'_i$ .

It is obvious from the construction that  $f$  is injective and that  $f(i) \preceq f(j)$  implies  $i \leq j$  for every  $i, j \in \omega$ . To see that  $f$  is surjective note that for any  $i \in \omega$  and  $t \in T_i$  the set of  $\preceq$ -predecessors of  $t$  is finite, so one of them is in  $T'_i$ . This predecessor of  $t$  will eventually become a value of  $f$  since  $\lesssim$  is an  $\omega$ -order. Then the number of unassigned  $\preceq$ -predecessors of  $t$  becomes smaller and hence eventually  $t$  itself must become a value of  $f$ . ■

A family  $\mathcal{A}$  of subsets of a metric space  $X$  is *locally finite* if for every  $x \in X$  some open neighborhood of  $x$  intersects only finitely many elements of  $\mathcal{A}$ . Let a *Tietze family* for a metric space  $X$  be a countable family

$$\mathcal{F} = \{\langle C_\gamma, I_\gamma \rangle : \gamma \in \Gamma\}$$

such that:

- (1)  $\mathcal{A} = \{C_\gamma : \gamma \in \Gamma\}$  is a locally finite closed cover of  $X$  with any  $C_\gamma$  intersecting only finitely many elements of  $\mathcal{A}$ ;
- (2) for every  $\gamma \in \Gamma$ ,  $I_\gamma$  is either equal to  $\mathbb{R}$  or is a closed interval in  $\mathbb{R}$ ;
- (3) for every  $\Phi \subseteq \Gamma$

$$\text{if } \bigcap_{\gamma \in \Phi} C_\gamma \neq \emptyset \text{ then } \bigcap_{\gamma \in \Phi} I_\gamma \neq \emptyset.$$

The following result will be the key step in our proof of Theorem 4.

**Theorem 10.** *Let  $X$  be a metric space and  $\mathcal{F} = \{\langle C_\gamma, I_\gamma \rangle : \gamma \in \Gamma\}$  be a Tietze family for  $X$ . Then there is a continuous function  $h : X \rightarrow \mathbb{R}$  such that  $h[C_\gamma] \subseteq I_\gamma$  for every  $\gamma \in \Gamma$ .*

*Proof.* Let  $\mathcal{A} = \{C_\gamma : \gamma \in \Gamma\}$ , and

$$T_{\mathcal{A}} = \left\{ \Phi \subseteq \Gamma : \bigcap_{\gamma \in \Phi} C_\gamma \neq \emptyset \right\}.$$

Let  $\preceq_{\mathcal{A}}$  be the partial order of reversed inclusion on  $T_{\mathcal{A}}$ , that is, let  $\Phi_1 \preceq_{\mathcal{A}} \Phi_2$  if and only if  $\Phi_2 \subseteq \Phi_1$ . Since every element of  $\mathcal{A}$  intersects only finitely many elements of  $\mathcal{A}$ , it follows that the elements of  $T_{\mathcal{A}}$  are finite sets and that  $\preceq_{\mathcal{A}}$  has the finite predecessor property.

Let  $\preceq_{\mathcal{A}}^*$  be an  $\omega$ -order extending  $\preceq_{\mathcal{A}}$  and for every  $\Phi \in T_{\mathcal{A}}$  let

$$C_\Phi = \bigcap_{\gamma \in \Phi} C_\gamma \neq \emptyset.$$

Take the enumeration  $\Phi_1, \Phi_2, \dots$  of  $T_{\mathcal{A}}$  with

$$\Phi_1 \preceq_{\mathcal{A}}^* \Phi_2 \preceq_{\mathcal{A}}^* \dots$$

and for every  $i = 1, 2, \dots$  let

$$C_i = \bigcup_{j \leq i} C_{\Phi_j}, \quad C'_i = C_i \cap C_{\Phi_{i+1}},$$

and

$$I_i = \bigcap_{\gamma \in \Phi_i} I_\gamma \neq \emptyset.$$

We are going to define a sequence  $h_1, h_2, \dots$  of continuous functions  $h_i : C_i \rightarrow \mathbb{R}$  such that for every  $i = 1, 2, \dots$  the function  $h_{i+1}$  is an extension of  $h_i$  and

$$(4) \quad h_i[C_\gamma \cap C_i] \subseteq I_\gamma.$$

for every  $\gamma \in \Gamma$ . Having defined such a sequence of functions our proof will be complete since it is easy to see that the function

$$h = \bigcup_{i=1}^{\infty} h_i$$

satisfies the required conditions. Indeed, (4) implies that  $h(C_\gamma) \subseteq I_\gamma$  for every  $\gamma \in \Gamma$ , and since  $\mathcal{F}$  is a locally finite closed cover of  $X$  it follows that  $h$  is a continuous function on  $X$ .

Let  $h_1 : C_1 \rightarrow I_1$  be any continuous function. Suppose that  $h_i$  has been defined in such a way that (4) is satisfied. Let  $h'_i$  be the restriction of  $h_i$  to  $C'_i$ . It follows from (4) that  $h'_i : C'_i \rightarrow I_{i+1}$ . Since  $C'_i$  is a closed subset of  $C_{\Phi_{i+1}}$ , it follows from Tietze Extension Theorem that  $h'_i$  can be extended to a continuous function  $h''_i : C_{\Phi_{i+1}} \rightarrow I_{i+1}$ . Let  $h_{i+1} = h_i \cup h''_i$ . Since  $C_i$  and  $C_{\Phi_{i+1}}$  are closed subsets of  $C_{i+1}$ , the function  $h_{i+1} : C_{i+1} \rightarrow \mathbb{R}$  is continuous. It remains to show that (4) is satisfied for  $h_{i+1}$ .

Suppose that  $\gamma \in \Gamma$  and  $x \in C_\gamma \cap C_{i+1}$ . If  $x \in C_i$ , then  $h_{i+1}(x) = h_i(x) \in I_\gamma$  by the inductive hypothesis. Otherwise  $x \in C_{\Phi_{i+1}}$  and so  $h_{i+1}(x) = h''_i(x) \in I_{i+1}$ . It suffices to show that  $\gamma \in \Phi_{i+1}$ .

Indeed, since  $C_\gamma \cap C_{\Phi_{i+1}} \neq \emptyset$ , it follows that  $\Phi_{i+1} \cup \{\gamma\} \in T_{\mathcal{A}}$ . Since

$$\Phi_{i+1} \cup \{\gamma\} \preceq_{\mathcal{A}} \Phi_{i+1}$$

and since  $\preceq_{\mathcal{A}}^*$  extends  $\preceq_{\mathcal{A}}$ , it follows that there is  $j \leq i+1$  with

$$\Phi_{i+1} \cup \{\gamma\} = \Phi_j.$$

Since  $x \in C_\gamma \cap C_{\Phi_{i+1}} = C_{\Phi_j}$  and  $x \notin C_i$ , it follows that  $j = i+1$ . Thus  $\gamma \in \Phi_{i+1}$  and so the proof is complete. ■

**Lemma 11.** *Let  $n \geq 1$ ,  $f : \mathbb{R}^n \rightarrow \mathbb{R}$ ,  $\mathcal{P}$  be a peripheral family for  $f$ , and  $\mathcal{Q}$  be the cylindrical extension of  $\mathcal{P}$ . If  $\{\langle A_j, I_j \rangle : 1 \leq j \leq k\} \subseteq \mathcal{Q}$  and  $\text{bd } A_i \cap \text{bd } A_j \neq \emptyset$  for every  $i, j \leq k$ , then  $\bigcap_{j=1}^k I_j \neq \emptyset$ .*

*Proof.* First we shall prove the lemma for  $k = 2$ . Suppose, by way of contradiction, that there exist  $\langle A_1, I_1 \rangle, \langle A_2, I_2 \rangle \in \mathcal{Q}$  with  $\text{bd } A_1 \cap \text{bd } A_2 \neq \emptyset$  and  $I_1 \cap I_2 = \emptyset$ . Let

$\langle A'_1, I_1 \rangle, \langle A'_2, I_2 \rangle \in \mathcal{P}$  be such that

$$A_1 = A'_1 \times (-a_1, a_1) \quad \text{and} \quad A_2 = A'_2 \times (-a_2, a_2),$$

where  $a_1 = \text{diam } A'_1$  and  $a_2 = \text{diam } A'_2$ .

Since  $f[\text{bd } A'_1] \subseteq I_1$  and  $f[\text{bd } A'_2] \subseteq I_2$ , we have

$$\text{bd } A'_1 \cap \text{bd } A'_2 = \emptyset.$$

It follows that  $A'_1 \cap A'_2 \neq \emptyset$  since otherwise we would have  $\text{cl } A'_1 \cap \text{cl } A'_2 = \emptyset$  in contradiction with  $\text{bd } A_1 \cap \text{bd } A_2 \neq \emptyset$ . Since  $\mathcal{P}$  has the intersection property, one of  $A'_1, A'_2$  is a subset of the other.

Assume that  $A'_1 \subseteq A'_2$ . Since  $\text{cl } A'_1 \subseteq \text{cl } A'_2$  and  $\text{bd } A'_1 \cap \text{bd } A'_2 = \emptyset$ , it follows that  $\text{cl } A'_1 \subseteq A'_2$ . Since the set  $\text{cl } A'_1$  is compact, there are  $x_1, x_2 \in \text{cl } A'_1$  with  $\text{diam } A'_1$  equal to the distance from  $x_1$  to  $x_2$ . Since  $x_1, x_2 \in A'_2$  and  $A'_2$  is open, it follows that

$$a_1 = \text{diam } A'_1 < \text{diam } A'_2 = a_2,$$

and so

$$\text{bd } A_1 = \text{bd } A'_1 \times [-a_1, a_1] \cup A'_1 \times \{-a_1, a_1\} \subseteq A'_2 \times (-a_2, a_2) = A_2,$$

contradicting our assumption that  $\text{bd } A_1 \cap \text{bd } A_2 \neq \emptyset$ .

Now for  $k > 2$  the assertion follows easily from the fact that if  $\{I_j : 1 \leq j \leq k\}$  is a family of intervals in  $\mathbb{R}$  and  $I_j \cap I_m \neq \emptyset$  for every  $j, m \leq k$ , then  $\bigcap_{j=1}^k I_j \neq \emptyset$ . ■

Now we are ready to prove Theorem 4.

**Proof of Theorem 4.** Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  be a function,  $\mathcal{P}$  be a peripheral family for  $f$ ,  $\mathcal{Q}$  be the cylindrical extension of  $\mathcal{P}$ , and

$$X = \mathbb{R}^{n+1} \setminus (\mathbb{R}^n \times \{0\}).$$

We need to construct a continuous function  $h : X \rightarrow \mathbb{R}$  such that  $h[\text{bd } A] \subseteq I$  for every  $\langle A, I \rangle \in \mathcal{Q}$ . The existence of the function  $h$  will follow from Theorem 10 after we have constructed a Tietze family

$$\mathcal{F} = \{\langle C_\gamma, I_\gamma \rangle : \gamma \in \Gamma\}$$

for  $X$  such that for every  $\langle A, I \rangle \in \mathcal{Q}$  there is  $\Phi \subseteq \Gamma$  with

$$(5) \quad X \cap \text{bd } A \subseteq \bigcup_{\gamma \in \Phi} C_\gamma \text{ and } I_\gamma = I \text{ for every } \gamma \in \Phi.$$

Let  $\mathcal{K}$  consist of all closed intervals of the following forms:  $[i, i+1]$ ,  $[-i-1, -i]$ ,  $[1/(i+1), 1/i]$ , and  $[-1/i, -1/(i+1)]$  for every  $i = 1, 2, \dots$ . Set

$$\mathcal{A}_1 = \{(\text{cl } B_k^n \setminus B_{k-1}^n) \times [a, b] \subseteq \mathbb{R}^{n+1} : [a, b] \in \mathcal{K} \text{ and } k = 1, 2, \dots\},$$

where  $B_k^n \subseteq \mathbb{R}^n$  is the open ball with center  $\langle 0, 0, \dots, 0 \rangle$  and radius  $k$ . Note that  $\mathcal{A}_1$  is a locally finite closed cover of  $X$ .

Define

$$\mathcal{F}_1 = \{\langle C, \mathbb{R} \rangle : C \in \mathcal{A}_1\}$$

and

$$\mathcal{F}_2 = \{\langle \text{bd } A \cap L, I \rangle : \langle A, I \rangle \in \mathcal{Q} \text{ and } L \in \mathcal{L}\},$$

where

$$\mathcal{L} = \{\mathbb{R}^n \times [a, b] : [a, b] \in \mathcal{K}\}.$$

Let  $\Gamma_1$  and  $\Gamma_2$  be disjoint sets of indices such that

$$\mathcal{F}_1 = \{\langle C_\gamma, I_\gamma \rangle : \gamma \in \Gamma_1\} \text{ and } \mathcal{F}_2 = \{\langle C_\gamma, I_\gamma \rangle : \gamma \in \Gamma_2\}.$$

Obviously, for every  $\langle A, I \rangle \in \mathcal{Q}$  there is  $\Phi \subseteq \Gamma_2$  such that (5) holds. Thus to complete the proof it remains to prove the following claim.

**Claim.** The family  $\mathcal{F}_1 \cup \mathcal{F}_2$  is a Tietze family for  $X$ .

Let

$$\mathcal{A}_2 = \{C_\gamma : \gamma \in \Gamma_2\}.$$

Obviously,  $\mathcal{A}_1 \cup \mathcal{A}_2$  is a closed cover of  $X$ . Since the family  $\mathcal{P}$  is locally convergent to 0, every bounded subset of an element of  $\mathcal{L}$  intersects only finitely many elements of  $\mathcal{A}_2$ . Since each point  $x \in X$  has an open neighborhood contained in at most two elements of  $\mathcal{L}$ , it follows that  $\mathcal{A}_2$  is locally finite, and hence  $\mathcal{A}_1 \cup \mathcal{A}_2$  is locally finite.

Since every element  $C$  of  $\mathcal{A}_1 \cup \mathcal{A}_2$  is a bounded subset of an element of  $\mathcal{L}$ , it follows that  $C$  intersects only finitely many elements in  $\mathcal{A}_2$ , and it is clear that  $C$  intersects only finitely many elements of  $\mathcal{A}_1$ . Thus every element of  $\mathcal{A}_1 \cup \mathcal{A}_2$  intersects only finitely many elements in  $\mathcal{A}_1 \cup \mathcal{A}_2$ .

Now suppose that

$$\bigcap_{\gamma \in \Phi_1 \cup \Phi_2} C_\gamma \neq \emptyset$$

for some  $\Phi_1 \subseteq \Gamma_1$  and  $\Phi_2 \subseteq \Gamma_2$ . Since  $\bigcap_{\gamma \in \Phi_2} C_\gamma \neq \emptyset$ , it follows from Lemma 11 that  $\bigcap_{\gamma \in \Phi_2} I_\gamma \neq \emptyset$ . Since  $I_\gamma = \mathbb{R}$  for  $\gamma \in \Phi_2$ , we have

$$\bigcap_{\gamma \in \Phi_1 \cup \Phi_2} I_\gamma = \bigcap_{\gamma \in \Phi_2} I_\gamma \neq \emptyset.$$

Thus  $\mathcal{F}_1 \cup \mathcal{F}_2$  is a Tietze family for  $X$ , and so the proof of the claim and hence of the theorem is complete.

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(K. Ciesielski and J. Wojciechowski) DEPARTMENT OF MATHEMATICS, WEST VIRGINIA UNIVERSITY, PO BOX 6310, MORGANTOWN, WV 26506-6310, USA

*E-mail address*, K. Ciesielski: `kcies@wvnmvs.wvnet.edu`

(T. Natkaniec) DEPARTMENT OF MATHEMATICS, GDAŃSK UNIVERSITY, WITA STWOSZA 57, 80-952 GDAŃSK, POLAND

*E-mail address*, T. Natkaniec: `mattn@ksinet.univ.gda.pl`

*E-mail address*, J. Wojciechowski: `jerzy@math.wvu.edu`