

**DEFINITION #1.** Let  $B = \{ \vec{x}_1, \vec{x}_2, \dots, \vec{x}_k \} \subseteq W \subseteq V$  where  $W$  is a subspace of the vector space  $V$ . Then  $B$  is a **basis** of  $W$  if

- i)  $B$  is linearly independent
- ii)  $B$  spans  $W$  (i.e.  $\text{Span } B = W$ )

To prove that a set  $B$  is a basis (or basis set or base) for  $W$  we must show both i) and ii). We already have a method to show that a set is **linearly independent**. To use DUD consider the vector equation

$$c_1 \vec{x}_1 + c_2 \vec{x}_2 + \dots + c_k \vec{x}_k = \vec{0} \quad (1)$$

in the unknown variables  $c_1, c_2, \dots, c_k$  and show that the trivial solution  $c_1 = c_2 = \dots = c_k = 0$  is the only solution of (1). To show that  $B$  is a **spanning set** using DUD we must show that an arbitrary vector  $\vec{b} \in W$  can be written as a linear combination of the vectors in  $B$ ; that is we must show that the vector equation

$$c_1 \vec{x}_1 + c_2 \vec{x}_2 + \dots + c_k \vec{x}_k = \vec{b} \quad (2)$$

in the unknown variables  $c_1, c_2, \dots, c_k$  always has at least one solution.

**EXAMPLE (THEOREM) #1.** Show that  $B = \{ [1,0,0]^T, [1,1,0]^T \}$  is a basis for  $W = \{ [x, y, 0]^T : x, y \in \mathbf{R} \}$ .

Solution. (proof) To show linear independence we solve

$$c_1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + c_2 \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \quad \text{or} \quad \begin{array}{l} c_1 + c_2 = 0 \\ c_2 = 0 \\ 0 = 0 \end{array} \quad \text{to obtain } c_1 = c_2 = 0$$

so that  $B$  is linearly independent.

ii) To show  $B$  spans  $W$  we let  $\vec{x} = [x, y, 0] \in W$  (i.e., an arbitrary vector in  $W$ ) and solve

$$c_1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + c_2 \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} x \\ y \\ 0 \end{bmatrix} \quad c_1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + c_2 \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} x \\ y \\ 0 \end{bmatrix} \quad \text{or} \quad \begin{array}{l} c_1 + c_2 = x \\ c_2 = y \\ 0 = 0 \end{array} \quad \text{to obtain}$$

$$c_2 = y \Rightarrow c_1 = x - c_2 = x - y.$$

Hence for any  $\vec{x} = \begin{bmatrix} x \\ y \\ 0 \end{bmatrix} \in W$  we have  $\vec{x} = \begin{bmatrix} x \\ y \\ 0 \end{bmatrix} = (x - y) \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + y \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$  ;

that is, every vector in  $W$  can be written as a linear combination of vectors in  $B$ .

Hence  $B$  spans  $W$  and  $\text{Span } B = W$ .

Since  $B$  is a linearly independent set and spans  $W$ , it is a basis for  $W$ .

Q.E.D.

EXAMPLE (THEOREM) #2.  $B = \{\hat{e}_1, \dots, \hat{e}_n\}$  where  $\hat{e}_i = [0, \dots, 0, 1, 0, \dots, 0]^T$  is a basis of  $\mathbf{R}^n$ .  
←.....i<sup>th</sup> slot.....→

THEOREM #3. Let  $B = \{\vec{x}_1, \vec{x}_2, \dots, \vec{x}_k\} \subseteq W \subseteq V$  where  $W$  is a subspace of the vector space  $V$ . Then  $B$  is a basis of  $W$  iff  $\forall \vec{x} \in W, \exists! c_1, c_2, \dots, c_n$  such that  $\vec{x} = c_1\vec{x}_1 + c_2\vec{x}_2 + \dots + c_k\vec{x}_k$ .

The values of  $c_1, c_2, \dots, c_n$  associated with each  $\vec{x}$  are called the coordinates of  $\vec{x}$  with respect to the basis  $B = \{\vec{x}_1, \vec{x}_2, \dots, \vec{x}_n\}$ . Given a basis, finding the coordinates of  $\vec{x}$  for any given vector is an important problem.

Although a basis set is not unique, if there is a finite basis, then the number of vectors in a basis set is unique.

THEOREM #4. If  $B = \{\vec{x}_1, \vec{x}_2, \dots, \vec{x}_k\}$  is a basis for a subspace  $W$  in a vector space  $V$ , then every basis set for  $W$  has exactly  $k$  vectors.

DEFINITION #2. The number of vectors in a basis set for a subspace  $W$  of a vector space  $V$  is the **dimension** of  $W$ . If the dimension of  $W$  is  $k$ , we write **dim**  $W = k$ .

THEOREM #5. The dimension of  $\mathbf{R}^n$  over  $\mathbf{R}$  (and the dimension of  $\mathbf{C}^n$  over  $\mathbf{C}$ ) is  $n$ .

Proof idea. Exhibit a basis and prove that it is a basis. (See Example (Theorem) #2)

## EXERCISES on Basis Sets and Dimension

EXERCISE #1. True or False.

- \_\_\_\_\_ 1. If  $B = \{ \vec{x}_1, \vec{x}_2, \dots, \vec{x}_n \} \subseteq V$  where  $V$  is a vector space, then  $B$  is a basis of  $W$  if  $B$  is linearly independent and  $B$  spans  $W$  (i.e.  $\text{Span } B = W$ )
- \_\_\_\_\_ 2. To show that  $B$  is a spanning set using DUD we must show that an arbitrary vector  $\vec{b} \in W$  can be written as a linear combination of the vectors in  $B$
- \_\_\_\_\_ 3. To show that  $B = \{ \vec{x}_1, \vec{x}_2, \dots, \vec{x}_n \} \subseteq V$  where  $V$  is a vector space is a spanning set we must show that for an arbitrary vector  $\vec{b} \in W$  the vector equation  $c_1 \vec{x}_1 + c_2 \vec{x}_2 + \dots + c_n \vec{x}_n = \vec{b}$  in the unknown variables  $c_1, c_2, \dots, c_n$  always has at least one solution.
- \_\_\_\_\_ 4. If  $B = \{ \vec{x}_1, \vec{x}_2, \dots, \vec{x}_n \} \subseteq V$  where  $V$  is a vector space, then  $B$  is a basis of  $W$  iff  $\forall \vec{x} \in W, \exists! c_1, c_2, \dots, c_n$  such that  $\vec{x} = c_1 \vec{x}_1 + c_2 \vec{x}_2 + \dots + c_n \vec{x}_n$ .
- \_\_\_\_\_ 5.  $B = \{ [1,0,0]^T, [1,1,0]^T \}$  is a basis for  $W = \{ [x, y, 0]^T : x, y \in \mathbf{R} \}$ .
- \_\_\_\_\_ 6. If  $B = \{ \vec{x}_1, \vec{x}_2, \dots, \vec{x}_n \} \subseteq W \subseteq V$  where  $W$  is a subspace of the vector space  $V$  and  $B$  is a basis of  $W$  so that  $\forall \vec{x} \in W, \exists! c_1, c_2, \dots, c_n$  such that  $\vec{x} = c_1 \vec{x}_1 + c_2 \vec{x}_2 + \dots + c_n \vec{x}_n$ , then the values of  $c_1, c_2, \dots, c_n$  associated with each  $\vec{x}$  are called the coordinates of  $\vec{x}$  with respect to the basis  $B$ .
- \_\_\_\_\_ 7. A basis set for a vector space is not unique.
- \_\_\_\_\_ 8. If  $B = \{ \vec{x}_1, \vec{x}_2, \dots, \vec{x}_n \}$  is a basis for a subspace  $W$  in a vector space  $V$ , then every basis set for  $W$  has exactly  $n$  vectors.
- \_\_\_\_\_ 9. The number of vectors in a basis set for a vector space  $V$  is called the dimension of  $V$ .
- \_\_\_\_\_ 10. If the dimension of  $V$  is  $n$ , we write  $\dim V = n$ .
- \_\_\_\_\_ 11. The dimension of  $\mathbf{R}^n$  over  $\mathbf{R}$ .
- \_\_\_\_\_ 12. The dimension of  $\mathbf{C}^n$  over  $\mathbf{C}$  is  $n$ .

EXERCISE #2. Show that  $B = \{ [1,0,0]^T, [2,1,0]^T \}$  is a basis for  $W = \{ [x, y, 0]^T : x, y \in \mathbf{R} \}$ .

EXERCISE #3. Show that  $B = \{ \hat{e}_1, \dots, \hat{e}_n \}$  where  $\hat{e}_i = [0, \dots, 0, 1, 0, \dots, 0]^T$  is a basis of  $\mathbf{R}^n$ .  
←.....i<sup>th</sup> slot.....→

EXERCISE #4. Show that the dimension of  $\mathbf{R}^n$  over  $\mathbf{R}$  is  $n$ .

EXERCISE #5. Show that the dimension of  $\mathbf{C}^n$  over  $\mathbf{C}$  is  $n$ .

