Math 793C

Topology for Analysis

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Lemma (continuous pseudometric).

Let X be a set and $(D_n : n \in \mathbb{N})$ be a sequence of symmetric reflexive relations on X such that $D_1 = X \times X$ and

 $D_{n+1} \circ D_{n+1} \circ D_{n+1} \subseteq D_n$

for each $n \in \mathbb{N}$. Then there exists a pseudometric d on X such that

 $D_n \subseteq \{ \langle x, y \rangle \in X \times X : d(x, y) < 2^{-n} \} \subseteq D_{n-1}$

for each $n \geq 2$.

Proof. Note that $D_1 \supseteq D_2 \supseteq \ldots$.

- Define $f : X \times X \to [0, \infty)$ by $f(x, y) = 2^{-n}$, where n is the smallest element of \mathbb{N} with $\langle x, y \rangle \notin D_n$ if such n exists and f(x, y) = 0 otherwise.
- For each $x, y \in X$, let S(x, y) be the set of all finite sequences in X with at least two terms such that the first term is equal to x and the last is equal to y.
- Define $d: X \times X \to [0, \infty)$ by

 $d(x,y) = \inf \left\{ \sum_{i=1}^{n} f(x_i, x_{i+1}) : (x_1, \dots, x_{n+1}) \in S(x,y) \right\}.$

• We verify that d is a pseudometric on X.

We check that the following conditions hold:

-d(x,x) = 0 for each $x \in X$.

Let $x \in X$. Since f(x, x) = 0, it follows that d(x, x) = 0.

$$- d(x,y) = d(y,x)$$
 for each $x, y \in X$.

Let $x, y \in X$. Let

$$P := \left\{ \sum_{i=1}^{n} f(x_i, x_{i+1}) : (x_1, \dots, x_{n+1}) \in S(x, y) \right\}$$

and

 $Q := \{\sum_{i=1}^{n} f(x_i, x_{i+1}) : (x_1, \dots, x_{n+1}) \in S(y, x)\}.$

- * Since D_i is symmetric for each $i \in \mathbb{N}$, it follows that f(w, z) = f(z, w) for every $w, z \in X$. Thus P = Q.
- * Since $d(x, y) = \inf P$ and $d(y, x) = \inf Q$, the conclusion holds.

 $- d(x,z) \le d(x,y) + d(y,z)$ for any $x, y, z \in X$.

- * Let $x, y, z \in X$. Let $P := \{\sum_{i=1}^{n} f(x_i, x_{i+1}) : (x_1, \dots, x_{n+1}) \in S(x, y)\},$ $Q := \{\sum_{i=1}^{n} f(x_i, x_{i+1}) : (x_1, \dots, x_{n+1}) \in S(y, z)\},$ $R := \{\sum_{i=1}^{n} f(x_i, x_{i+1}) : (x_1, \dots, x_{n+1}) \in S(x, z)\}.$
- * Then $p + q \in R$ for any $p \in P$ and $q \in Q$.
- * Thus $\inf R \leq p+q$ for any $p \in P$ and $q \in Q$.
- * Thus $\inf R \leq \inf P + \inf Q$ and the conclusion holds.
- Let $n \ge 2$ and $E_n = \{ \langle x, y \rangle \in X \times X : d(x, y) < 2^{-n} \}.$
- We show that $D_n \subseteq E_n$.
 - Let $\langle x, y \rangle \in D_n$.
 - If $\langle x, y \rangle \in D_m$ for each $m \in \mathbb{N}$, then f(x, y) = 0, which implies that d(x, y) = 0.
 - Otherwise, there is the smallest $m \in \mathbb{N}$ such that $\langle x, y \rangle \notin D_m$.
 - Then m > n so $f(x, y) = 2^{-m} < 2^{-n}$.
 - If follows that $d(x,y) \leq f(x,y) < 2^{-n}$ so $\langle x,y \rangle \in E_n$.
- We show that $E_n \subseteq D_{n-1}$.
 - Let $\langle x, y \rangle \in E_n$. Then $d(x, y) < 2^{-n}$.
 - There is a sequence $(x_1, x_2, \ldots, x_{m+1})$ with $x_1 = x$ and $x_{m+1} = y$ such that

 $\sum_{i=1}^{m} f(x_i, x_{i+1}) < 2^{-n}.$

- We use induction on $m \in \mathbb{N}$ to show that $\langle x, y \rangle \in D_{n-1}$.
 - * First, we prove that:
 - (!) if $w, z \in X$ and $f(w, z) < 2^{-n}$, then $\langle w, z \rangle \in D_n$.
 - Since $f(w, z) < 2^{-n}$, it follows that either $\langle w, z \rangle \in D_k$ for all $k \in \mathbb{N}$ or $f(w, z) = 2^{-k}$ for some k > n.
 - In the former case, it is clear that $\langle w, z \rangle \in D_n$.

- · In the later case, k is the smallest element of N with $\langle x, y \rangle \notin$ D_k .
- Since k > n, it follows that $\langle x, y \rangle \in D_n$.
- * If m = 1, then $f(x, y) < 2^{-n}$ so (!) implies that $\langle x, y \rangle \in D_{n-1}$.
- * Assume that $m \geq 2$ and, as inductive hypothesis, that:
 - For any $k \in \mathbb{N}$ and $\ell \in \{1, 2, ..., m 1\}$, if $(y_1, y_2, ..., y_{\ell+1})$ is a sequence of elements of X such that $\sum_{i=1}^{\ell} f(y_i, y_{i+1}) < 2^{-k},$
 - then $\langle y_1, y_{\ell+1} \rangle \in D_{k-1}$.
- * We show that $\langle x, y \rangle \in D_{n-1}$.

• We show that there exists $j \in \{1, 2, ..., m\}$ such that $\sum_{i=1}^{j} f(x_i, x_{i+1}) < 2^{-n-1},$ whenever $j \geq 2$, and

$$\sum_{i=j+1}^{m} f(x_i, x_{i+1}) < 2^{-n-1}$$

when $i \le m-1$.

- If $\sum_{i=1}^{m} f(x_i, x_{i+1}) < 2^{-n-1}$, then taking j := m works.
- · Otherwise, let $j \in \{1, 2, \dots, m-1\}$ be as small as possible with $\sum_{i=1}^{j+1} f(x_i, x_{i+1}) \ge 2^{-n-1}$.
- If $j \ge 2$, then $\sum_{i=1}^{j} f(x_i, x_{i+1}) < 2^{-n-1}$ as required. Since $\sum_{i=1}^{m} f(x_i, x_{i+1}) < 2^{-n}$, it follows that $\sum_{i=i+1}^{m} f(x_i, x_{i+1}) < 2^{-n-1}$ as required.
- · The inductive hypothesis implies that $\langle x_1, x_j \rangle \in D_n$ and $\langle x_{j+1}, x_{m+1} \rangle \in D_n.$
- We have $f(x_j, x_{j+1}) < 2^{-n}$, so (!) implies that $\langle x_j, x_{j+1} \rangle \in$ D_n .
- · Since $D_n \circ D_n \circ D_n \subseteq D_{n-1}$, it follows that $\langle x, y \rangle \in D_{n-1}$.

Exercise (continuous pseudometric).

Let \mathscr{D} be a uniformity on a set X and $(D_n : n \in \mathbb{N})$ be a sequence of symmetric members of \mathscr{D} such that $D_1 = X \times X$ and

 $D_{n+1} \circ D_{n+1} \circ D_{n+1} \subseteq D_n$

for each $n \in \mathbb{N}$. Let d be a pseudometric on X such that

$$D_n \subseteq \{ \langle x, y \rangle \in X \times X : d(x, y) < 2^{-n} \} \subseteq D_{n-1}$$

for each $n \geq 2$.

- Prove that d is uniformly continuous relative to \mathscr{D} .
- Let $\mathscr{B} = \{D_n : n \in \mathbb{N}\}$. Prove that \mathscr{B} is a uniformity base on X.
- Let \mathscr{E} be the uniformity on X that is induced by \mathscr{B} . Prove that \mathscr{E} is the uniformity induced by the pseudometric d.

Solution.

- d is uniformly continuous relative to \mathscr{D} .
 - Let $\varepsilon > 0$ and $A_{\varepsilon} = \{ \langle x, y \rangle \in X \times X : d(x, y) < \varepsilon \}.$
 - To show that d is uniformly continuous relative to \mathscr{D} , it suffices to show that $A_{\varepsilon} \in \mathscr{D}$.
 - There is $n \in \mathbb{N}$ with $2^{-n} \leq \varepsilon$. Then $D_n \subseteq A_{\varepsilon}$.
 - Since $D_n \in \mathscr{D}$, it follows that $A_{\varepsilon} \in \mathscr{D}$.
- \mathscr{B} is a uniformity base on X.

We need to verify the following conditions:

– Each member of \mathscr{B} is a reflexive relation on X.

Holds by assumption.

- For each $B \in \mathscr{B}$, there exist $D \in \mathscr{B}$ with $D \subseteq B^{-1}$.

Holds since the members of \mathscr{B} are symmetric.

– For each $B \in \mathscr{B}$, there exists $D \in \mathscr{B}$ with $D \circ D \subseteq B$.

If $B = D_n$, then $D := D_{n+1}$ satisfies the requirements.

- For each $B, D \in \mathscr{B}$, there exists $E \in \mathscr{B}$ with $E \subseteq B \cap D$.

If $B = D_n$ and $D = D_m$, then let $E := D_k$, where $k = \max\{n, m\}$.

• \mathscr{E} is the uniformity induced by the pseudometric d.

Let \mathscr{E}' be the uniformity induced by d.

– Let $E \in \mathscr{E}'$. We show that $E \in \mathscr{E}$.

- * There is $\varepsilon > 0$ such that $A_{\varepsilon} \subseteq E$, where $A_{\varepsilon} = \{ \langle x, y \rangle \in X \times X : d(x, y) < \varepsilon \}.$
- * If $n \in \mathbb{N}$ satisfies $2^{-n} \leq \varepsilon$, then $D_n \subseteq A_{\varepsilon}$ so $D_n \subseteq E$ and hence $E \in \mathscr{E}$.

- Let $E \in \mathscr{E}$. We show that $E \in \mathscr{E}'$.

- * There is $n \in \mathbb{N}$ with $D_n \subseteq E$.
- * If $x, y \in X$ and $d(x, y) < 2^{-n-1}$, then $\langle x, y \rangle \in D_n$ so $\langle x, y \rangle \in E$.
- * It follows that $E \in \mathscr{E}'$.

Pseudometrizable uniform space.

A uniform space (X, \mathscr{D}) is *pseudometrizable* iff there exists a pseudometric d on X such that \mathscr{D} is the uniformity induced by d, (see uniformity from pseudometric).

- (X, \mathscr{D}) is *metrizable* iff there exists a metric d on X such that \mathscr{D} is the uniformity induced by d.
- Note that (X, \mathscr{D}) is metrizable if and only if it is pseudometrizable and \mathscr{D} is separating.
 - If (X, \mathscr{D}) is metrizable, then it is pseudometrizable and \mathscr{D} is separating.
 - Assume that (X, \mathscr{D}) is pseudometrizable and \mathscr{D} is separating.
 - * Let d be a pseudometric on X that induces \mathscr{D} .
 - * Since \mathscr{D} is separating, d must be a metric.
 - * Thus (X, \mathscr{D}) is metrizable.

Theorem (pseudometrizable uniform space).

A uniform space is pseudometrizable if and only if it has a countable base.

Proof. Assume that (X, \mathcal{D}) is a pseudo-metrizable uniform space.

- Let d be a pseudometric on X that induces \mathscr{D} .
- Define $\mathscr{B} = \{B_n : n \in \mathbb{N}\},$ where

$$B_n = \{ \langle x, y \rangle \in X \times X : d(x, y) < 1/n \}$$

for each $n \in \mathbb{N}$.

• We show that \mathscr{B} is a base for \mathscr{D} .

- Since $B_n \in \mathscr{D}$ for each $n \in \mathbb{N}$, we have $\mathscr{B} \subseteq \mathscr{D}$.

- It remains to show that for each $D \in \mathscr{D}$ there is $n \in \mathbb{N}$ with $B_n \subseteq D$.
- Let $D \in \mathscr{D}$. There is $\varepsilon > 0$ such that $\langle x, y \rangle \in D$ for each $x, y \in X$ with $d(x, y) < \varepsilon$.
- Let $n \in \mathbb{N}$ be such that $1/n \leq \varepsilon$. Then $B_n \subseteq D$.

Now assume that \mathscr{D} has a countable base $\mathscr{B} = \{B_1, B_2, \dots\}$.

• Let D_1, D_2, \ldots be a sequence of members of \mathscr{D} such that

$$-D_1 = X \times X.$$

 $-D_n$ is symmetric for each $n \in \mathbb{N}$.

$$-D_{n+1} \circ D_{n+1} \circ D_{n+1} \subseteq D_n$$
 and $D_{n+1} \subseteq B_n$ for every $n \in \mathbb{N}$.

• Let d be a pseudometric on X such that

$$D_n \subseteq \left\{ \langle x, y \rangle \in X \times X : d(x, y) < 2^{-n} \right\} \subseteq D_{n-1}$$

for every $n \ge 2$. Such a pseudometric exists by Lemma (continuous pseudometric).

- Let \mathscr{E} be the uniformity on X that is induced by d. We show that $\mathscr{E} = \mathscr{D}$.
 - We show that $\mathscr{E} \subseteq \mathscr{D}$.
 - * Let $E \in \mathscr{E}$. There is $\varepsilon > 0$ such that $\langle x, y \rangle \in E$ for every $x, y \in X$ with $d(x, y) < \varepsilon$.
 - * Let $n \in \mathbb{N}$ be such that $2^{-n} \leq \varepsilon$. Then $D_n \subseteq E$.
 - * Since $D_n \in \mathscr{D}$, it follows that $E \in \mathscr{D}$.
 - We show that $\mathscr{D} \subseteq \mathscr{E}$.
 - * Let $D \in \mathscr{D}$. There is $n \in \mathbb{N}$ with $B_n \subseteq D$.
 - * Then $D_{n+1} \subseteq B_n$ so $D_{n+1} \subseteq D$. Let $\varepsilon = 2^{-n-2} > 0$.
 - * For any $x, y \in X$ with $d(x, y) < \varepsilon$, we have $\langle x, y \rangle \in D_{n+1} \subseteq D$.
 - * Thus $D \in \mathscr{E}$.

Example (nonmetrizable uniformity metrizable topology).

Let $X = \Omega_0$ (the set of all countable ordinals) and for each $\alpha \in X$ let

 $B_{\alpha} = \{ \langle x, y \rangle \in X \times X : x = y \text{ or both } x, y > \alpha \}.$

- Then $\mathscr{B} = \{B_{\alpha} : \alpha \in X\}$ is a uniformity base on X.
- Let \mathscr{D} be the uniformity on X that is induced by \mathscr{B} . Then \mathscr{D} is not metrizable, in particular \mathscr{D} is not the discrete uniformity.
- The topology on X induced by \mathscr{D} is the discrete topology, hence the topology is metrizable.

Proof. We show that:

• $\mathscr{B} = \{B_{\alpha} : \alpha \in X\}$ is a uniformity base on X.

We show the following conditions:

– Every member of \mathscr{D} is a reflexive relation on X.

This is clear from the definition of B_{α} .

- If $B \in \mathscr{B}$, then there exists $D \in \mathscr{B}$ wit $D^{-1} \subseteq B$.

We have $B^{-1} = B$ for each $B \in \mathscr{B}$.

- If $B \in \mathscr{B}$, then there is $D \in \mathscr{B}$ with $D \circ D \subseteq B$.
 - * Let $\alpha \in X$ be such that $B = B_{\alpha}$.
 - * Since $B_{\alpha} \circ B_{\alpha} = B_{\alpha}$, taking D := B works.
- If $B, D \in \mathscr{B}$, then there is $E \in \mathscr{B}$ with $E \subseteq B \cap D$.
 - * Let $\alpha, \beta \in X$ be such that $B = B_{\alpha}$ and $D = B_{\beta}$.
 - * Let $\gamma = \max{\{\alpha, \beta\}}$. Then $E := B_{\gamma}$ works.
- \mathscr{D} is not metrizable.

We show that \mathscr{D} has no countable base. Then Theorem (pseudometrizable uniform space) implies that \mathscr{D} is not metrizable.

- Suppose, for a contradiction, that \mathscr{A} is a countable base for \mathscr{D} .
- For each $A \in \mathscr{A}$, there is $\alpha_A \in X$ with $B_{\alpha_A} \subseteq A$.
- Since the set $\{\alpha_A : A \in \mathscr{A}\}$ is a countable subset of X, there is $\beta \in X$ such that $\alpha_A < \beta$ for every $A \in \mathscr{A}$.
- $\text{ Then } \langle \beta, \beta+1 \rangle \in B_{\alpha_A} \subseteq A \text{ for any } A \in \mathscr{A}, \text{ but } \langle \beta, \beta+1 \rangle \notin B_{\beta}.$
- Thus no member of \mathscr{A} is a subset of B_{β} (which is a member of \mathscr{D}).
- Hence \mathscr{A} is not a base for \mathscr{D} , and we have a contradiction.
- The topology induced by \mathscr{D} is the discrete topology.
 - Let $\alpha \in X$. There is $\beta \in X$ with $\alpha < \beta$. Let $D := B_{\beta} \in \mathscr{D}$.
 - Then $D[\alpha] = \{\alpha\}$ so $\{\alpha\}$ is open in the topology τ on X that is induced by \mathscr{D} .
 - Thus τ is the discrete topology.

Uniformity from pseudometric family.

Let \mathscr{P} be a family of pseudometrics on a set X. For each $d \in \mathscr{P}$, let \mathscr{D}_d be the uniformity on X that is induced by d and let $f_d : X \to X$ be the identity function. Let \mathscr{D} be the weak uniformity on X induced by the family $\{(\mathscr{D}_d, f_d) : d \in \mathscr{P}\}$. We say that \mathscr{D} it the uniformity on X that is *induced* by \mathscr{P} .

(1) Theorem (union uniformity subbase) implies that $\mathscr{S} = \bigcup \{ \mathscr{D}_d : d \in \mathscr{P} \}$ is a uniformity subbase on X. Note that \mathscr{S} induces \mathscr{D} .

- For each $d \in \mathscr{P}$ and $D \in \mathscr{D}_d$, we have $\hat{f_d}^{-1}[D] = D$.
- Then $\mathscr{D}_d = \mathscr{D}'_d$, where $\mathscr{D}'_d = \left\{ \widehat{f_d}^{-1}[D] : D \in \mathscr{D}_d \right\}$ for each $d \in \mathscr{P}$.
- The definition of \mathscr{D} as the weak uniformity means that \mathscr{D} is induced by $\bigcup \{\mathscr{D}'_d : d \in \mathscr{P}\}$ which is equal to \mathscr{S} .

(2) \mathscr{D} is the weakest uniformity on X making all the pseudometrics in \mathscr{P} uniformly continuous.

(3) Let $\mathscr{B} = \{B_{\mathscr{Q},\varepsilon} : \mathscr{Q} \subseteq_f \mathscr{P}, \varepsilon > 0\},$ where

 $B_{\mathscr{Q},\varepsilon} = \{ \langle x, y \rangle \in X \times X : (\forall d \in \mathscr{Q}) \ d(x,y) < \varepsilon \}$

and $\mathscr{Q} \subseteq_f \mathscr{P}$ means that \mathscr{Q} is a finite subset of \mathscr{P} . Then \mathscr{B} is base for \mathscr{D} .

Proof.

- We show that $\mathscr{B} \subseteq \mathscr{D}$.
 - Let $B \in \mathscr{B}$. Then there are $\mathscr{Q} \subseteq_f \mathscr{P}$ and $\varepsilon > 0$ such that $B = B_{\mathscr{Q},\varepsilon}$.
 - If $d \in \mathscr{Q}$, then $E_{d,\varepsilon} \in \mathscr{D}_d \subseteq \mathscr{D}$, where

 $E_{d,\varepsilon} = \{ \langle x, y \rangle \in X \times X : d(x,y) < \varepsilon \}.$

- It follows that

 $B = \bigcap \{ E_{d,\varepsilon} : d \in \mathscr{Q} \} \in \mathscr{D},$

since ${\mathcal D}$ is closed under finite intersections.

• We show that for every $D \in \mathscr{D}$, there is $B \in \mathscr{B}$ with $B \subseteq D$.

- Let $D \in \mathscr{D}$.

- If $D = X \times X$, then $B_{\emptyset,1} = X \times X \subseteq D$.
- Otherwise, there is finite and nonempty $\mathscr{Q} \subseteq \mathscr{P}$ and $D_d \in \mathscr{D}_d$ for each $d \in \mathscr{Q}$ so that $\bigcap \{D_d : d \in \mathscr{Q}\} \subseteq D$.
- For each $d \in \mathcal{Q}$, let $\varepsilon_d > 0$ be such that $\langle x, y \rangle \in D_d$ whenever $d(x, y) < \varepsilon_d$.
- Let $\varepsilon = \min \{ \varepsilon_d : d \in \mathcal{Q} \}.$
- Then $B_{\mathscr{Q},\varepsilon} \subseteq D_d$ for each $d \in \mathscr{Q}$ so $B_{\mathscr{Q},\varepsilon} \subseteq D$.

Theorem (uniformity from pseudometric family).

Let \mathscr{D} be a uniformity on a set X and \mathscr{P} be the family of all pseudometrics on X that are uniformly continuous relative to \mathscr{D} . Then \mathscr{D} is induced by \mathscr{P} .

Proof. Let \mathscr{E} be the uniformity on X that is induced by \mathscr{P} .

- Since \mathscr{E} is the weakest uniformity on X making all the pseudometrics in \mathscr{P} uniformly continuous, it follows that $\mathscr{E} \subseteq \mathscr{D}$.
- We show that $\mathscr{D} \subseteq \mathscr{E}$.
 - Let $D \in \mathscr{D}$.
 - Let $D_1 = X \times X$, let $D_2 \in \mathscr{D}$ be symmetric with $D_2 \subseteq D$ and, for each $n \geq 2$, let $D_{n+1} \in \mathscr{D}$ be symmetric and such that $D_{n+1} \circ D_{n+1} \circ D_{n+1} \subseteq D_n$.
 - Let $\mathscr{B}_D = \{D_1, D_2, \dots\}.$
 - Lemma (continuous pseudometric) and the exercise following it show that there exists a pseudometric $d \in \mathscr{P}$ such that \mathscr{B}_D is a base for the uniformity \mathscr{E}_d on X that is induced by d.
 - Then $D \in \mathscr{B}_D \subseteq \mathscr{E}_d \subseteq \mathscr{E}$.

Exercise (uniformly continuous metric).

Consider $X = \mathbb{R}$ as a uniform space (with the standard uniformity on \mathbb{R}), let A be an uncountable set and $Y = X^A$ (that is, Y is the product of uncountably many copies of X). Consider Y as a uniform space with the product uniformity \mathscr{D} . Prove that no metric on Y is uniformly continuous relative to \mathscr{D} .

Solution. Let d be a pseudometric on Y that is uniformly continuous relative to \mathscr{D} . We will show that d is not a metric.

- For each $n \in \mathbb{N}$, let $B_n = \{ \langle f, g \rangle \in Y \times Y : d(f,g) < 1/n \}.$
- Since d is uniformly continuous relative to \mathscr{D} , it follows that $B_n \in \mathscr{D}$, for each $n \in \mathbb{N}$ (see uniformly continuous pseudometric).
- For each $\alpha \in A$ and $\varepsilon > 0$, let

$$S_{\alpha,\varepsilon} := \{ \langle f, g \rangle \in Y \times Y : |f(\alpha) - g(\alpha)| < \varepsilon \}.$$

- Then $\mathscr{S} := \{S_{\alpha,\varepsilon} : \alpha \in A, \varepsilon > 0\}$ is a subbase for \mathscr{D} .
- Thus for each $n \in \mathbb{N}$, there is finite $A_n \subseteq A$ such that

 $\{\langle f,g\rangle \in Y \times Y : \forall \alpha \in A_n \ f(\alpha) = g(\alpha)\} \subseteq B_n.$

- Since A is uncountable, there is $\beta \in A \setminus \bigcup \{A_n : n \in \mathbb{N}\}.$
- Let $f(\alpha) = 0$ for each $\alpha \in A$, let $g(\alpha) = 0$ for every $\alpha \in A \setminus \{\beta\}$ and $g(\beta) = 1$.
- Then $\langle f,g\rangle \in \bigcap \{B_n : n \in \mathbb{N}\}$, which implies that d(f,g) = 0.
- Since $f \neq g$, it follows that d is not a metric.