

## FINDING CRITICAL INDEPENDENT SETS AND CRITICAL VERTEX SUBSETS ARE POLYNOMIAL PROBLEMS\*

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**Abstract.** An independent set  $J_c$  of a graph  $G$  is called *critical* if

$$|J_c| - |N(J_c)| = \max \{ |J| - |N(J)| : J \text{ is an independent set of } G \},$$

and a vertex subset  $U_c$  is called *critical* if

$$|U_c| - |N(U_c)| = \max \{ |U| - |N(U)| : U \text{ is a vertex subset of } G \}.$$

In this paper, it will be shown that finding a critical independent set and a critical vertex subset of a graph are solvable in polynomial time.

**Key words.** independent set, polynomial algorithm

**AMS(MOS) subject classifications.** 05C35, 68R10

**1. Introduction.** It has been proved by mathematicians that finding a maximum independent set in certain kinds of graphs is solvable in polynomial time (for example, line graphs, bipartite graphs, circle graphs, circular arc graphs, and claw free graphs (see [GJ])), but it is well known that it is an NP-complete problem for general graphs (see [GJS]). In this paper, we will investigate another problem—finding a certain kind of independent set in general graphs. An independent set  $J_c$  of a graph  $G$  is called *critical* if  $|J_c| - |N(J_c)|$  is the maximum of  $|J| - |N(J)|$  over all independent sets  $J$  of  $G$ , where  $N(J)$  is the set of all vertices of  $G$  adjacent to some vertex of  $J$ . It will be proved in this paper that finding a critical independent set of a graph is solvable in polynomial time. Let

$$\alpha_c = \max \{ |J| - |N(J)| : J \text{ is an independent set of } G \},$$

which is a parameter of a graph  $G$  and is called the *critical independence number* of  $G$ . The critical independence number  $\alpha_c$  of a graph plays the central role in the study of fractional independence functions and fractional matching functions of graphs [GZ]. (It is proved in [GZ] that the fractional independence number and the fractional matching number of a graph  $G$  are  $(n - \alpha_c)/2$  and  $(n + \alpha_c)/2$ , respectively, where  $n = |V(G)|$ .)

Some related problems and parameters of graphs will also be investigated in this paper. A vertex subset  $U_c$  of a graph  $G = (V, E)$  is called *critical* if  $|U_c| - |N(U_c)|$  is the maximum of  $|U| - |N(U)|$  over all vertex subsets  $U$  of  $G$ . Let

$$\mu_c = \max \{ |U| - |N(U)| : U \text{ is a vertex subset of } G \}$$

which is a parameter of a graph  $G$ . Some similar parameters of graphs have been studied by Woodall [WD] and Mohar [MB]. The binding number  $b(G)$  [WD] and the isoperimetric number  $i(G)$  [MB] of a graph  $G$  are defined as the following:

$$b(G) = \min \left\{ \frac{|N(U)|}{|U|} : U \subset V(G), U \neq \emptyset \text{ and } N(U) \neq V(G) \right\};$$

$$i(G) = \min \left\{ \frac{|\partial(U)|}{|U|} : U \subset V(G), U \neq \emptyset \text{ and } |U| \leq \frac{|V(G)|}{2} \right\}$$

\* Received by the editors January 16, 1989; accepted for publication (in revised form) September 20, 1989.

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(where  $\partial(U)$  is the number of edges of  $G$  with one end vertex in  $U$ ). Later in this paper, it will be proved that  $\alpha_c = \mu_c$ .

Since the empty set is an independent set, and the set of all vertices of a graph  $G$  is also a vertex subset of  $G$ , it is trivial that

$$\alpha_c \geq 0 \quad \text{and} \quad \mu_c \geq 0$$

for any graph  $G$ . Note that the empty set and the entire graph are critical vertex subsets of some connected graph  $G$  if  $\mu_c(G) = 0$ . If we are to avoid these two trivial vertex subsets  $\emptyset$  and  $V(G)$ , we may consider the following parameter of a graph  $G$ :

$$\mu'_c = \max \{ |U| - |N(U)| : U \subset V(G), U \neq \emptyset \text{ and } U \neq V(G) \}.$$

But for any connected graph  $G$  and a vertex  $v$  of  $G$ , we have that  $N(V(G) \setminus \{v\}) = V(G)$ , and therefore the parameter  $\mu'_c(G)$  still has a lower bound  $-1$ . In order to get more information about graphs, we prefer to consider only those vertex subsets  $U$  of a graph  $G$  such that  $U \neq \emptyset$  and  $N(U) \neq V(G)$  which is similar to the definition of the binding number of graphs. A vertex subset  $U$  of  $G$  is called *proper* if  $U \neq \emptyset$  and  $N(U) \neq V(G)$ . A proper vertex subset  $U_{pc}$  of  $G$  is called *critical* if  $|U_{pc}| - |N(U_{pc})|$  is the maximum of  $|U| - |N(U)|$  over all proper vertex subsets  $U$  of  $G$ . The parameter  $\mu_{pc}$  of a graph  $G$  is defined as the following:

$$\mu_{pc} = \max \{ |U| - |N(U)| : U \subset V(G), U \neq \emptyset \text{ and } U \neq V(G) \}.$$

The problems that will be proved to be solvable in polynomial time are listed as the following.

INSTANCE. Let  $G = (V, E)$  be a graph with the vertex set  $V$  and the edge set  $E$  and  $k$  be an integer.

PROBLEM 1. Is there an independent set  $J$  of  $G$  such that

$$|J| - |N(J)| \geq k?$$

PROBLEM 1\*. Find a critical independent set  $J_c$  and the critical independence number  $\alpha_c$  of  $G$ . (That is, to find

$$\alpha_c = |J_c| - |N(J_c)| = \max \{ |J| - |N(J)| : J \text{ is an independent set of } G \}.$$

PROBLEM 2. Is there a vertex set  $U$  of  $G$  such that

$$|U| - |N(U)| \geq k?$$

PROBLEM 2\*. Find a critical vertex subset  $U_c$  and the parameter  $\mu_c$  of  $G$ . (That is, to find

$$\mu_c = |U_c| - |N(U_c)| = \max \{ |U| - |N(U)| : U \text{ is a vertex subset of } G \}.$$

PROBLEM 3. Is there a proper vertex subset  $U$  of  $G$  such that

$$|U| - |N(U)| \geq k?$$

PROBLEM 3\*. Find a critical proper vertex subset  $U_{pc}$  and the parameter  $\mu_{pc}$  of  $G$ . (That is, to find

$$\mu_{pc} = |U_{pc}| - |N(U_{pc})| = \max \{ |U| - |N(U)| : U \subset V(G), U \neq \emptyset \text{ and } U \neq V(G) \}.$$

## 2. Main results.

THEOREM 1. *Problems 3 and 3\* are solvable in polynomial time.*

Before we prove Theorem 1 we would like to consider the following problems first. Theorem 1 will be a corollary of Theorem 2.

INSTANCE. Let  $G = (V, E)$  be a graph with the vertex set  $V$  and the edge set  $E$ ,  $\{u, v\}$  be an ordered pair of nonadjacent vertices of  $G$ , and  $k$  be an integer.

PROBLEM 4. Is there a vertex subset  $U$  of  $G$  such that

$$u \in U, v \notin N(U) \text{ and}$$

$$|U| - |N(U)| \geq k?$$

PROBLEM 4\*. Find a vertex subset  $U_o$  of  $G$  such that

$$|U_o| - |N(U_o)| = \max \{ |U| - |N(U)| : U \subset V(G), u \in U \text{ and } v \notin N(U) \}.$$

The vertex subset  $U_o$  found in problem 4\* is called  $(u, v)$ -critical subset of  $G$ .

THEOREM 2. Problems 4 and 4\* are solvable in polynomial time.

The following lemmas will be used in the proof of Theorem 2.

LEMMA 3 (Hall's Theorem [HP]). Let  $B = (V_1, V_2; E)$  be a bipartite graph. The graph  $B$  has a matching covering all vertices of  $V_2$  if and only if  $|U| \leq |N(U)|$  for any subset  $U$  of  $V_2$ .

LEMMA 4. Let  $B = (V_1, V_2; E)$  be a bipartite graph. Assume that there is no matching of  $B$  covering all vertices of  $V_2$ . We will have the following conclusions:

(i) There is a subset  $U$  of  $V_2$  such that  $|N(U)| < |U|$ ;

(ii) Let  $U_0$  be a subset of  $V_2$  such that  $|U_0| - |N(U_0)|$  is as great as possible, then there is a matching of the induced bipartite subgraph  $(U_0, (N(U_0); E[U_0, N(U_0)]))$  covering all vertices of  $N(U_0)$ .

Note that if  $A$  and  $B$  are a pair of disjoint vertex subsets of a graph  $G$ , the set of all edges joining  $A$  and  $B$  is denoted by  $E(A, B)$ .

*Proof.* The conclusion of (i) is an immediate corollary of Hall's Theorem.

Let  $U$  be a subset of  $V_2$  such that  $|U| - |N(U)|$  is as great as possible. Let  $B' = (U, N(U); E[U, N(U)])$  be the subgraph of  $B$  induced by  $U \cup N(U)$ . We claim that  $|X| \leq |N(X) \cap U|$  for any subset  $X$  of  $N(U)$ . If not, let  $X \subseteq N(U)$  such that

$$|X| > |N(X) \cap U|.$$

We will consider the subset  $Y = U \setminus N(X)$ . Note that

$$N(Y) = N(U \setminus N(X)) \subseteq N(U) \setminus X,$$

and

$$\begin{aligned} |Y| - |N(Y)| &\geq |U \setminus N(X)| - |N(U) \setminus X| = [|U| - |U \cap N(X)|] - [|N(U)| - |X|] \\ &= [|U| - |N(U)|] + [|X| - |U \cap N(X)|] \\ &> |U| - |N(U)|. \end{aligned}$$

This contradicts the choice of  $U$  that  $|U| - |N(U)|$  is maximum. So by Hall's Theorem, there is a matching in  $B'$  which covers all vertices of  $N(U)$ .  $\square$

*Proof of Theorem 2.* We only prove that Problem 4\* is solvable in polynomial time. Let  $G = (V, E)$  be a graph with the vertex set  $V = \{1, 2, 3, \dots, n\}$  and the edge set  $E$ . We will consider the ordered pair of vertices  $(1, 2)$  of  $G$  and find a  $(1, 2)$ -critical vertex subset.

Define a bipartite graph  $B = (X, Y; E_B)$  where

$$X = \{x_1, \dots, x_n\},$$

$$Y = \{y_1, \dots, y_n\}, \quad \text{and}$$

$$E_B = \{(x_i, y_j) : (i, j) \text{ is an edge of } G\}.$$

Let  $V'$  be a subset of  $V(G)$ , then the corresponding subsets in  $X$  and  $Y$  are denoted by  $X(V')$  and  $Y(V')$ , respectively. For example, if  $V' = \{i_1, \dots, i_t\}$ , then

$$X(V') = X(\{i_1, \dots, i_t\}) = \{x_{i_1}, \dots, x_{i_t}\}$$

and  $Y(V') = Y(\{i_1, \dots, i_t\}) = \{y_{i_1}, \dots, y_{i_t}\}$ . (Here  $X$  and  $Y$  can be considered bijections mapping  $\{1, 2, \dots, n\}$  onto  $\{x_1, \dots, x_n\}$  and  $\{y_1, \dots, y_n\}$ ). If  $W = \{x_{i_1}, \dots, x_{i_t}\} \subset X$  (or  $W = \{y_{i_1}, \dots, y_{i_t}\} \subset Y$ ), then the corresponding subset  $\{i_1, \dots, i_t\}$  of  $V(G)$  is denoted by  $X^{-1}(W)$  (or  $Y^{-1}(W)$ , respectively). The set of all neighbors of a vertex  $u$  in  $B$  is denoted by  $N_B(u)$ . If  $i$  is a vertex of  $G$ , then

$$N_B(x_i) = \{y_j \in Y : (x_i, y_j) \in E_B\} = \{y_j \in Y : (i, j) \in E(G)\} = Y(N(i)).$$

A weight  $w: X \cup Y \rightarrow [0, 2]$  is called a  $(1, 2)$ -proper weight of  $B$  if

$$w(x_1) = 2,$$

$$w(y_2) = 1,$$

$$1 \leq w(x_i) \leq 2 \quad \text{for each vertex } x_i \in X,$$

$$0 \leq w(y_i) \leq 1 \quad \text{for each vertex } y_i \in Y$$

$$\text{and } 0 \leq w(x_i) + w(y_j) \leq 2 \quad \text{for each edge } (x_i, y_j) \in E_B.$$

The total weight  $\sum_{u \in X \cup Y} w(u)$  of  $B$  is denoted by  $w(B)$ . A  $(1, 2)$ -proper weight  $w_m$  of  $B$  is called optimum if

$$w_m(B) = \max \{w(B) : w \text{ is a } (1, 2)\text{-proper weight of } B\}.$$

It is obvious that finding an optimum  $(1, 2)$ -proper weight of  $B$  is a linear programming problem. Hence it is solvable in polynomial time. The purpose of the investigation of an optimum  $(1, 2)$ -proper weight  $w_m$  of  $B$  is to prove that the vertex subset  $\{i \in V(G) : w_m(x_i) > 1\}$  is a  $(1, 2)$ -critical subset of  $G$ .

I. Let  $w_m$  be an optimum  $(1, 2)$ -proper weight of  $B$  and  $U_o$  be a  $(1, 2)$ -critical vertex subset of  $G$ . We claim that

$$(1) \quad w_m(B) \geq 2n + |U_o| - |N(U_o)| = 2n + \alpha_c.$$

Consider the following weight  $w_1$  of  $B$ :

$$w_1(x_i) = \begin{cases} 2 & \text{if } i \in U_o \\ 1 & \text{otherwise} \end{cases}$$

$$\text{and } w_1(y_j) = \begin{cases} 0 & \text{if } j \in N(U_o) \\ 1 & \text{otherwise.} \end{cases}$$

It is easy to see that  $w_1$  is a  $(1, 2)$ -proper weight of  $B$  and

$$w_1(B) = 2|U_o| + (n - |U_o|) + (n - |N(U_o)|) = 2n + |U_o| - |N(U_o)|.$$

By the choice of  $w_m$ , we have verified the inequality (1).

II. Let

$$X_b = \{x_i : w_m(x_i) > 1\},$$

$$Y_s = \{y_i : w_m(y_i) < 1\},$$

$$X_{b'} = \{x_i : 1 < w_m(x_i) < 2\}, \quad \text{and}$$

$$Y_{s'} = \{y_i : 0 < w_m(y_i) < 1\}.$$

By the definition of  $(1, 2)$ -proper weight, it is obvious that  $N_B(X_b) \subseteq Y_s$  and  $N_B(Y_{s'}) \subseteq [X \setminus X_b] \cup X_{b'}$ .

III. *Case 1.* Suppose there is a matching  $M$  in the induced subgraph  $B(X_{b'} \cup Y_{s'})$  covering all vertices of  $Y_{s'}$ . We claim that  $X_b$  is a  $(1, 2)$ -critical vertex subset in this case. We are to adjust the weight  $w_m$  so that the new weight of each vertex in  $X_{b'}$  is two and the new weight of each vertex in  $Y_{s'}$  is zero. If we can verify that this new weight is optimum, by the inequality (1), it can be shown that  $X^{-1}(X_b)$  is a  $(1, 2)$ -critical vertex set of  $G$ .

If  $(u, v)$  is an edge of  $M$ , then let  $u = M(v)$  and  $v = M(u)$ . The sets of vertices of  $X_{b'}$  covered and not covered by  $M$ , are denoted by  $M(Y_{s'})$  and  $X_{b'} \setminus M$ , respectively. Thus  $X_{b'} \cup Y_{s'} = Y_{s'} \cup M(Y_{s'}) \cup (X_{b'} \setminus M)$  since  $M$  covers all vertices of  $Y_{s'}$ .

Consider the following weight  $w_2$  of  $B$ :

$$w_2(v) = \begin{cases} 2 & \text{if } v \in X_{b'} \\ 0 & \text{if } v \in Y_{s'} \\ w_m(v) & \text{otherwise.} \end{cases}$$

Since any vertex of  $Y$  adjacent to a vertex  $x_i$  of  $X_{b'}$  must be in  $Y_{s'}$ , in which the weight of each vertex is zero,  $w_2$  is a  $(1, 2)$ -proper weight of  $B$ . Note that  $w_m$  is optimum and the total weight  $w_m(B)$  cannot be less than  $w_2(B)$ . We claim that  $w_2$  is also an optimum  $(1, 2)$ -proper weight of  $B$  by proving that  $w_m(B) \leq w_2(B)$ . Since

$$\{v \in X \cup Y : w_m(v) \neq w_2(v)\} = X_{b'} \cup Y_{s'},$$

we must have that

$$\begin{aligned} w_m(B) - w_2(B) &= \sum_{v \in X_{b'}} [w_m(v) - w_2(v)] + \sum_{v \in Y_{s'}} [w_m(v) - w_2(v)] \\ &= \sum_{v \in Y_{s'}} \{[w_m(v) - w_2(v)] + [w_m(M(v)) - w_2(M(v))]\} \\ &\quad + \sum_{v \in X_{b'} \setminus M} [w_m(v) - w_2(v)]. \end{aligned}$$

Here

$$\begin{aligned} &[w_m(v) - w_2(v)] + [w_m(M(v)) - w_2(M(v))] \\ &= [w_m(v) + w_m(M(v))] - [w_2(v) + w_2(M(v))] \leq 2 - (0 + 2) = 0 \end{aligned}$$

for any  $v \in Y_{s'}$ , and

$$w_m(v) - w_2(v) < 2 - 2 = 0$$

for any  $v \in X_{b'} \setminus M$ . This implies that  $w_m(B) - w_2(B) \leq 0$ . Therefore  $w_2$  is also an optimum  $(1, 2)$ -proper weight of  $B$  and  $w_m(B) = w_2(B)$ . The total weight of  $w_2$  is

$$w_2(B) = 2|X_b| + |X \setminus X_b| + |Y \setminus Y_s|.$$

Since  $N_B(X_b) \subseteq Y_s$ , we must have that

$$\begin{aligned} w_m(B) = w_2(B) &\leq 2|X_b| + (n - |X_b|) + (n - |N(X_b)|) = 2n + |X_b| - |N(X_b)| \\ &= 2n + |X^{-1}(X_b)| - |N(X^{-1}(X_b))| \leq 2n + \alpha_c \text{ (by the definition of } \alpha_c \text{)}. \end{aligned}$$

By (1), all equalities hold, and therefore  $X^{-1}(X_b) = \{i \in V(G) : w_m(x_i) > 1\}$  is a  $(1, 2)$ -critical vertex subset of  $G$ .

IV. *Case 2.* If there is no matching of  $B(X_{b'} \cup Y_{s'})$  covering all vertices of  $Y_{s'}$ , by Lemma 4, there is a subset  $Y_o$  of  $Y_{s'}$  such that: (i)  $|Y_o| > |N_B(Y_o) \cap X_{b'}|$  and, (ii) there is a matching  $M'$  in the induced bipartite subgraph  $B(Y_o \cup [N_B(Y_o) \cap X_{b'}])$  covering all vertices of  $N_B(Y_o) \cap X_{b'}$ . We are to adjust the weight  $w_m$  so that the new weight of each vertex in  $Y_o \cup [N_B(Y_o) \cap X_{b'}]$  is 1. We will find that the new weight is greater than  $w_m$ . It will contradict that  $w_m$  is optimum.

Consider the following weight  $w_3$ :

$$w_3(v) = \begin{cases} 1 & \text{if } v \in Y_o \cup [N_B(Y_o) \cap X_{b'}] \\ w_m(v) & \text{otherwise.} \end{cases}$$

The weight  $w_3$  is (1, 2)-proper since  $x_1 \notin N(Y_{s'})$  and any vertex adjacent to a vertex of  $Y_o$  must be in  $[X \setminus X_b] \cup [N_B(Y_o) \cap X_{b'}]$  in which the weight of each vertex is one. Note that  $w_m$  is an optimum (1, 2)-proper weight of  $B$ , thus the total weight of  $w_m$  cannot be less than the total weight of  $w_3$ . Since

$$\begin{aligned} \{v \in V(B) : w_m(v) \neq w_3(v)\} &\subseteq Y_o \cup [N_B(Y_o) \cap X_{b'}] \\ &= [N_B(Y_o) \cap X_{b'}] \cup M'[N_B(Y_o) \cap X_{b'}] \cup [Y_o \setminus M'], \end{aligned}$$

where  $M'[N_B(Y_o) \cap X_{b'}]$  and  $[Y_o \setminus M']$  are the sets of vertices of  $Y_o$  covered and not covered by  $M'$ , respectively, we must have that

$$\begin{aligned} w_m(B) - w_3(B) &= \sum_{v \in N_B(Y_o) \cap X_{b'}} [w_m(v) - w_3(v)] + \sum_{v \in Y_o} [w_m(v) - w_3(v)] \\ &= \sum_{v \in N_B(Y_o) \cap X_{b'}} \{[w_m(v) - w_3(v)] + [w_m(M(v)) - w_3(M(v))]\} \\ &\quad + \sum_{v \in Y_o \setminus M'} [w_m(v) - w_3(v)]. \end{aligned}$$

But

$$\begin{aligned} [w_m(v) - w_3(v)] + [w_m(M(v)) - w_3(M(v))] \\ = [w_m(v) + w_m(M(v))] - [w_3(v) + w_3(M(v))] \leq 2 - (1 + 1) = 0 \end{aligned}$$

for any  $v \in N_B(Y_o) \cap X_{b'}$ , and

$$w_m(v) - w_3(v) < 1 - 1 = 0$$

for any  $v \in Y_o \setminus M'$ . Since  $|Y_o| > |N_B(Y_o) \cap X_{b'}|$ , the set  $Y_o \setminus M'$  is not empty and we have that  $w_m(B) - w_3(B) < 0$ . This contradicts that  $w_m$  is optimum and completes the proof of the theorem.  $\square$

*Proof of Theorem 1.* For any ordered pair of nonadjacent vertices  $\{u, v\}$  of  $G$ , by Theorem 2, we can find a  $(u, v)$ -critical subset in polynomial time. The  $(u, v)$ -critical subset is denoted by  $U_{C(u,v)}$ . Then choose a  $(u_o, v_o)$ -critical vertex subset  $U_{C(u_o, v_o)}$  such that

$$|U_{C(u_o, v_o)}| - |N(U_{C(u_o, v_o)})| = \max \{|U_{C(u,v)}| - |N(U_{C(u,v)})| : (u, v) \text{ are an ordered pair of nonadjacent vertices of } G\}$$

which is a proper critical subset of  $G$  desired in Problem 3\*. The total cost of finding a critical proper vertex subset is polynomial since the cost of finding a  $(u, v)$ -critical vertex subset is polynomial and the number of pairs of nonadjacent vertices in  $G$  is at most  $\binom{n}{2}$ .  $\square$

**THEOREM 5.** *Problems 2 and 2\* are solvable in polynomial time.*

*Proof.* Let  $G = (V, E)$  be a graph. Consider a new graph  $G'$  by adding two isolated vertices  $x, y$ , to  $G$ . Let  $U_c$  be an  $(x, y)$ -critical subset of  $G'$ . Obviously,  $x, y \in U_c$  and it is clear that  $U_c \setminus \{x, y\}$  is a critical subset of  $G$ .

*Alternating proof of the theorem.* Define a bipartite graph  $B = (X, Y; E_B)$  where  $X = \{x_1, \dots, x_n\}$ ,  $Y = \{y_1, \dots, y_n\}$  and  $E_B = \{(x_i, y_j) : (i, j) \text{ is an edge of } G\}$ . Assign a weight  $w$  to the vertex set of  $B$  such that  $w: X \cup Y \rightarrow [0, 2]$  and

$$1 \leq w(x_i) \leq 2 \quad \text{for each vertex } x_i \in X,$$

$$0 \leq w(y_i) \leq 1 \quad \text{for each vertex } y_i \in Y$$

$$\text{and } 0 \leq w(x_i) + w(y_i) \leq 2 \quad \text{for each edge } (x_i, y_i) \in E_B.$$

The total weight  $\sum_{u \in X \cup Y} w(u)$  is denoted by  $w(B)$ . Let

$$w_m(B) = \max \{w(B) : w \text{ is a weight of } B \text{ satisfying the above definition}\}.$$

By an argument similar to the proof of Theorem 2, we can prove that the set  $V_b = \{i \in V(G) : w_m(x_i) > 1\}$  is a critical vertex subset of  $G$ .  $\square$

**THEOREM 6.** *Problems 1 and 1\* are solvable in polynomial time.*

Before the proof of Theorem 6, we will prove a Theorem by which Theorem 6 is an immediate corollary.

**THEOREM 7.** *Let  $G = (V, E)$  be a graph.*

(i) *Let  $U_c$  be a critical vertex subset of  $G$  and  $T_1, \dots, T_h$  be all nontrivial components of the induced subgraph  $G(U_c)$ . Then  $J = V(U_c) \setminus [V(T_1) \cup \dots \cup V(T_h)]$  is a critical independent set of  $G$  and  $|J| - |N(J)| = |U_c| - |N(U_c)|$ .*

(ii)  $\max \{|J| - |N(J)| : J \text{ is an independent set of } G\} = \max \{|U| - |N(U)| : U \text{ is a vertex subset of } G\}$ . *That is, any critical independent set is also a critical vertex subset of  $G$  and therefore  $\alpha_c = \mu_c$ .*

*Proof.* It is obvious that

$$(2) \quad 0 \leq \alpha_c = \max \{|J| - |N(J)| : J \text{ is an independent set of } G\}$$

$$\leq \max \{|U| - |N(U)| : U \text{ is a vertex subset of } G\} = \mu_c \dots$$

since any independent set is a vertex subset of  $G$ .

Let  $U_c$  be a critical vertex subset of  $G$ . The theorem is trivial if  $U_c$  is an empty set. Assume that  $U_c$  is a counterexample to the theorem containing a minimum number of vertices. By this assumption,  $U_c$  cannot be an independent set of  $G$ . Let  $T$  be a nontrivial component of the induced subgraph  $G(U_c)$ . It is clear that  $V(T) \subseteq N(T)$  since  $T$  is not a singleton. Thus

$$|U_c \setminus T| - |N(U_c \setminus T)| \geq [|U_c| - |T|] - [|N(U_c)| - |T|] = |U_c| - |N(U_c)|.$$

It implies that  $U_c \setminus T$  is also a critical vertex subset of  $G$  which contains less vertices than  $U_c$ . It contradicts the choice of  $U_c$  and therefore completes the proof of the theorem.  $\square$

*Proof of Theorem 6.* Let  $U_c$  be a critical vertex subset of  $G$ . Let  $T_1, \dots, T_t$  be all nontrivial components of the induced subgraph  $G(U_c)$ . Then by Theorem 7,  $U_c \setminus \{T_1, \dots, T_t\}$  is a critical independent set of  $G$ . Since finding a critical vertex subset  $U_c$  and deleting all vertices of  $U_c$  incident with some edge of  $G(U_c)$  need only polynomial cost, finding a critical independent set is solvable in polynomial time.

*Alternating proof of the theorem* (also see [GZ]). Consider a weight  $w: V(G) \rightarrow [0, 1]$  such that

$$0 \leq w(v) \leq 1 \quad \text{for each vertex } v \text{ of } G$$

$$\text{and } w(u) + w(v) \leq 1 \quad \text{for each edge } (u, v) \text{ of } G.$$

The total weight  $\sum_{u \in V(G)} w(u)$  is denoted by  $w(G)$ . Let

$$w_m(G) = \max \{ w(G) : w \text{ is a weight of } G \text{ satisfies the above definition} \}.$$

Obviously finding  $w_m$  is a linear programming problem. By an argument similar to the proof of Theorem 2, we can prove that the set  $V_b = \{ v \in V(G) : w_m(v) > \frac{1}{2} \}$  is a critical independent set of  $G$ .  $\square$

(Note that the weight  $w$  defined above is called a fractional independence function of a graph  $G$  which was introduced by Domke, Hedetniemi, and Laskar in [DHL] and by Grinstead and Slater in [GS2], and was studied by Grinstead and Slater in [GS1] and by Goldwasser and Zhang in [GZ].)

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