

# On the Sizes of $k$ -edge-maximal $r$ -uniform Hypergraphs

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**Abstract** Let  $H = (V, E)$  be a hypergraph, where  $V$  is a set of vertices and  $E$  is a set of non-empty subsets of  $V$  called edges. If all edges of  $H$  have the same cardinality  $r$ , then  $H$  is an  $r$ -uniform hypergraph; if  $E$  consists of all  $r$ -subsets of  $V$ , then  $H$  is a complete  $r$ -uniform hypergraph, denoted by  $K_n^r$ , where  $n = |V|$ . A hypergraph  $H' = (V', E')$  is called a subhypergraph of  $H = (V, E)$  if  $V' \subseteq V$  and  $E' \subseteq E$ . The edge-connectivity of a hypergraph  $H$  is the cardinality of a minimum edge set  $F \subseteq E$  such that  $H - F$  is not connected, where  $H - F = (V, E \setminus F)$ . An  $r$ -uniform hypergraph  $H = (V, E)$  is  $k$ -edge-maximal if every subhypergraph of  $H$  has edge-connectivity at most  $k$ , but for any edge  $e \in E(K_n^r) \setminus E(H)$ ,  $H + e$  contains at least one subhypergraph with edge-connectivity at least  $k + 1$ .

Let  $k$  and  $r$  be integers with  $k \geq 2$  and  $r \geq 2$ , and let  $t = t(k, r)$  be the largest integer such that  $\binom{t-1}{r-1} \leq k$ . That is,  $t$  is the integer satisfying  $\binom{t-1}{r-1} \leq k < \binom{t}{r-1}$ . We prove that if  $H$  is an  $r$ -uniform  $k$ -edge-maximal hypergraph such that  $n = |V(H)| \geq t$ , then (i)  $|E(H)| \leq \binom{t}{r} + (n - t)k$ , and this bound is best possible; (ii)  $|E(H)| \geq (n - 1)k - ((t - 1)k - \binom{t}{r}) \lfloor \frac{n}{t} \rfloor$ , and this bound is best possible.

**Keywords** Edge-connectivity;  $k$ -edge-maximal hypergraphs;  $r$ -uniform hypergraphs

**2000 MR Subject Classification** 05C40

## 1 Introduction

For graph-theoretical terminologies and notation not defined here, we follow<sup>[3]</sup>. The edge-connectivity of a graph  $G$ , denoted by  $\kappa'(G)$ , is the the cardinality of a minimum edge set  $F \subseteq E$  such that  $G - F$  is not connected. The *complement* of a graph  $G$  is denoted by  $G^c$ . For  $X \subseteq E(G^c)$ ,  $G + X$  is the graph with vertex set  $V(G)$  and edge set  $E(G) \cup X$ . We will use  $G + e$  for  $G + \{e\}$ . The *floor* of a real number  $x$ , denoted by  $\lfloor x \rfloor$ , is the greatest integer not larger than  $x$ ; the *ceiling* of a real number  $x$ , denoted by  $\lceil x \rceil$ , is the least integer greater than or equal to  $x$ . For two integers  $n$  and  $k$ , we define  $\binom{n}{k} = \frac{n!}{k!(n-k)!}$  when  $k \leq n$  and  $\binom{n}{k} = 0$  when  $k > n$ .

Given a graph  $G$ , Matula<sup>[9]</sup> defined the strength  $\bar{\kappa}'(G)$  of  $G$  as  $\max\{\kappa'(G') : G' \subseteq G\}$ . For a positive integer  $k$ , the graph  $G$  is  $k$ -edge-maximal if  $\bar{\kappa}'(G) \leq k$  but for any edge  $e \in E(G^c)$ ,  $\bar{\kappa}'(G + e) > k$ . Mader<sup>[8]</sup> and Lai<sup>[6]</sup> proved the following results.

**Theorem 1.1.** *Let  $k \geq 1$  be an integer, and  $G$  be a  $k$ -edge-maximal graph on  $n > k + 1$  vertices. Each of the following holds.*

(i) (Mader<sup>[8]</sup>)  $|E(G)| \leq (n - k)k + \binom{k}{2}$ . Furthermore, this bound is best possible.

(ii) (Lai<sup>[6]</sup>)  $|E(G)| \geq (n - 1)k - \lfloor \frac{n}{k+2} \rfloor \binom{k}{2}$ . Furthermore, this bound is best possible.

Manuscript received December 17, 2019. Accepted on March 15, 2021.

This paper is supported by the National Natural Science Foundation of China (Nos. 11861066, 11531011), Tianshan Youth Project of Xinjiang (2018Q066).

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In [1] and [7],  $k$ -edge-maximal digraphs are investigated, and the upper bound and the lower bound of the sizes of the  $k$ -edge-maximal digraphs are determined, respectively. Motivated by these results, we will study  $k$ -edge-maximal hypergraphs in this paper.

Let  $H = (V, E)$  be a hypergraph, where  $V$  is a finite set and  $E$  is a set of non-empty subsets of  $V$ , called edges. Throughout we will assume that every edge contains at least two vertices. An edge of cardinality 2 is just a graph edge. For a vertex  $u \in V$  and an edge  $e \in E$ , we say  $u$  is incident with  $e$  or  $e$  is incident with  $u$  if  $u \in e$  (we see the edge  $e$  as a subset of  $V$ ). If all edges of  $H$  have the same cardinality  $r$ , then  $H$  is an  $r$ -uniform hypergraph; if  $E$  consists of all  $r$ -subsets of  $V$ , then  $H$  is a complete  $r$ -uniform hypergraph, denoted by  $K_n^r$ , where  $n = |V|$ . For  $n < r$ , the complete  $r$ -uniform hypergraph  $K_n^r$  is just the hypergraph with  $n$  vertices and no edges. The complement of a  $r$ -uniform hypergraph  $H = (V, E)$ , denoted by  $H^c$ , is the  $r$ -uniform hypergraph with vertex set  $V$  and edge set consisting of all  $r$ -subsets of  $V$  not in  $E$ . A hypergraph  $H' = (V', E')$  is called a subhypergraph of  $H = (V, E)$ , denoted by  $H' \subseteq H$ , if  $V' \subseteq V$  and  $E' \subseteq E$ . Note that subhypergraph here is called a hypersubgraph in [2] and a strong subhypergraph in [4]. For  $X \subseteq E(H^c)$ ,  $H + X$  is the hypergraph with vertex set  $V(H)$  and edge set  $E(H) \cup X$ ; for  $X' \subseteq E(H)$ ,  $H - X'$  is the hypergraph with vertex set  $V(H)$  and edge set  $E(H) \setminus X'$ . We use  $H + e$  for  $H + \{e\}$  and  $H - e'$  for  $H - \{e'\}$  when  $e \in E(H^c)$  and  $e' \in E(H)$ . For  $Y \subseteq V(H)$ , we use  $H[Y]$  to denote the hypergraph induced by  $Y$ , where  $V(H[Y]) = Y$  and  $E(H[Y]) = \{e \in E(H) : e \subseteq Y\}$ .  $H - Y$  is the hypergraph induced by  $V(H) \setminus Y$ .

For a hypergraph  $H = (V, E)$  and two disjoint vertex subsets  $X, Y \subseteq V$ , let  $E_H[X, Y]$  be the set of edges with non-empty intersecting with both  $X$  and  $Y$  and  $d_H(X, Y) = |E_H[X, Y]|$ . We use  $E_H(X)$  and  $d_H(X)$  for  $E_H[X, V \setminus X]$  and  $d_H(X, V \setminus X)$ , respectively. If  $X = \{u\}$ , we use  $E_H(u)$  and  $d_H(u)$  for  $E_H(\{u\})$  and  $d_H(\{u\})$ , respectively. The degree of  $u$  in  $H$  is the number of edges incident with  $u$  in  $H$ , which is  $d_H(u)$  (Because we assume that every edge contains at least two vertices in this paper). The *minimum degree*  $\delta(H)$  of  $H$  is defined as  $\min\{d_H(u) : u \in V\}$ ; the maximum degree  $\Delta(H)$  of  $H$  is defined as  $\max\{d_H(u) : u \in V\}$ . When  $\delta(H) = \Delta(H) = k$ , we call  $H$   $k$ -regular.

For a nonempty proper vertex subset  $X$  of a hypergraph  $H$ , we call  $E_H(X)$  an *edge-cut* of  $H$ . The *edge-connectivity*  $\kappa'(H)$  of a hypergraph  $H$  is  $\min\{d_H(X) : \emptyset \neq X \subsetneq V(H)\}$ . By definition,  $\kappa'(H) \leq \delta(H)$ . We call a hypergraph  $H$   $k$ -edge-connected if  $\kappa'(H) \geq k$ . A hypergraph is connected if it is 1-edge-connected. A maximal connected subhypergraph of  $H$  is called a *component* of  $H$ . It is easy to see that the edge-connectivity of a hypergraph  $H$  is the cardinality of a minimum edge set  $F \subseteq E$  such that  $H - F$  is not connected. Similarly, define the *strength*  $\bar{\kappa}'(H)$  of  $H$  as  $\max\{\kappa'(H') : H' \subseteq H\}$ . An  $r$ -uniform hypergraph  $H = (V, E)$  is  $k$ -edge-maximal if every subhypergraph of  $H$  has edge-connectivity at most  $k$ , but for any edge  $e \in E(H^c)$ ,  $H + e$  contains at least one subhypergraph with edge-connectivity at least  $k + 1$ . For any integer  $k$  with  $k \geq \binom{n-1}{r-1}$ , since  $\kappa'(K_n^r) = \binom{n-1}{r-1} \leq k$  and there is no edge in  $(K_n^r)^c$ , we regard  $K_n^r$  as a  $k$ -edge maximal hypergraph. Thus  $H$  is a complete  $r$ -uniform hypergraph if  $H$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph with  $\binom{n-1}{r-1} \leq k$ , where  $n = |V(H)|$ . For results on the connectivity of hypergraphs, see cf. [2, 4, 5] for references.

The main goal of this research is to determine, for given integers  $n, k$  and  $r$ , the extremal sizes of a  $k$ -edge-maximal  $r$ -uniform hypergraph on  $n$  vertices. Section 2 below is devoted to the study of some properties of  $k$ -edge-maximal  $r$ -uniform hypergraphs. In section 3, we give the upper bound of the sizes of  $k$ -edge-maximal  $r$ -uniform hypergraphs and characterize these  $k$ -edge-maximal  $r$ -uniform hypergraphs attained this bound. We obtain the lower bound of the sizes of  $k$ -edge-maximal  $r$ -uniform hypergraphs and show that this bound is best possible in section 4.

## 2 Properties of $k$ -edge-maximal $r$ -uniform Hypergraphs

For a 1-edge-maximal  $r$ -uniform hypergraph  $H$  with  $n = |V(H)|$ , we can verify that  $\lceil \frac{n-1}{r-1} \rceil \leq |E(H)| \leq n - r + 1$ . If  $H$  is the hypergraph with vertex set  $V(H) = \{v_1, \dots, v_n\}$  and edge set  $E(H) = \{e_1, \dots, e_{n-r+1}\}$ , where  $e_i = \{v_1, \dots, v_{r-1}, v_{r-1+i}\}$  for  $i = 1, \dots, n - r + 1$ , then  $H$  is a 1-edge-maximal  $r$ -uniform hypergraph  $H$  with  $|E(H)| = n - r + 1$ . The 1-edge-maximal  $r$ -uniform hypergraph  $K_r^t$  shows that the lower bound  $\lceil \frac{n-1}{r-1} \rceil$  is also sharp. Thus, from now on, we always assume  $k \geq 2$ .

**Definition 2.1.** For two integers  $k$  and  $r$  with  $k, r \geq 2$ , define  $t = t(k, r)$  to be the largest integer such that  $\binom{t-1}{r-1} \leq k$ . That is,  $t$  is the integer satisfying  $\binom{t-1}{r-1} \leq k < \binom{t}{r-1}$ .

**Lemma 2.1.** Let  $H = (V, E)$  be a  $k$ -edge-maximal  $r$ -uniform hypergraph on  $n$  vertices, where  $k, r \geq 2$ . Assume  $n \geq t$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 1$  when  $\binom{t-1}{r-1} < k$ , where  $t = t(k, r)$ . Then  $\kappa'(H) = \bar{\kappa}'(H) = k$ .

*Proof.* Since  $H$  is  $k$ -edge-maximal, we have  $\kappa'(H) \leq \bar{\kappa}'(H) \leq k$ . In order to complete the proof, we only need to show that  $\kappa'(H) \geq k$ .

Let  $X$  be a minimum edge-cut of  $H$ , and let  $H_1$  be a component of  $H - X$  with minimum number of vertices and  $H_2 = H - V(H_1)$ . Denote  $n_1 = |V(H_1)|$  and  $n_2 = |V(H_2)|$ . Thus we have  $X = E_H[V(H_1), V(H_2)]$ ,  $n = n_1 + n_2$  and  $n_1 \leq n_2$ . To prove the lemma, we consider the following two cases.

**Case 1.**  $E_{H^c}[V(H_1), V(H_2)] \neq \emptyset$ .

Pick an edge  $e \in E_{H^c}[V(H_1), V(H_2)]$ . Since  $H$  is  $k$ -edge-maximal, we have  $\bar{\kappa}'(H + e) > k$ . Let  $H' \subseteq H + e$  be a subhypergraph such that  $\kappa'(H') \geq k + 1$ . By  $\bar{\kappa}'(H) \leq k$ , we have  $e \in H'$ . It follows that  $(X \cup \{e\}) \cap E(H')$  is an edge-cut of  $H'$ . Thus  $|X| + 1 \geq |(X \cup \{e\})| \geq \kappa'(H') \geq k + 1$ , implying  $|X| \geq k$ . Thus  $\kappa'(H) \geq k$ .

**Case 2.**  $E_{H^c}[V(H_1), V(H_2)] = \emptyset$ .

Since  $E_{H^c}[V(H_1), V(H_2)] = \emptyset$ , we know that  $E_H[V(H_1), V(H_2)]$  consists of all  $r$ -subsets of  $V(H)$  intersecting both  $V(H_1)$  and  $V(H_2)$ . Thus

$$|E_H[V(H_1), V(H_2)]| = \sum_{s=1}^{r-1} \binom{n_1}{s} \binom{n_2}{r-s} = \binom{n}{r} - \binom{n_1}{r} - \binom{n_2}{r}.$$

Let  $g(x) = \binom{x}{r} + \binom{n-x}{r}$ . It is routine to verify that  $g(x)$  is a decreasing function when  $1 \leq x \leq n/2$ . If  $n_1 \geq 2$ , then as  $H$  is connected we have  $r \leq n_1 \leq n/2$ . Thus

$$\kappa'(H) = |E_H[V(H_1), V(H_2)]| = \binom{n}{r} - \binom{n_1}{r} - \binom{n_2}{r} \geq \binom{n}{r} - \binom{2}{r} - \binom{n-2}{r} > \binom{n-1}{r-1} \geq \delta(H), \tag{2.1}$$

which contradicts to  $\kappa'(H) \leq \delta(H)$ . Thus, we assume  $n_1 = 1$ . Now we have

$$\kappa'(H) = |E_H[V(H_1), V(H_2)]| = \binom{n}{r} - \binom{n_1}{r} - \binom{n_2}{r} = \binom{n}{r} - \binom{1}{r} - \binom{n-1}{r} = \binom{n-1}{r-1} \geq \delta(H),$$

which implies  $\kappa'(H) = \delta(H) = \binom{n-1}{r-1}$  and so  $H$  is a complete  $r$ -uniform hypergraph. Since  $n \geq t$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 1$  when  $\binom{t-1}{r-1} < k$ , we have  $\kappa'(H) = \binom{n-1}{r-1} \geq k$ .  $\square$

**Lemma 2.2.** Suppose that  $H = (V, E)$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph, where  $k, r \geq 2$ . Let  $X \subseteq E(H)$  be a minimum edge-cut of  $H$  and let  $H_1$  be a union of some but not all components of  $H - X$ . Then  $H_1$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph.

*Proof.* If  $H_1$  is complete, then  $H_1$  is  $k$ -edge-maximal by definition. Thus assume  $H_1$  is not complete. For any edge  $e \in E(H_1^c)$ ,  $H + e$  has a subhypergraph  $H'$  with  $\kappa'(H') \geq k + 1$  by

$E(H_1^c) \subseteq E(H^c)$ . Since  $X$  is a minimum edge-cut of  $H$ , we have  $|X| = \kappa'(H) \leq \bar{\kappa}'(H) \leq k$ . Thus  $X \cap E(H') = \emptyset$ . As  $e \in E(H') \cap E(H_1^c)$ , we conclude that  $H'$  is a subhypergraph of  $H_1 + e$ , and so  $\bar{\kappa}'(H_1 + e) \geq k + 1$ . Since  $\bar{\kappa}'(H_1) \leq \bar{\kappa}'(H) \leq k$ , it follows that  $H_1$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph.  $\square$

**Lemma 2.3.** *Let  $H = (V, E)$  be a  $k$ -edge-maximal  $r$ -uniform hypergraph on  $n$  vertices, where  $k, r \geq 2$ . Assume  $n \geq t$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 1$  when  $\binom{t-1}{r-1} < k$ , where  $t = t(k, r)$ . Let  $X \subseteq E(H)$  be a minimum edge-cut of  $H$  and let  $H_1$  be a union of some but not all components of  $H - X$ . If  $r \leq |V(H_1)| \leq n - 2$ , then  $|V(H_1)| \geq t$ . Moreover, if  $H_1$  is complete, then  $|V(H_1)| = t$ ; if  $H_1$  is not complete, then  $|V(H_1)| \geq t + 1$ .*

*Proof.* By Lemmas 2.1 and 2.2, we have  $|X| = \kappa'(H) = k$  and  $H_1$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph, respectively. If  $H_1$  is not complete, then there is a subhypergraph  $H'_1$  of  $H_1 + e$  such that  $\kappa'(H'_1) \geq k + 1$  for any  $e \in E(H_1^c)$ . Since  $\binom{t-1}{r-1} \leq k$  and  $\delta(H'_1) \geq \kappa'(H'_1) \geq k + 1$ , we have  $|V(H_1)| \geq |V(H'_1)| \geq t + 1$ .

Now we assume  $H_1$  is a complete  $r$ -uniform hypergraph. Let  $H_2 = H - V(H_1)$ . If  $n_1 = |V(H_1)| < t$ , then, in order to ensure each vertex in  $H_1$  has degree at least  $k$  in  $H$  (because  $\delta(H) \geq \kappa'(H) = k$ ), we must have  $n_1 = t - 1$  and  $k = \binom{t-1}{r-1}$ . Moreover, each vertex in  $H_1$  is incident with exactly  $\binom{t-2}{r-2}$  edges in  $E_H[H_1, H_2]$ , and thus  $d_H(u) = k$  for each  $u \in V(H_1)$ . By (2.1), there is an  $e$  intersecting both  $V(H_1)$  and  $V(H_2)$  but  $e \notin X$ . Since  $n_1 \geq r$ , there is a vertex  $w \in V(H_1)$  such that  $w$  is not incident with  $e$ . Then  $d_{H+e}(w) = k$ . This implies  $w$  is not contained in a  $(k + 1)$ -edge-connected subhypergraph of  $H + e$ . But then each vertex in  $V(H_1) \setminus \{w\}$  has degree at most  $k$  in  $(H + e) - w$ , and thus each vertex in  $V(H_1) \setminus \{w\}$  is not contained in a  $(k + 1)$ -edge-connected subhypergraph of  $H + e$ . This illustrates that there is no  $(k + 1)$ -edge-connected subhypergraph in  $H + e$ , a contradiction. Thus we have  $n_1 \geq t$ . If  $n_1 > t$ , then  $\kappa'(H_1) = \binom{n_1-1}{r-1} \geq \binom{t}{r-1} > k$ , contrary to  $H$  is  $k$ -edge-maximal. Therefore,  $n_1 \leq t$ , and thus  $n_1 = t$  holds.  $\square$

### 3 The Upper Bound of the Sizes of $k$ -edge-maximal $r$ -uniform Hypergraphs

**Definition 3.1.** *Let  $n, k, r$  be integers such that  $k, r \geq 2$  and  $n \geq t$ , where  $t = t(k, r)$ . A hypergraph  $H \in \mathcal{M}(n; k, r)$  if and only if it is constructed as follows:*

- (i) *Start from the complete hypergraph  $H_0 \cong K_t^r$ ;*
- (ii) *If  $n - t = s = 0$ , then  $H_s = H_0$ . If  $n - t = s \geq 1$ , then we construct, recursively,  $H_i$  from  $H_{i-1}$  by adding a new vertex  $v_i$  and  $k$  new edges containing  $v_i$  and intersecting  $V(H_{i-1})$  for  $i = 1, \dots, s$ ;*
- (iii) *Set  $H = H_s$ .*

It is known that  $\kappa'(H) \leq \delta(H)$  holds for any hypergraph  $H$ . If  $\kappa'(H) = \delta(H)$ , then we say  $H$  is *maximal-edge-connected*. An edge-cut  $X$  of  $H$  is *peripheral* if there exists a vertex  $v$  such that  $X = E_H(v)$ . A hypergraph  $H$  is *super-edge-connected* if every minimum edge-cut of  $H$  is peripheral. By definition, every super-edge-connected hypergraph is maximal-edge-connected.

**Lemma 3.1.** *Let  $k$  and  $r$  be integers with  $k, r \geq 2$ . If  $n \geq t$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 1$  when  $\binom{t-1}{r-1} < k$ , where  $t = t(k, r)$ , then for any  $H \in \mathcal{M}(n; k, r)$ , we have*

- (i)  $\delta(H) = k$ ;
- (ii)  $H$  is super-edge-connected; and
- (iii)  $H$  is  $k$ -edge-maximal.

*Proof.* Let  $H = H_s$ , where  $H_s$  is recursively constructed from  $H_0, \dots, H_{s-1}$  as in Definition 3.1. Then  $V(H_s) = V(H_0) \cup \{v_1, \dots, v_s\}$ . We will prove this lemma by induction on  $n$ .

(i) If  $n = t$  and  $\binom{t-1}{r-1} = k$ , then  $H \cong K_t^r$  and  $\delta(H) = \binom{t-1}{r-1} = k$ . If  $n = t + 1$  and  $\binom{t-1}{r-1} < k$ , then  $H$  is obtained from  $K_t^r$  by adding a new vertex  $v_1$  and  $k$  edges with cardinality  $r$  such that each added edge is incident with  $v_1$ . Let  $k = \binom{t-1}{r-1} + i$ . As  $\binom{t-1}{r-1} < k < \binom{t-1}{r-1}$ , we have  $1 \leq i \leq \binom{t-1}{r-2} - 1$ . If there exists a vertex  $u \in V(K_t^r)$  such that at most  $i - 1$  edges are incident with both  $u$  and  $v_1$  in  $H$ , then by  $k = \binom{t-1}{r-1} + i$ , we have  $|E_H[\{v_1\}, V(H) \setminus \{u, v_1\}]| > \binom{t-1}{r-1}$ . But this can not happen because  $|V(H) \setminus \{u, v_1\}| = t - 1$ . Thus for any vertex  $u \in V(K_t^r)$ , there are at least  $i$  edges incident with both  $u$  and  $v_1$  in  $H$ . This implies  $d_H(v) \geq \binom{t-1}{r-1} + i = k$  for any  $u \in V(K_t^r)$ . As  $d_H(v_1) = k$ , we have  $\delta(H) = k$ .

Now we assume  $n \geq t + 1$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 2$  when  $\binom{t-1}{r-1} < k$ . Since  $H = H_s$  is obtained from  $H_{s-1}$  by adding a new vertex  $v_s$  and  $k$  edges with cardinality  $r$  such that each added edge is incident with  $v_s$ , then by the induction assumption that  $\delta(H_{s-1}) = k$ , we obtain  $\delta(H) = \delta(H_s) = k$ .

(ii) If  $n = t$  and  $\binom{t-1}{r-1} = k$ , then  $H \cong K_t^r$  and  $|E_H[X, V(H) \setminus X]| > \delta(H) = k$  for any  $X \subseteq V(H)$  with  $2 \leq |X| \leq n - 2$  by (2.1). Thus  $H$  is super-edge-connected.

If  $n = t + 1$  and  $\binom{t-1}{r-1} < k$ , then  $H$  is obtained from  $K_t^r$  by adding a new vertex  $v_1$  and  $k$  edges with cardinality  $r$  such that each added edge is incident with  $v_1$ . Let  $k = \binom{t-1}{r-1} + i$ . As  $\binom{t-1}{r-1} < k < \binom{t-1}{r-1}$ , we have  $1 \leq i \leq \binom{t-1}{r-2} - 1$ . In order to prove that  $H$  is super-edge-connected, we only need to verify that  $d_H(X) > k$  for any  $X \subseteq V(H) \setminus \{v_1\}$  with  $2 \leq |X| \leq |V(H)| - 2$ . If  $|X| \leq |V(H)| - 3$ , then  $|E_{K_t^r}[X, V(K_t^r) \setminus X]| > \binom{t-1}{r-1}$  by (2.1). Since for any vertex  $u \in V(K_t^r)$ , there are at least  $i$  edges incident with both  $u$  and  $v_1$  in  $H$  (by the proof of (i)), we have  $|E_H(X) \cap E_H(v_1)| \geq i$ . Thus  $d_H(X) = |E_{K_t^r}[X, V(K_t^r) \setminus X]| + |E_H(X) \cap E_H(v_1)| > \binom{t-1}{r-1} + i = k$ . Assume  $|X| = |V(H)| - 2$  and  $V(H) \setminus X = \{v_1, w\}$ . If  $r \geq 3$ , then  $d_H(X) = |E_{K_t^r}[X, V(K_t^r) \setminus X]| + |E_H(X) \cap E_H(v_1)| = \binom{t-1}{r-1} + k > k$ . If  $r = 2$ , then  $d_H(X) = |E_{K_t^r}[X, V(K_t^r) \setminus X]| + |E_H(X) \cap E_H(v_1)| \geq \binom{t-1}{r-1} + k - 1 > k$ .

Now we assume  $n \geq t + 1$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 2$  when  $\binom{t-1}{r-1} < k$ . On the contrary, assume  $H_s$  is not super-edge-connected. Then there is a minimum edge-cut  $X = E_{H_s}[V(J_1), V(J_2)]$  of  $H_s$  with  $|X| \leq \delta(H_s) = k$ , where  $J_1$  is a component of  $H_s - X$  and  $J_2 = H_s - V(J_1)$  with  $\min\{|V(J_1)|, |V(J_2)|\} \geq 2$ . Without loss of generality, assume  $v_s \in V(J_1)$ . If  $E_{H_s}(v_s) \cap X \neq \emptyset$ , then as  $X \neq E_{H_s}(v_s)$ ,  $X - E_{H_s}(v_s)$  is an edge-cut of  $H_{s-1}$ , and so  $\kappa'(H_{s-1}) \leq |X - E_{H_s}(v_s)| < k$ , contradicts to the induction assumption that  $H_{s-1}$  is super-edge-connected. It follows that  $E_{H_s}(v_s) \cap X = \emptyset$  and so  $X = E_{H_{s-1}}[V(J_1 - v_s), V(J_2)]$  is an edge-cut of  $H_{s-1}$ . Since  $H_{s-1}$  is super-edge-connected, we conclude that either  $|V(J_1 - v_s)| = 1$  or  $|V(J_2)| = 1$ . If  $|V(J_2)| = 1$ , then it contradicts to  $\min\{|V(J_1)|, |V(J_2)|\} \geq 2$ . If  $|V(J_1 - v_s)| = 1$ , then  $|V(J_1)| = 2$ ,  $r = 2$  and  $k = 1$ , contrary to  $k \geq 2$ .

(iii) If  $n = t$  and  $\binom{t-1}{r-1} = k$ , then  $H \cong K_t^r$  is  $k$ -edge-maximal by the definition.

If  $n = t + 1$  and  $\binom{t-1}{r-1} < k$ , let  $k = \binom{t-1}{r-1} + i$ . As  $\binom{t-1}{r-1} < k < \binom{t-1}{r-1}$ , we have  $1 \leq i \leq \binom{t-1}{r-2} - 1$ . In order to prove that  $H$  is  $k$ -edge-maximal, it suffices to verify that  $\bar{\kappa}'(H + e) \geq k + 1$  for any  $e \in E(H^c)$ . By Definition 3.1,  $H + e$  is obtained from  $K_t^r$  by adding a new vertex  $v_1$  and  $k + 1$  edges with cardinality  $r$  such that each added edge is incident with  $v_1$ . If there exists a vertex  $u \in V(K_t^r)$  such that at most  $i$  edges are incident with both  $u$  and  $v_1$  in  $H + e$ , then by  $k = \binom{t-1}{r-1} + i$ , we have  $|E_{H+e}[\{v_1\}, V(H) \setminus \{u, v_1\}]| > \binom{t-1}{r-1}$ . But this can not happen because  $|V(H + e) \setminus \{u, v_1\}| = t - 1$ . Thus for any vertex  $u \in V(K_t^r)$ , there are at least  $i + 1$  edges incident with both  $u$  and  $v_1$  in  $H + e$ . This implies  $d_{H+e}(u) \geq \binom{t-1}{r-1} + i + 1 = k + 1$  for any  $u \in V(K_t^r)$ . By  $d_{H+e}(v_1) = k + 1$ , we have  $\delta(H + e) = k + 1$ . For any edge-cut  $W$  of  $H + e$ , if  $W$  is peripheral, then  $|W| \geq \delta(H + e) = k + 1$ . Suppose  $W$  is not peripheral, and so  $W - e$  is a non peripheral edge-cut of  $H$ . Since  $H$  is super-edge-connected,  $|W| \geq |W - e| \geq \delta(H) + 1 = k + 1$ . Thus  $\bar{\kappa}'(H + e) \geq \kappa(H + e) \geq k + 1$ .

Now we assume  $n \geq t + 1$  when  $\binom{t-1}{r-1} = k$  and  $n \geq t + 2$  when  $\binom{t-1}{r-1} < k$ . On the contrary, assume  $H_s$  is not  $k$ -edge-maximal. Then there is an edge  $e \in E(H_s^c)$  such that  $\bar{\kappa}'(H_s + e) \leq k$ . If  $e \in E(H_{s-1}^c)$ , then by induction assumption,  $\bar{\kappa}'(H_{s-1} + e) \geq k + 1$ , a contradiction. Hence  $e \notin E(H_{s-1}^c)$ . Since  $H_s$  is obtained from  $H_{s-1}$  by adding a new vertex  $v_s$  and  $k$  edges incident with  $v_s$ , we have  $e \in E_{H_s+e}(v_s)$ .

Let  $Y = E_{H_s+e}[V(F_1), V(F_2)]$  be a minimum edge-cut of  $H_s + e$  with  $|Y| \leq k$ , where  $F_1$  is a component of  $(H_s + e) - Y$  and  $F_2 = (H_s + e) - V(F_1)$ . Since  $H_s$  is super-edge-connected, we have  $\kappa'(H_s) = \delta(H_s) = k$ , and so  $e \notin Y$  and  $Y \neq E_{H_s}(v_s)$ . This implies  $Y \subseteq E(H_s)$ . Without loss of generality, assume that  $v_s \in V(F_1)$ . By  $H_{s-1}$  is super-edge-connected, we have  $\kappa'(H_{s-1}) = \delta(H_{s-1}) = k$ . If  $Y \cap E_{H_s}(v_s) \neq \emptyset$ , then as  $Y \neq E_{H_s}(v_s)$ ,  $Y - E_{H_s}(v_s)$  is an edge-cut of  $H_{s-1}$ . It follows that  $\kappa'(H_{s-1}) \leq |Y - E_{H_s}(v_s)| < k = \kappa'(H_{s-1})$ , a contradiction. Hence we must have  $Y \cap E_{H_s}(v_s) = \emptyset$ , and so  $Y \subseteq E(H_s) - E_{H_s}(v_s) = E(H_{s-1})$ . By  $H_{s-1}$  is super-edge-connected, there exists a vertex  $w \in V(H_{s-1})$  such that  $Y = E_{H_{s-1}}(w)$ . As  $N_{H_s}(v_s) \cup \{v_s\} \subseteq V(F_1)$ , we have  $V(F_2) = \{w\}$ .

Let  $H' = H_s - w$ . Then  $e \in E((H')^c)$ . If  $w \in V(H_s) \setminus V(H_0)$ , then  $H' \in \mathcal{M}(n - 1; k, r)$ . If  $w \in V(H_0)$ , then by  $d_{H_s}(w) = |Y| = k$ , we have  $d_{H_1}(w) = k$ . By Definition 3.1, there are exactly  $k - \binom{t-1}{r-1}$  edges containing  $\{w, v_1\}$  in  $H_1$  and  $|E_{H_1}[v_1, V(H_0) \setminus w]| = \binom{t-1}{r-1}$ . Thus the hypergraph induced by  $(V(H_0) \setminus \{w\}) \cup \{v_1\}$  in  $H_s$  is complete, and so  $H' \in \mathcal{M}(n - 1; k, r)$ . By induction assumption,  $\bar{\kappa}'(H' + e) \geq k + 1$ , and so  $\bar{\kappa}'(H_s + e) \geq \bar{\kappa}'(H' + e) \geq k + 1$ , contrary to  $\bar{\kappa}'(H_s + e) \leq k$ .  $\square$

**Theorem 3.2.** *Let  $H$  be a  $k$ -edge-maximal  $r$ -uniform hypergraph on  $n$  vertices, where  $k, r \geq 2$ . If  $n \geq t$ , where  $t = t(k, r)$ , then each of the following holds.*

- (i)  $|E(H)| \leq \binom{t}{r} + (n - t)k$ .
- (ii)  $|E(H)| = \binom{t}{r} + (n - t)k$  if and only if  $H \in \mathcal{M}(n; k, r)$ .

*Proof.* By Definition 3.1, we have  $|E(H)| = \binom{t}{r} + (n - t)k$  if  $H \in \mathcal{M}(n; k, r)$ .

We will prove the theorem by induction on  $n$ . If  $n = t$ , then by  $H$  is  $k$ -edge-maximal and  $\binom{t-1}{r-1} \leq k$ , we have  $H \cong K_t^r$ . Thus  $|E(H)| = \binom{t}{r} + (n - t)k$  and  $H \in \mathcal{M}(n; k, r)$ .

Now suppose  $n > t$ . We assume that if  $t \leq n' < n$  and if  $H'$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph with  $n'$  vertices, then  $|E(H')| \leq \binom{t}{r} + (n' - t)k$  and  $H' \in \mathcal{M}(n'; k, r)$  if  $|E(H')| = \binom{t}{r} + (n' - t)k$ .

Let  $X$  be a minimum edge-cut  $H$ . By Lemma 2.1, we have  $|X| = k$ . We consider two cases in the following.

**Case 1.** There is a component, say  $H_1$ , of  $H - X$  such that  $|V(H_1)| = 1$ .

Let  $H_2 = H - V(H_1)$ . By Lemma 2.2,  $H_2$  is  $k$ -edge-maximal. Since  $|V(H_2)| = n - 1 \geq t$ , by induction assumption, we have  $|E(H_2)| \leq \binom{t}{r} + (n - 1 - t)k$  and  $H_2 \in \mathcal{M}(n - 1; k, r)$  if  $|E(H_2)| = \binom{t}{r} + (n - 1 - t)k$ . Thus  $|E(H)| = |E(H_2)| + k \leq \binom{t}{r} + (n - t)k$ . If  $|E(H)| = \binom{t}{r} + (n - t)k$ , then  $|E(H_2)| = \binom{t}{r} + (n - 1 - t)k$  and  $H_2 \in \mathcal{M}(n - 1; k, r)$ . Thus, by  $|V(H_1)| = 1$  and  $|X| = k$ , we have  $H \in \mathcal{M}(n; k, r)$  if  $|E(H)| = \binom{t}{r} + (n - t)k$ .

**Case 2.** Each component of  $H - X$  has at least two vertices.

Let  $H_1$  be a component of  $H - X$  and  $H_2 = H - V(H_1)$ . By Lemma 2.2, both  $H_1$  and  $H_2$  are  $k$ -edge-maximal. Assume  $n_1 = |V(H_1)|$  and  $n_2 = |V(H_2)|$ . Then  $n_1 + n_2 = n$ . Since each edge contains  $r$  vertices, we have  $n_1, n_2 \geq r$ . By Lemma 2.3, we have  $n_1, n_2 \geq t$ . By induction assumption, we have  $|E(H_i)| \leq \binom{t}{r} + (n_i - t)k$  and  $H_i \in \mathcal{M}(n_i; k, r)$  if  $|E(H_i)| = \binom{t}{r} + (n_i - t)k$  for  $i \in \{1, 2\}$ . Thus

$$\begin{aligned} |E(H)| &= |E(H_1)| + |E(H_2)| + k \\ &\leq \binom{t}{r} + (n_1 - t)k + \binom{t}{r} + (n_2 - t)k + k \\ &= \binom{t}{r} + (n_1 + n_2 - t)k + \binom{t}{r} - (t - 1)k \end{aligned}$$

$$\begin{aligned} &\leq \binom{t}{r} + (n_1 + n_2 - t)k + \binom{t}{r} - (t - 1)\binom{t-1}{r-1} \\ &= \binom{t}{r} + (n_1 + n_2 - t)k + \left(\frac{t}{r} - (t - 1)\right)\binom{t-1}{r-1} \\ &\leq \binom{t}{r} + (n - t)k. \end{aligned}$$

If  $|E(H)| = \binom{t}{r} + (n - t)k$ , then  $\frac{t}{r} - (t - 1) = 0$  and  $k = \binom{t-1}{r-1}$ , which imply  $t = r = 2$  and  $k = 1$ , contrary to  $k \geq 2$ . Thus  $|E(H)| < \binom{t}{r} + (n - t)k$  holds.  $\square$

If  $r = 2$ , then  $H$  is a graph and  $t = k + 1$ . Mader's [8] result for the upper bound of the sizes of  $k$ -edge-maximal graphs is a corollary of Theorem 3.2.

**Corollary 3.3**[8]. *Let  $G$  be a  $k$ -edge-maximal graph with  $n$  vertices, where  $k \geq 2$ . If  $n \geq k + 1$ , then we have  $|E(G)| \leq \binom{k+1}{2} + (n - k - 1)k = \binom{k}{2} + (n - k)k$ . Furthermore,  $|E(G)| = \binom{k}{2} + (n - k)k$  if and only if  $G \in \mathcal{M}(n; k, 2)$ .*

### 4 The Lower Bound of the Sizes of $k$ -edge-maximal $r$ -uniform Hypergraphs

**Theorem 4.1.** *Let  $H$  be a  $k$ -edge-maximal  $r$ -uniform hypergraph with  $n$  vertices, where  $k, r \geq 2$ . If  $n \geq t$ , where  $t = t(k, r)$ , then we have  $|E(H)| \geq (n - 1)k - ((t - 1)k - \binom{t}{r})\lfloor \frac{n}{t} \rfloor$ .*

*Proof.* We will prove the theorem by induction on  $n$ . If  $n = t$ , then by  $H$  is  $k$ -edge-maximal and  $\binom{t-1}{r-1} \leq k$ , we have  $H \cong K_t^r$ . Thus  $|E(H)| = \binom{t}{r} = (n - 1)k - ((t - 1)k - \binom{t}{r})\lfloor \frac{n}{t} \rfloor$ .

Now suppose  $n > t$ . We assume that if  $t \leq n' < n$  and if  $H'$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph with  $n'$  vertices, then  $|E(H')| \geq (n' - 1)k - ((t - 1)k - \binom{t}{r})\lfloor \frac{n'}{t} \rfloor$ .

Let  $X$  be a minimum edge-cut  $H$ . By Lemma 2.1, we have  $|X| = k$ . We consider two cases in the following.

**Case 1.** There is a component, say  $H_1$ , of  $H - X$  such that  $|V(H_1)| = 1$ .

Let  $H_2 = H - V(H_1)$ . By Lemma 2.2,  $H_2$  is  $k$ -edge-maximal. Since  $|V(H_2)| = n - 1 \geq t$ , by induction assumption, we have  $|E(H_2)| \geq (n - 2)k - ((t - 1)k - \binom{t}{r})\lfloor \frac{n-1}{t} \rfloor$ . Thus

$$\begin{aligned} |E(H)| &= |E(H_2)| + k \\ &\geq (n - 1)k - ((t - 1)k - \binom{t}{r})\left\lfloor \frac{n - 1}{t} \right\rfloor \\ &\geq (n - 1)k - ((t - 1)k - \binom{t}{r})\left\lfloor \frac{n}{t} \right\rfloor, \end{aligned}$$

the last inequality holds because  $(t - 1)k - \binom{t}{r} \geq (t - 1)\binom{t-1}{r-1} - \frac{t}{r}\binom{t-1}{r-1} \geq 0$ .

**Case 2.** Each component of  $H - X$  has at least two vertices.

Let  $H_1$  be a component of  $H - X$  and  $H_2 = H - V(H_1)$ . By Lemma 2.2, both  $H_1$  and  $H_2$  are  $k$ -edge-maximal. Assume  $n_1 = |V(H_1)|$  and  $n_2 = |V(H_2)|$ . Then  $n_1 + n_2 = n$ . Since each edge contains  $r$  vertices, we have  $n_1, n_2 \geq r$ . By Lemma 2.3, we have  $n_1, n_2 \geq t$ . By induction assumption, we have  $|E(H_i)| \geq (n_i - 1)k - ((t - 1)k - \binom{t}{r})\lfloor \frac{n_i}{t} \rfloor$  for  $i \in \{1, 2\}$ . Thus

$$\begin{aligned} |E(H)| &= |E(H_1)| + |E(H_2)| + k \\ &\geq (n_1 - 1)k - ((t - 1)k - \binom{t}{r})\left\lfloor \frac{n_1}{t} \right\rfloor + (n_2 - 1)k - ((t - 1)k - \binom{t}{r})\left\lfloor \frac{n_2}{t} \right\rfloor + k \\ &= (n - 1)k - ((t - 1)k - \binom{t}{r})\left(\left\lfloor \frac{n_1}{t} \right\rfloor + \left\lfloor \frac{n_2}{t} \right\rfloor\right) \\ &\geq (n - 1)k - ((t - 1)k - \binom{t}{r})\left\lfloor \frac{n_1 + n_2}{t} \right\rfloor \end{aligned}$$

$$=(n-1)k - ((t-1)k - \binom{t}{r}) \lfloor \frac{n}{t} \rfloor.$$

The theorem thus holds. □

**Definition 4.1.** Let  $k, t, r$  be integers such that  $t > r > 2$ ,  $k = \binom{t-1}{r-1}$  and  $kr \geq 2t$ . Assume  $n = st$ , where  $s \geq 2$ . For any tree  $T$  with  $V(T) = \{v_1, \dots, v_s\}$ , we define a family of  $r$ -uniform hypergraphs  $\mathcal{N}(T)$  as follows. Firstly, we replace each  $v_i$  by a complete  $r$ -uniform hypergraph  $K_t^r(i)$  with  $t$  vertices. Then whenever there is an edge  $v_i v_j \in E(T)$ , we add a set  $E_{ij}$  of  $k$  edges with cardinality  $r$  such that (i)  $e \subseteq V(K_t^r(i)) \cup V(K_t^r(j))$ ,  $e \cap V(K_t^r(i)) \neq \emptyset$  and  $e \cap V(K_t^r(j)) \neq \emptyset$  for any  $e \in E_{ij}$ , and (ii) each vertex in  $V(K_t^r(i)) \cup V(K_t^r(j))$  is incident with some edge in  $E_{ij}$  (we can do this because  $kr \geq 2t$ ).

**Theorem 4.2.** If  $H \in \mathcal{N}(T)$ , then  $H$  is a  $k$ -edge-maximal  $r$ -uniform hypergraph.

*Proof.* By definition,  $\bar{\kappa}'(H) \leq k$ . We will prove the theorem by induction on  $s$ . If  $s = 2$ , then  $|V(H)| = 2t$  and  $\delta(H) \geq \binom{t-1}{r-1} + 1 = k + 1$ . Since  $K_t^r(1)$  and  $K_t^r(2)$  are super-edge-connected and  $\delta(H) \geq \binom{t-1}{r-1} + 1 = k + 1$ , each edge-cut of  $H$  except for  $E_H[V(K_t^r(1), V(K_t^r(2))]$  has cardinality at least  $k + 1$ . For any  $e \in E(H^c)$ , we have  $e \in E_{H^c}[V(K_t^r(1), V(K_t^r(2))]$ . Thus every edge-cut of  $H + e$  has cardinality at least  $k + 1$ , that is,  $\kappa'(H + e) \geq k + 1$ . This shows  $\bar{\kappa}'(H + e) \geq \kappa'(H + e) \geq k + 1$ , and thus  $H$  is  $k$ -edge-maximal.

Now suppose  $s \geq 3$ . We assume that each hypergraph constructed in Definition 4.1 with less than  $st$  vertices is  $k$ -edge-maximal. In the following, we will show that each  $H$  in  $\mathcal{N}(T)$  with  $st$  vertices is also  $k$ -edge-maximal.

By contradiction, assume that there is an edge  $e \in E(H^c)$  such that  $\bar{\kappa}'(H + e) \leq k$ . Let  $E_{H+e}[X, V(H) \setminus X]$  be an edge-cut in  $H + e$  with cardinality at most  $k$ . Since  $K_t^r(i)$  is super-edge-connected for  $1 \leq i \leq s$  and  $\delta(H) \geq k + 1$ , edge-cuts in  $H$  with cardinality at most  $k$  are these  $E_{ij}$ , where  $v_i v_j \in E(T)$ . Thus  $E_{H+e}[X, V(H) \setminus X] = E_{ij}$  for some  $1 \leq i, j \leq s$  with  $v_i v_j \in E(T)$ . Then  $e \in E_{H+e}(H_i + e)$ , where  $H_i$  is a component of  $H - E_{ij}$ . Since  $H_i \in \mathcal{N}(T_i)$ , where  $T_i$  is a components of  $T - v_i v_j$ , by induction assumption,  $H_i + e$  contains a subhypergraph  $H'$  with  $\kappa'(H') \geq k + 1$ . But  $H'$  is also a subhypergraph of  $H + e$ , contrary to  $\bar{\kappa}'(H + e) \leq k$ . □

For any  $H \in \mathcal{N}(T)$ , we have  $|E(H)| = (n-1)k - ((t-1)k - \binom{t}{r}) \lfloor \frac{n}{t} \rfloor$ . By Theorem 4.2,  $H$  is  $k$ -edge-maximal. Thus, the lower bound given in Theorem 4.1 is best possible.

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