



Note

Bounding  $\ell$ -edge-connectivity in edge-connectivityXiaoxia Lin<sup>a</sup>, Keke Wang<sup>b</sup>, Meng Zhang<sup>c</sup>, Hong-Jian Lai<sup>d,\*</sup><sup>a</sup> Teachers College, Jimei University, Xiamen, Fujian 361021, China<sup>b</sup> Department of Mathematics, Embry-Riddle Aeronautical University, Prescott, AZ 86301, USA<sup>c</sup> Department of Mathematics, University of North Georgia-Oconee, Watkinsville, GA 30677, USA<sup>d</sup> Department of Mathematics, West Virginia University, Morgantown, WV 26506, USA

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## ABSTRACT

For a connected graph  $G$ , let  $\kappa'(G)$  be the edge-connectivity of  $G$ . The  $\ell$ -**edge-connectivity**  $\kappa'_\ell(G)$  of  $G$  with order  $n \geq \ell$  is the minimum number of edges that are required to be deleted from  $G$  to produce a graph with at least  $\ell$  components. It has been observed that while both  $\kappa'(G)$  and  $\kappa'_\ell(G)$  are related edge connectivity measures. In general,  $\kappa'_\ell(G)$  cannot be upper bounded by a function of  $\kappa'(G)$ . Let  $\bar{\kappa}'(G) = \max\{\kappa'(H) : H \subseteq G\}$  be the maximum subgraph edge-connectivity of  $G$ . We prove that for integers  $k', k$  and  $\ell$  with  $k' \geq k \geq 1$  and  $\ell \geq 2$ , each of the following holds.

- (i)  $\sup\{\kappa'_\ell(G) : \kappa'(G) = k, \bar{\kappa}'(G) = k'\} = k + (\ell - 2)k'$ .
- (ii)  $\inf\{\kappa'_\ell(G) : \kappa'(G) = k, \bar{\kappa}'(G) = k'\} = \frac{k\ell}{2}$ .

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## 1. The problem

Graphs in this paper are finite and loopless. Undefined terms and notations can be found in [2]. For a graph  $G$ ,  $c(G)$  denotes the number of components of  $G$ . We write  $H \subseteq G$  to mean  $H$  is a subgraph of  $G$ . For vertex subsets  $S$  and  $S'$  of a graph  $G$ , define

$$[S, S']_G = \{uv \in E(G) : u \in S, \& v \in S'\}.$$

When  $G$  is understood from the context, we often use  $[S, S']$  for  $[S, S']_G$ . Define  $\partial_G(S) = [S, V(G) - S]$ , and when  $S = \{v\}$ , we often write  $\partial_G(v)$  for  $\partial_G(\{v\})$ . If  $H$  is a non-empty non-spanning subgraph of  $G$ , we often use  $\partial_G(H)$  for  $\partial_G(V(H))$ . An **edge-cut** of a (not necessarily connected) graph  $G$  is an edge subset of the form  $\partial_G(S)$ , for some nonempty proper subset  $S$  of  $V(G)$ . A minimal edge-cut of  $G$  is called a **bond**. The **edge-connectivity**  $\kappa'(G)$  of a connected graph  $G$  is the minimum cardinality of an edge-cut of  $G$ .

Matula [8] initiated the study of edge-connectivity of subgraphs. He defined

$$\bar{\kappa}'(G) = \max_{H \subseteq G} \kappa'(H),$$

and considered  $\bar{\kappa}'(G)$  as a useful tool to investigate the cohesiveness of a network when modeled as a graph. Matula published a number of papers on the cohesiveness of networks, as seen in [8–10]. The extremal properties related to  $\bar{\kappa}'(G)$  were investigated by Mader and others, which can be found in [5–7], among others. More generally, let  $f(G)$  denote

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a density measure of  $G$ , one can define  $\bar{f}(G) = \max \{f(H) : H \text{ is a subgraph of } G\}$ . As indicated in [4], for certain network topology measures  $f$ , a network modeled as a graph  $G$  with  $f(G) = \bar{f}(G)$  is considered as uniformly dense in the measure  $f$ , and is of particular interest to be investigated. Thus we define a graph  $G$  to be **edge-uniformly dense** if  $\kappa'(G) = \bar{\kappa}'(G)$ .

For an integer  $\ell \geq 2$ , Boesch and Chen [1] defined the  $\ell$ -**edge-connectivity**  $\kappa'_\ell(G)$  of a connected graph  $G$  of order  $n \geq \ell$  to be the minimum number of edges that are required to be deleted from  $G$  to produce a graph with at least  $\ell$  components. As when  $\ell = 2$ ,  $\kappa'_2(G) = \kappa'(G)$ , the edge-connectivity of  $G$ , the notion  $\kappa'_\ell(G)$  is often considered as a generalization of edge-connectivity. Boesch and Chen proved the following.

**Theorem 1.1** (Boesch and Chen [1]).  $\kappa'_\ell(K_n) = \frac{(\ell-1)(2n-\ell)}{2}$ .

There have been quite a few studies on the behavior of  $\kappa'_\ell(G)$ , as seen in Oellermann's survey [11]. The following example indicates that, in general,  $\kappa'_\ell(G)$  cannot be bounded above by a function of  $\kappa'(G)$ .

**Example 1.2.** Let  $k, \ell, n$  be positive integers with  $n \geq k + \ell \geq k + 2$ , and let  $G := G(n, \ell, k)$  be a graph obtained from the complete graph  $K_{n-1}$  by adding a new vertex  $v_0 \notin V(K_{n-1})$  and by adding  $k$  new edges joining  $v_0$  to  $k$  distinct vertices in  $K_{n-1}$ . As  $n \geq k + \ell$ , it is routine to verify that  $\kappa'(G) = k$ . Suppose that  $X \subseteq E(G)$  is an edge subset such that  $G - X$  has  $\ell$  components  $G_1, G_2, \dots, G_\ell$  with  $\kappa'_\ell(G) = |X|$ . By symmetry, we assume that  $v_0 \in V(G_1)$ . If  $V(G_1) = v_0$ , then  $\partial_G(v_0) \subseteq X$  and  $X' := X - \partial_G(v_0)$  is an edge subset of  $K_{n-1}$  with  $|X'| \geq \kappa'_{\ell-1}(K_{n-1})$ . In this case, by Theorem 1.1,

$$|X| = |\partial_G(v_0)| + |X'| \geq k + \frac{(\ell - 2)(2n - \ell + 1)}{2}.$$

If  $|V(G_1)| \geq 2$ , then we may assume that  $\partial_G(v_0) \cap X = \emptyset$ , and so  $X \subseteq E(K_{n-1})$  with  $|X| \geq \kappa'_\ell(K_{n-1})$ . Thus by Theorem 1.1,

$$\kappa'_\ell(G) = |X| \geq \min \left\{ k + \frac{(\ell - 2)(2n - \ell + 1)}{2}, \frac{(\ell - 1)(2n - \ell - 2)}{2} \right\}.$$

Since the number  $n$  can be arbitrarily large, we conclude that  $\kappa'_\ell(G)$  cannot be bounded by a function of  $\kappa'(G)$ .

As uniformly dense networks are of application importance (see [4]), it is of interest to study whether  $\kappa'_\ell(G)$  can be bounded by a function of  $\kappa'(G)$  among all edge uniformly dense graphs. To investigate this problem, for given integers  $\ell$  and  $k$ , and a family  $\mathcal{F}$  of connected graphs with order at least  $\ell$ , we define

$$\Phi(\ell, k; \mathcal{F}) = \sup\{\kappa'_\ell(G) : G \in \mathcal{F}, \kappa'(G) = k\} \text{ and } \phi(\ell, k; \mathcal{F}) = \inf\{\kappa'_\ell(G) : G \in \mathcal{F}, \kappa'(G) = k\}.$$

The main result of this paper is the following.

**Theorem 1.3.** Let  $\ell$  and  $k$  be integers with  $\ell > 1$  and  $k \geq 1$ , and  $\mathcal{G}^u$  be the family of all edge-uniformly dense graphs of order at least  $\ell$ . Each of the following holds.

- (i)  $\Phi(\ell, k; \mathcal{G}^u) = (\ell - 1)k$ .
- (ii)  $\phi(\ell, k; \mathcal{G}^u) = \frac{k\ell}{2}$ .

In order to prove Theorem 1.3, we take a slightly more general approach to relax the edge-uniformly dense constraint by allowing bounded value of maximum subgraph edge-connectivity. Let  $\ell, k, k'$  be integers with  $\ell \geq 2$  and  $k' > k \geq 1$  and  $\mathcal{G}_{k'}$  denote the family of all connected graphs with order at least  $\ell$  such that every graph  $G \in \mathcal{G}_{k'}$  satisfies  $\bar{\kappa}'(G) = k'$  and  $\kappa'(G) = k$ . As when  $k = k'$ , we have  $\mathcal{G}_k = \mathcal{G}^u$ , Theorem 1.3 will be the special case of Theorem 1.4 when  $k = k'$ .

**Theorem 1.4.** Let  $\ell$  and  $k$  be integers with  $\ell \geq 2$  and  $k \geq 1$ , and  $\mathcal{G}_\ell$  be the family of all connected graphs of order at least  $\ell$ . Each of the following holds.

- (i)  $\Phi(\ell, k; \mathcal{G}_{k'}) = k + (\ell - 2)k'$ .
- (ii)  $\phi(\ell, k; \mathcal{G}_{k'}) = \frac{k\ell}{2}$ .

## 2. Proofs of the main results

A graph  $G$  is a trivial graph if it has at least one vertex and is edgeless. Throughout the rest of this paper, we let  $k', k, \ell$  be integers with  $k' \geq k > 0$  and  $\ell \geq 2$ . While it is known that  $\kappa'(G) = \kappa'_2(G)$ , we will continue using  $\kappa'(G)$  instead of  $\kappa'_2(G)$  in our discussions.

**Lemma 2.1.** Let  $G$  be a connected graph. Then for any subgraph  $H$  of  $G$  with  $|V(H)| \geq \ell$ , we have  $(\ell - 2)\bar{\kappa}'(G) + \kappa'(H) \geq \kappa'_\ell(H)$ .

**Proof.** Let  $H$  be a subgraph of  $G$  with  $|V(H)| \geq \ell$ . Pick a minimum edge-cut  $Z_1$  of  $H$ . Assuming that for a fixed  $j$  with  $1 \leq j < \ell - 1$ ,  $Z_j$  has been found. Then as  $|V(H)| \geq \ell$ , at least one component of  $H - (\cup_{i=1}^j Z_i)$  is nontrivial. Fix one nontrivial component of  $H - (\cup_{i=1}^j Z_i)$  and let  $Z_{j+1}$  be a minimum edge-cut of this nontrivial component. Thus we have generated a sequence  $(Z_1, Z_2, \dots, Z_{\ell-1})$  of subsets of  $H$ , such that  $|Z_1| = \kappa'(H)$  and for each  $i$  with  $2 \leq i \leq \ell - 1$ ,  $|Z_i| \leq \bar{\kappa}'(H) \leq \bar{\kappa}'(G)$ . Furthermore, by our choices of the  $Z_i$ 's,  $H - (\cup_{i=1}^{\ell-1} Z_i)$  has exactly  $\ell$  components. Thus  $(\ell - 2)\bar{\kappa}'(G) + \kappa'(H) \geq \sum_{i=1}^{\ell-1} |Z_i| \geq \kappa'_\ell(H)$ , which leads to Lemma 2.1. ■

**Proposition 2.2** (Zhang et al. Theorem 2.5 of [12]). Suppose that  $G$  is a connected graph with  $|V(G)| \geq \ell$ . Then

$$\kappa'_\ell(G) \geq \frac{\ell}{2} \kappa'(G).$$

**Proof.** Let  $X$  be an edge subset of  $E(G)$  such that  $G - X$  has components  $G_1, G_2, \dots, G_\ell$  with  $|X| = \kappa'_\ell(G)$ . Thus for each  $i$  with  $1 \leq i \leq \ell$ ,  $|\partial_G(V(G_i))| \geq \kappa'(G)$  and  $2\kappa'_\ell(G) = 2|X| = \sum_{i=1}^\ell |\partial_G(V(G_i))| \geq \ell \kappa'(G)$ . This proves the proposition. ■

By combining Lemma 2.1 (with  $H = G$ ) and Proposition 2.2, we conclude that for any connected graph  $G$  with  $|V(G)| \geq \ell$ ,

$$(\ell - 2)\bar{\kappa}'(G) + \kappa'(G) \geq \kappa'_\ell(G) \geq \frac{\ell}{2} \kappa'(G). \tag{1}$$

2.1. Proof of Theorem 1.4(i)

To prove Theorem 1.4(i), we shall show, for given integers  $k' \geq k \geq 1$  and  $\ell \geq 2$ , the existence of infinitely many graphs  $G$  with  $\kappa'(G) = k$ , and  $\bar{\kappa}'(G) = k'$  such that the upper bound in (1) will be reached. In this subsection, we shall construct an infinite family of graphs satisfying the expected edge-connectivity constraints and reaching the upper bound in (1), which implies Theorem 1.4(i). The following is the main result.

**Proposition 2.3.** For any integers  $k', k, \ell$  with  $\ell \geq k' + 1 > 2$  and  $k' \geq k$ , there exists an infinite graph family  $\mathcal{F}_1 = \mathcal{F}_1(\ell, k', k)$  such that for any graph  $H \in \mathcal{F}_1$ , each of the following holds.

- (i) (Example 1 and Theorem 1 of [5]) If  $k' = k$ , then  $H$  is edge-uniformly dense with  $\kappa'(H) = k$ .
- (ii)  $\bar{\kappa}'(H) = k'$  and  $\kappa'(H) = k$ .
- (iii)  $\kappa'_\ell(H) = (\ell - 2)\bar{\kappa}'(H) + \kappa'(H)$ .

**Proof.** We are to construct this family of graphs to justify Proposition 2.3. For an integer  $m \geq 1$ , define  $mG$  to be the disjoint union of  $m$  copies of  $G$ . Hence  $1G = G$ . Following [2], for two vertex disjoint graphs  $G, G'$ , let  $G \vee G'$  denote the **join** of  $G$  and  $G'$ , which is a graph with vertex set  $V(G) \cup V(G')$  and edge set  $E(G) \cup E(G') \cup \{uv : u \in V(G), v \in V(G')\}$ . Extending a graph construction idea in [5], we construct the following graph family. For any integers  $k', k$  and  $n$  with  $n > k + 1$ , let  $H_0 \cong K_{k'}$  be a complete graph with vertex set  $V(H_0) = \{v_1, v_2, \dots, v_{k'}\}$  and let the vertex set of  $(n - k)K_1$  be  $W := \{w_1, w_2, \dots, w_{n-k}\}$ . Define

$$H(k'; n - k) = (H_0 \vee (n - k)K_1) - \{w_1 v_j : k + 1 \leq j \leq k'\}. \tag{2}$$

When  $k' = k$ ,  $H(k'; n - k)$  is precisely the same graph  $H(k, n - k)$  constructed in [5]. We are to prove (ii) and (iii) of the proposition. Define

$$N_0 = \max \{2\ell + k, \ell + 2k' + k, 5\ell + k - 7\}. \tag{3}$$

and  $\mathcal{F}_1 = \{H(k'; n - k) : k' \geq k, \ell \geq k + 1 > 2, n \geq N_0\}$ . To prove (ii), we assume that  $k' > k$  as otherwise we may turn to (i). Randomly pick a member  $H \in \mathcal{F}_1$ . By the definition of  $\mathcal{F}_1$ ,  $\partial_H(w_1)$  is the only edge cut in  $H$  of size  $k$ , and so  $\kappa'(H) = k$ . Now let  $H'$  be a subgraph of  $H$  with  $\bar{\kappa}'(H) = \kappa'(H')$ . If  $\kappa'(H') > k'$ , then as every vertex in  $W$  has degree at most  $k'$  in  $H$ , we conclude that  $V(H') \cap W = \emptyset$ . Hence  $H'$  is a subgraph of  $H_0$ , a complete graph of order  $k'$ . This implies that  $k' < \kappa'(H') \leq k' - 1$ , a contradiction. This implies that  $\bar{\kappa}'(H) = \kappa'(H') \leq k'$ . On the other hand,  $H$  contains  $K_{k'+1}$  as a subgraph, and so  $\bar{\kappa}'(H) \geq \kappa'(K_{k'+1}) \geq k'$ , implying that  $\bar{\kappa}'(H) = k'$ . This proves (ii).

It remains to prove (iii). Let  $X \subseteq E(H)$  be an edge subset such that  $H - X$  has at least  $\ell$  components and that  $|X| = \kappa'_\ell(H)$ . By (1), it suffices to show that

$$|X| \geq (\ell - 2)k' + k.$$

As  $|X| = \kappa'_\ell(H)$ ,  $H - X$  must have exactly  $\ell$  components  $H_1, H_2, \dots, H_\ell$ . Since  $\ell \geq k' + 1$  and  $|V(H_0)| = k'$ , without loss of generality, we may assume that  $V(H_1) \cap V(H_0) = \emptyset$ . This implies that  $V(H_1) \subseteq W$  and  $|V(H_1)| = 1$ . Therefore, there must be at least one of  $H_i$ 's that consists of only one vertex in  $W$ . Without loss of generality, we assume that for some integer  $s$  with  $1 \leq s \leq \ell$  such that

$$\text{every } H_j \text{ with } s \leq j \leq \ell \text{ consists of a single vertex in } W, \tag{4}$$

and the  $H_j$ 's ( $s \leq j \leq \ell$ ) are so labeled that

$$|\partial_H(V(H_s))| \geq |\partial_H(V(H_{s+1}))| \geq \dots \geq |\partial_H(V(H_\ell))|,$$

and that every  $H_{j'}$  with  $1 \leq j' \leq s - 1$  satisfies  $V(H_{j'}) \cap V(H_0) \neq \emptyset$ . Depending on whether an  $H_i$  contains a vertex in  $W$  or not, we further partitioned  $H_1, \dots, H_{s-1}$  into two parts and assume that there exists an integer  $s' < s$  such that

$$\text{for any } H_t \in \{H_1, \dots, H_{s'-1}\}, V(H_t) \cap W = \emptyset, \tag{5}$$

and

$$\text{for any } H_t \in \{H_{s'}, \dots, H_{s-1}\}, V(H_t) \cap W \neq \emptyset. \tag{6}$$

Thus for any  $j$  with  $1 \leq j \leq \ell$ ,  $\partial_H(V(H_j)) \subseteq X$ . By (2) and (4), we conclude that for any  $H_j$  with  $s \leq j \leq \ell$ ,

$$k \leq |\partial_H(V(H_\ell))| \leq k' = |\partial_H(V(H_j))|, \text{ where } s \leq j \leq \ell - 1. \tag{7}$$

By (5), for any  $j$  with  $1 \leq j \leq s' - 1$ ,  $H_j$  is a subgraph of  $H_0 \cong K_{k'}$ . By (2), every vertex in this  $H_j$  must be adjacent to every vertex in  $W$ , and so we conclude that for any  $H_j$  with  $1 \leq j \leq s' - 1$ ,

$$|\partial_H(V(H_j))| = |[V(H_j), W]_H| + |\partial_{H_0}(V(H_j))| = (n - k)|V(H_j)| + |\partial_{H_0}(V(H_j))|. \tag{8}$$

Fix an index  $j$  with  $s' \leq j \leq s - 1$ , let  $W_j = W \cap V(H_j)$ , and  $H'_j = H_j - W_j$ . Thus  $H'_j$  is a subgraph of  $H_0 \cong K_{k'}$ . By (2), we have, for any  $H_j$  with  $s' \leq j \leq s - 1$ ,

$$|\partial_H(V(H_j))| = |[W_j, V(H_0) - V(H'_j)]_H| + |\partial_{H_0}(V(H'_j))| + |[V(H'_j), W - W_j]_H|. \tag{9}$$

To estimate  $X$ , we set

$$X_1 = \bigcup_{j=s}^{\ell} \partial_H(V(H_j)), \tag{10}$$

$$X'_2 = \bigcup_{j=s'}^{s-1} [W_j, V(H_0) - V(H'_j)]_H \text{ and } X''_2 = \bigcup_{j=s'}^{s-1} \partial_{H_0}(V(H'_j)),$$

$$X'_3 = \bigcup_{j=1}^{s'-1} [V(H_j), W]_H \text{ and } X''_3 = \bigcup_{j=1}^{s'-1} \partial_{H_0}(V(H_j)),$$

$$X'' = X'_2 \cup X''_2.$$

Thus  $X = X_1 \cup X'_2 \cup X''_2 \cup X'_3 \cup X''_3$ . Note that some of these sets defined in (10) could be empty. Recall that  $\ell \geq s \geq 2$ . If  $s = 2$ , then  $H_1 = H_0 \cong K_k$  and so  $X'_3 = [V(H_1), W]_H = \partial_H(H_1)$ ,  $X'_2 = X''_2 = X''_3 = \emptyset$ . Thus  $X = X_1 = X'_3$ , and so by (7),  $\kappa'_\ell(H) = |X| \geq (\ell - 2)k' + k$ . This, together with (1), implies (iii). Hence in the following we always assume that  $s > 2$ .

By their definitions in (10),  $X_1$ ,  $X'_2$ , and  $X''$  are mutually edge-disjoint, and each of  $X_1$  and  $X'_2$  is an edge-disjoint union, and  $X'_3 \subseteq X_1 \cup X'_2$ , whereas  $X''$  is an edge subset of  $H_0 \cong K_{k'}$  such that  $H_0 - X''$  has  $s - 1$  components. This gives us a way to apply (7), (8), (9) and Theorem 1.1 to estimate  $X$ , as follows.

$$|X| = |X_1| + |X'_2| + |X''| \tag{11}$$

$$\begin{aligned} &\geq \sum_{j=s}^{\ell} |\partial_H(V(H_j))| + \sum_{j=s'}^{s-1} |[W_j, V(H_0) - V(H'_j)]_H| + \kappa'_{s-1}(K_{k'}) \\ &\geq (\ell - s)k' + k + \epsilon + \sum_{j=s'}^{s-1} |W_j| \cdot |V(H_0) - V(H'_j)| + \frac{(s - 2)(2k' - s + 1)}{2}, \end{aligned}$$

where

$$\epsilon = \begin{cases} k' - k & \text{if } |\partial_H(V(H_\ell))| = k' \\ 0 & \text{if } |\partial_H(V(H_\ell))| = k. \end{cases}$$

Let  $n' = \sum_{j=s'}^{s-1} |W_j|$ . Then by (2),  $n' = (n - k) - (\ell - s + 1)$ . Without loss of generality, we may assume that

$$|V(H_{s'})| \geq |V(H'_{s'+1})| \geq \dots \geq |V(H'_{s-1})|.$$

Suppose first that  $|V(H'_{s'})| \leq \frac{k'}{2}$ . Then for any  $j$  with  $s' \leq j \leq s - 1$ , we have  $|V(H_0) - V(H'_j)| = k' - |V(H'_j)| \geq \frac{k'}{2}$ . By (3), we have  $n \geq 2\ell + k$ . This, together with  $\ell \geq s > 2$ , implies that  $n' = n - k - \ell + s - 1 \geq 2s - 2$ . Hence by (11), we have

$$\begin{aligned} |X| &\geq (\ell - s)k' + k + \epsilon + \sum_{j=s'}^{s-1} |W_j| \cdot |V(H_0) - V(H'_j)| + \frac{(s - 2)(2k' - s + 1)}{2} \\ &\geq (\ell - s)k' + k + \epsilon + \frac{k'}{2} \sum_{j=s'}^{s-1} |W_j| + \frac{(s - 2)(2k' - s + 1)}{2} \\ &= (\ell - s)k' + k + \epsilon + \frac{k'n'}{2} + \frac{(s - 2)(2k' - s + 1)}{2} \end{aligned}$$

$$\begin{aligned} &\geq (\ell - s)k' + k + \epsilon + \frac{k'(2s - 2)}{2} + \frac{(s - 2)(2k' - s + 1)}{2} \\ &> (\ell - s)k' + k + \epsilon + k'(s - 1) + \frac{(s - 2)(2k' - s + 1)}{2} > (\ell - 2)k' + k. \end{aligned}$$

Hence in the following, we may assume that  $|V(H_{s'})| > \frac{k'}{2}$ .

**Case 2.4.**  $|W_{s'}| \geq \frac{n'}{2}$ .

By (2), every vertex in  $W_{s'}$  is adjacent to every vertex in  $V(H_0) - V(H_{s'})$ . As  $H_1, H_2, \dots, H_{s'-1}, H_{s'+1}, \dots, H_{s-1}$  are vertex disjoint subgraphs of  $H_0$ , it follows that

$$|V(H_0) - V(H_{s'})| = \sum_{j=1}^{s'-1} |V(H'_j)| + \sum_{j=s'+1}^{s-1} |V(H'_j)| \geq s - 2.$$

By (11) and by (3), we have  $n \geq 2k' + k + \ell$ , and so  $n' \geq 2k'$ .

$$\begin{aligned} |X| &\geq (\ell - s)k' + k + \epsilon + \sum_{j=s'}^{s-1} |W_j| \cdot |V(H_0) - V(H'_j)| + \frac{(s - 2)(2k' - s + 1)}{2} \\ &\geq (\ell - s)k' + k + \epsilon + |W_{s'}| \cdot |V(H_0) - V(H_{s'})| + \frac{(s - 2)(2k' - s + 1)}{2} \\ &\geq (\ell - s)k' + k + \epsilon + \frac{n'}{2}(s - 2) + \frac{(s - 2)(2k' - s + 1)}{2} \\ &= (\ell - s)k' + k + \epsilon + \frac{2k'}{2}(s - 2) + \frac{(s - 2)(2k' - s + 1)}{2} \\ &> (\ell - s)k' + k + \epsilon + (s - 2)k' \geq (\ell - 2)k' + k. \end{aligned}$$

**Case 2.5.**  $|W_{s'}| < \frac{n'}{2}$ .

Since  $|V(H_{s'})| > \frac{k'}{2}$ , it follows that for any  $j$  with  $s' + 1 \leq j \leq s - 1$ ,  $|V(H'_j)| \leq \sum_{i=s'+1}^{s-1} |V(H'_i)| = |V(H_0)| - |V(H_{s'})| < \frac{k'}{2}$ . As  $|W_{s'}| < \frac{n'}{2}$ , we have  $\sum_{j=s'+1}^{s-1} |W_j| = n' - |W_{s'}| > \frac{n'}{2}$ . By (3),  $n \geq 5\ell + k - 7$  and so  $n' = n - k - \ell + s - 1 \geq 4\ell - 8 \geq 4(s - 2)$ . Thus by (11), we have

$$\begin{aligned} |X| &\geq (\ell - s)k' + k + \epsilon + \sum_{j=s'}^{s-1} |W_j| \cdot |V(H_0) - V(H'_j)| + \frac{(s - 2)(2k' - s + 1)}{2} \\ &> (\ell - s)k' + k + \epsilon + \frac{k'}{2} \sum_{j=s'+1}^{s-1} |W_j| = (\ell - s)k' + k + \epsilon + \frac{k'(n' - |W_{s'}|)}{2} \\ &> (\ell - s)k' + k + \epsilon + \frac{kn'}{4} \geq (\ell - s)k' + k + k'(s - 2) = (\ell - 2)k' + k. \end{aligned}$$

As we always have  $|X| \geq (\ell - 2)k' + k$ , by (1), we prove Proposition 2.3. ■

### 2.2. Proof of Theorem 1.4(ii)

To prove Theorem 1.4(ii), we shall show, for given integers  $k' \geq k \geq 1$  and  $\ell \geq 2$ , the existence of infinitely many graphs  $G$  with  $\kappa'(G) = k$ , and  $\bar{\kappa}'(G) = k'$  such that the lower bound in (1) will be reached. Following [3], we introduce circulant graphs and some definitions for constructing graphs to be used in our arguments.

**Definition 2.6.** Let  $\ell, n$  be integers with  $\ell \geq 2$  and  $n > 1$  and denote the additive cyclic group as  $\mathbb{Z}_n = \{0, 1, 2, \dots, n - 1\}$ .

(i) Let  $S \subseteq \mathbb{Z}_n - \{0\}$  be a subset such that for an element  $a \in \mathbb{Z}_n$ ,  $a \in S$  if and only if  $-a \in S$ , where  $-a$  is the additive inverse of  $a$ . Define the **circulant graph**  $C(\mathbb{Z}_n, S)$  to be the graph with vertex set  $\mathbb{Z}_n$ , where  $ij \in C(\mathbb{Z}_n, S)$  if and only if  $i - j \in S$ . The set  $S$  is called its **connection set**. Using the definition of Cayley graphs in [3], circulant graphs are Cayley graphs.

(ii) Let  $G$  and  $J$  be vertex disjoint graphs and let  $v \in V(G)$  be a vertex which is adjacent to (not necessarily distinct) vertices  $v_1, v_2, \dots, v_d$  with edges  $e_i = vv_i$ ,  $1 \leq i \leq d$ . Let  $u_1, u_2, \dots, u_d$  be (not necessarily distinct) vertices in  $J$ . Define a graph  $G(v; J)$  from the disjoint union of  $(G - v) \cup J$  by adding edges  $\{u_i v_i : 1 \leq i \leq d\}$ . As the choices of  $u_i$ 's and  $v_j$ 's are not unique,  $G(v; J)$  represents a family of graphs. For simplicity, we shall use  $G(v; J)$  to denote any graph in the family, and say that we blow up the vertex  $v$  to the graph  $J$ .

(iii) Let  $G$  be a graph with distinct vertices  $z_1, z_2, \dots, z_\ell$ , and let  $J_1, J_2, \dots, J_\ell$  be mutually vertex disjoint graphs, each of which is also disjoint from  $G$ . Let  $G(z_1, z_2, \dots, z_\ell; J_1, J_2, \dots, J_\ell)$  denote the family of graphs obtained by, for each  $i$  with  $1 \leq i \leq \ell$ , blowing up the vertex  $z_i$  to the graph  $J_i$ . When there is no need to emphasize the vertices  $z_1, z_2, \dots, z_\ell$ , we often use  $G(J_1, J_2, \dots, J_\ell)$  for  $G(z_1, z_2, \dots, z_\ell; J_1, J_2, \dots, J_\ell)$ . For notational simplicity, we shall use  $G(J_1, J_2, \dots, J_\ell)$  to denote any member in the family.

To prove [Theorem 1.4\(ii\)](#), we need a few more tools for the construction of the needed graph families. A graph  $G$  is **vertex transitive** if the automorphism group of  $G$  acts transitively on  $V(G)$ .

**Lemma 2.7.** *Let  $G$  be a connected graph. Each of the following holds.*

- (i) ([Theorem 3.1.2](#), [3]) *Cayley graphs are vertex transitive. As circulant graphs are Cayley graphs, all circulant graphs are vertex transitive.*
- (ii) ([Lemma 3.3.3](#), [3]) *If  $G$  is vertex transitive, then  $G$  is a regular graph with  $\kappa'(G) = \delta(G)$ .*
- (iii) *Every vertex transitive graph is edge-uniformly dense.*
- (iv) *Suppose that  $G$  and  $J$  are two vertex disjoint edge-uniformly dense graphs with  $\kappa'(G) = \kappa'(J) = k$ . Then  $G(v; J)$  is also edge-uniformly dense with  $\kappa'(G(v; J)) = k$ .*
- (v) *Suppose that  $G, J_1, J_2, \dots, J_\ell$  are mutually vertex disjoint edge-uniformly dense graphs with  $V(G) = \{u_1, u_2, \dots, u_n\}$  such that  $n \geq \ell$ , and let  $j$  be an integer with  $1 \leq j \leq \ell$ . If  $\kappa'(G) = \kappa'(J_1) = \dots = \kappa'(J_j) = k$ , then  $G(u_1, u_2, \dots, u_j; J_1, J_2, \dots, J_j)$  is also edge-uniformly dense with  $\kappa'(G(u_1, u_2, \dots, u_j; J_1, J_2, \dots, J_j)) = k$ .*

**Proof.** Let  $G$  be a vertex transitive graph with  $d = \kappa'(G)$ . Then  $G$  is  $d$ -regular. Let  $H \subseteq G$  be a subgraph with  $\bar{\kappa}'(G) = \kappa'(H)$ . If  $H = G$ , then  $G$  is edge-uniformly dense. Assume that  $H$  is a proper subgraph of  $G$ . Since  $G$  is connected and  $d$ -regular,  $\kappa'(H) \leq \delta(H) < d$ , and so  $\kappa'(G) \leq \bar{\kappa}'(G) = \kappa'(H) < d = \kappa'(G)$ , a contradiction. Thus  $G$  must be edge-uniformly dense. This justifies (iii).

Now suppose that  $G$  and  $J$  are edge-uniformly dense with  $\kappa'(G) = \kappa'(J) = k$ , and let  $v \in V(G)$  be a vertex. First we explain that  $\kappa'(G(v; J)) = \kappa'(G)$ . Observe that  $\kappa'(G(v; J)) \leq \kappa'(G(v; J)/J) = \kappa'(G) = k$ . Thus to show  $\kappa'(G(v; J)) = \kappa'(G)$ , it suffices to show that every edge cut of  $G(v; J)$  has size at least  $k$ . Let  $X$  be a minimal edge cut of  $G(v; J)$ . If  $X \cap E(J) = \emptyset$ , then  $X \subseteq E(G)$  is an edge cut of  $G$ , whence  $|X| \geq k$ . Now assume that  $X \cap E(J) \neq \emptyset$ . Since  $X$  is minimal,  $X \cap E(J)$  must be an edge cut of  $J$ , and so  $|X| \geq |X \cap E(J)| \geq \kappa'(J) = k$ . Thus we must have  $\kappa'(G(v; J)) = \kappa'(G) = k$ .

Next, we shall show that  $\bar{\kappa}'(G(v; J)) = \kappa'(G) = k$ . Suppose that  $H$  is a subgraph of  $G(v; J)$  with  $\bar{\kappa}'(G(v; J)) = \kappa'(H)$ . If  $E(H) \cap E(J) = \emptyset$ , then  $H$  is a subgraph of  $G(v; J)/J = G$ . As  $G$  is edge-uniformly dense, we have  $\bar{\kappa}'(G(v; J)) = \kappa'(H) \leq \kappa'(G(v; J)/J) = \kappa'(G)$ . Thus we may assume that  $E(H) \cap E(J) \neq \emptyset$ . Let  $J_1, J_2, \dots, J_\ell$  be the connected components of the edge induced subgraph  $J[E(H) \cap E(J)]$ .

If  $\ell \geq 2$ , then add a set  $W$  of new edges that connects the connected components  $J_1, J_2, \dots, J_\ell$  so that, in the graph  $H + W$  obtained by adding the edges in  $W$  to  $H$ ,  $(H + W)[W \cup (\cup_{i=1}^\ell E(J_i))]$  is a connected graph. (If  $\ell = 1$ , then let  $W = \emptyset$ .) As we are adding edges to  $H$ , we have  $\kappa'(H + W) \geq \kappa'(H)$ . By definition, we have  $(H + W)[W \cup (\cup_{i=1}^\ell E(J_i))] = (H \cup J)/J$ , which is a subgraph of  $G(v; J)/J = G$ . It follows that

$$k = \bar{\kappa}'(G) \geq \kappa'((H \cup J)/J) \geq \kappa'((H + W)[W \cup (\cup_{i=1}^\ell E(J_i))]) \geq \kappa'(H + W) \geq \kappa'(H).$$

Since  $\kappa'(H) = \bar{\kappa}'(G(v; J)) \geq \kappa'(G(v; J)) = \kappa'(G) = k$ , we conclude that we always have  $\bar{\kappa}'(G(v; J)) = \kappa'(G) = k$ . This proves (iv).

The conclusion (v) follows from [Definition 2.6](#) and [Lemma 2.7\(iv\)](#), arguing by induction on  $j$ . ■

**Lemma 2.8.** *Suppose that  $h$  and  $k$  are two integers with  $h > k > 0$ , and  $G$  and  $J$  are two vertex disjoint graphs with  $k = \kappa'(G) \leq \bar{\kappa}'(G) \leq h$  and  $\kappa'(J) = h$ . Then each of the following holds.*

- (i)  $G(v; J)$  satisfies with  $\bar{\kappa}'(G(v; J)) \geq h$ .
- (ii) If  $J$  is uniformly dense, then  $\bar{\kappa}'(G(v; J)) = h$ .

**Proof.** By [Definition 2.6](#),  $J$  is a subgraph of  $G(v; J)$  and so  $\bar{\kappa}'(G(v; J)) \geq \kappa'(J) = h$ . Hence (i) holds. It suffices to show (ii). Let  $H$  be a subgraph of  $G(v; J)$  with  $\kappa'(H) = \bar{\kappa}'(G(v; J))$ . As  $\kappa'(J) = h$ , we may assume that  $H \neq J$ . Assume first that  $E(H) \cap E(J) = \emptyset$ . Then by [Definition 2.6](#),  $H$  is a subgraph of  $G(v; J)/J = G$ . Hence

$$h = \kappa'(J) \leq \bar{\kappa}'(G(v; J)) = \kappa'(H) \leq \bar{\kappa}'(G) \leq h,$$

forcing  $\bar{\kappa}'(G(v; J)) = h$ . Hence we assume that  $E(H) \cap E(J) \neq \emptyset$ . Let  $J_1, J_2, \dots, J_\ell$  denote the connected components of  $H[E(H) \cap E(J)]$ , the edge induced subgraph in  $H$ . Let  $W$  be a set of new edges such that in the graph  $H + W$  obtained by adding the edges in  $W$  to  $H$ ,  $(H + W)[W \cup (\cup_{i=1}^\ell E(J_i))]$  is a connected graph. Again we have

$$\kappa'(H) \leq \kappa'(H + W) \leq \kappa'((H + W)[W \cup (\cup_{i=1}^\ell E(J_i))]) = \kappa'((H \cup J)/J).$$

As  $(H \cup J)/J$  is a subgraph of  $G(v; J)/J = G$ , it follows that

$$h = \kappa'(J) \leq \bar{\kappa}'(G(v; J)) = \kappa'(H) \leq \kappa'((H \cup J)/J) \leq \bar{\kappa}'(G) \leq h,$$

and so we also have  $\bar{\kappa}'(G(v; J)) = h$ . This proves (ii). ■

Let  $\ell, k$  and  $k'$  be integers with  $\ell \geq 3$  and  $k' \geq k \geq 2$ , we are to construct a graph family  $\mathcal{G}(\ell, k', k)$  with some of the desirable properties to facilitate our justification for [Theorem 1.4\(ii\)](#).

**Example 2.9.** Suppose that  $\ell$  and  $k$  are given integers such that for some integer  $s > 1$ ,  $\ell = (k + 1)s$ . Let  $S \subseteq \mathbb{Z}_\ell$  be the subset  $S = \{s, 2s, \dots, (k - 1)s, ks\}$ . Then as  $\ell = (k + 1)s$ , for any  $a \in S$ , we also have  $-a \in S$ . Thus  $G = C(\mathbb{Z}_\ell, S)$  satisfies the following properties.

- (i)  $G$  is  $k$ -regular with  $\kappa'(G) = k$ .
- (ii)  $\kappa'_\ell(G) = \frac{k\ell}{2}$ .

**Proof.** By [Definition 2.6](#), the degree of vertex in  $G$  is equal to  $|S| = k$ . By [Lemma 2.7\(ii\)](#),  $G$  is a  $k$ -regular graph with  $\kappa'(G) = k$ . It remains to show (ii). Since  $|V(G)| = \ell$ , it follows that  $\kappa'_\ell(G) = |E(G)| = \frac{1}{2} \sum_{v \in V(G)} d_G(v) = \frac{k\ell}{2}$ . ■

**Lemma 2.10** (*Theorem 1 and Corollary 3 of [5]*). Let  $k \geq 2$  be an integer. For any integer  $n \geq k + 1$ , there exist edge-uniformly dense graphs  $H$  with  $|V(H)| = n$  and  $\kappa'(H) = \bar{\kappa}'(H) = k$ .

**Proposition 2.11.** Suppose that  $\ell, k'$  and  $k$  are given integers with  $k' \geq k \geq 1$  and  $\ell \geq 2$ . There exists an infinite family  $\mathcal{G}(\ell, k', k)$  of graphs such that for any  $H \in \mathcal{G}(\ell, k', k)$ , we have the following properties.

- (i)  $\kappa'(G) = k$  and  $\bar{\kappa}'(G) = k'$ .
- (ii)  $\kappa'_\ell(G) = \frac{k\ell}{2}$ .

**Proof.** Suppose that  $\ell, k'$  and  $k$  are given with the indicated relations. By [Example 2.9](#), there exists a graph  $C(\mathbb{Z}_\ell, S)$  such that it satisfies [Example 2.9\(i\)](#) and (ii) with

$$V(C(\mathbb{Z}_\ell, S)) = \{u_1, u_2, \dots, u_\ell\}.$$

Pick an integer  $j$  with  $1 \leq j \leq \ell$ . By [Lemma 2.10](#), there exist edge-uniformly dense graphs  $J_1, J_2, \dots, J_j$  such that  $\kappa'(J_i) = \bar{\kappa}'(J_i) = k$ , for each  $i$  with  $1 \leq i \leq j$ ; and edge-uniformly dense graphs  $J_{j+1}, J_{j+2}, \dots, J_\ell$  such that  $\kappa'(J_{i'}) = \bar{\kappa}'(J_{i'}) = k'$ , for each  $i'$  with  $j + 1 \leq i' \leq \ell$ . Define

$$G_1 = C(\mathbb{Z}_\ell, S)(u_1, u_2, \dots, u_j; J_1, J_2, \dots, J_j) \tag{12}$$

as in [Definition 2.6\(iii\)](#). By [Lemma 2.7\(v\)](#),  $G_1$  is edge-uniformly dense with  $\kappa'(G_1) = k$ . We can view  $u_{j+1}, \dots, u_\ell$  as vertices in  $G_1$ . Using the notation in [Definition 2.6](#), let

$$G = G_1(u_{j+1}, \dots, u_\ell; J_{j+1}, J_{j+2}, \dots, J_\ell). \tag{13}$$

By [Lemma 2.8](#) and arguing by induction on  $\ell - j$ , we conclude that  $\kappa'(G) = k$  and  $\bar{\kappa}'(G) = k'$ , and so  $G$  satisfies [Proposition 2.11\(i\)](#).

We shall show that  $G$  satisfies [Proposition 2.11\(ii\)](#). By [Definition 2.6\(iii\)](#), (12) and (13), we have

$$G/(J_1 \cup J_2 \cup \dots \cup J_\ell) = C(\mathbb{Z}_\ell, S).$$

Thus by [Example 2.9\(ii\)](#),  $\kappa'_\ell(G) \leq \kappa'_\ell(C(\mathbb{Z}_\ell, S)) = \frac{k\ell}{2}$ . By (1), we much have  $\kappa'_\ell(G) = \frac{k\ell}{2}$ , which implies [Proposition 2.11\(ii\)](#).

Let  $\mathcal{G}(\ell, k', k)$  denote the graph family of graphs  $G$  constructed in the steps above. Then every graph  $G \in \mathcal{G}(\ell, k', k)$  satisfies [Proposition 2.11\(i\)](#) and (ii). Hence [Proposition 2.11](#) follows. ■

By (1) and [Proposition 2.11](#), we conclude that [Theorem 1.4\(ii\)](#) must hold. This completes the proof of the theorem.

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